

The quest for the QCD axion: status & perspectives

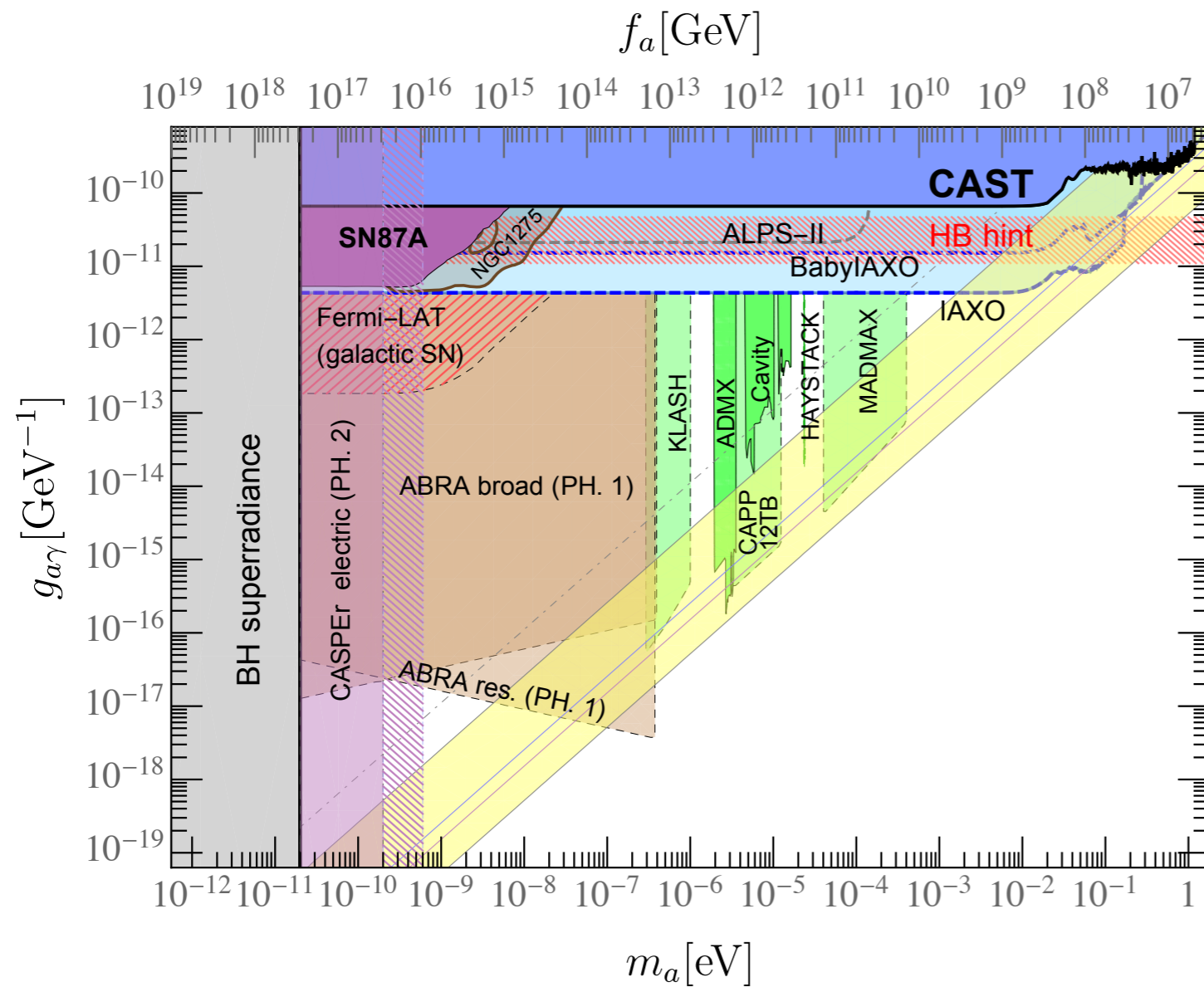
Theoretical Particle Physics Seminar
Zürich - 26.10.20

Luca Di Luzio



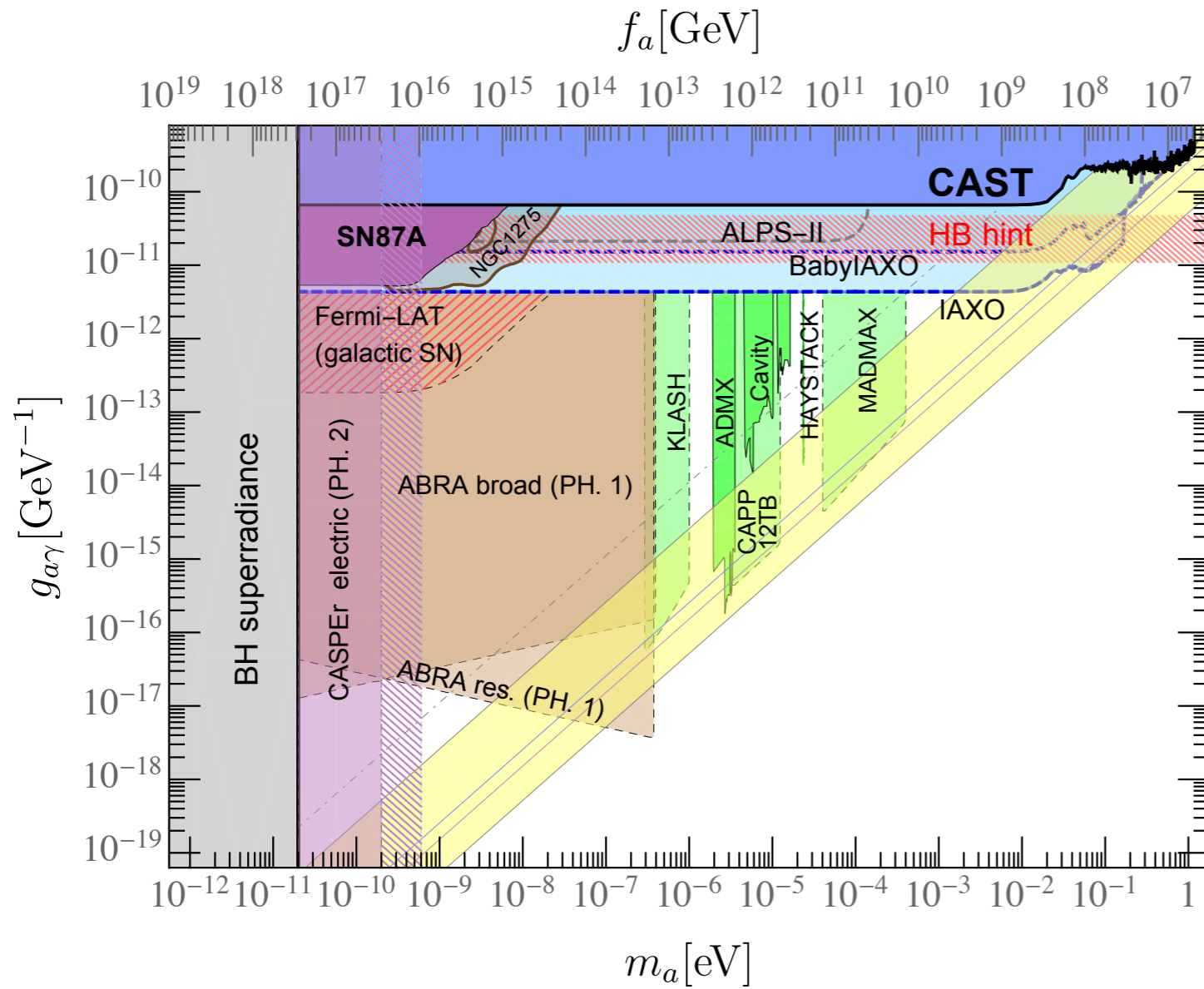
In 10 years from now ?

♣ An experimental opportunity



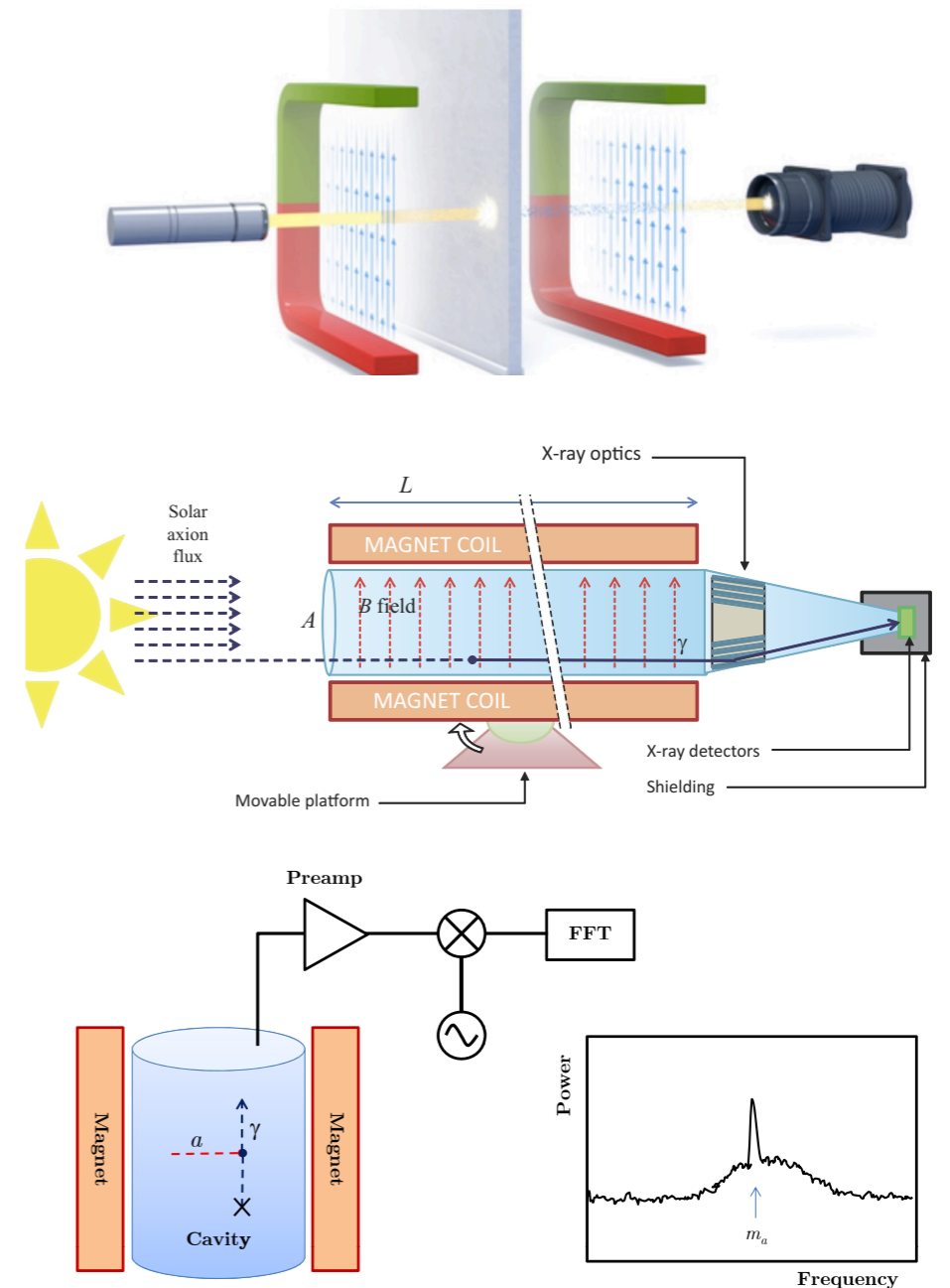
[LDL, Giannotti, Nardi, Visinelli 2003.01100 (Phys. Rept.)]

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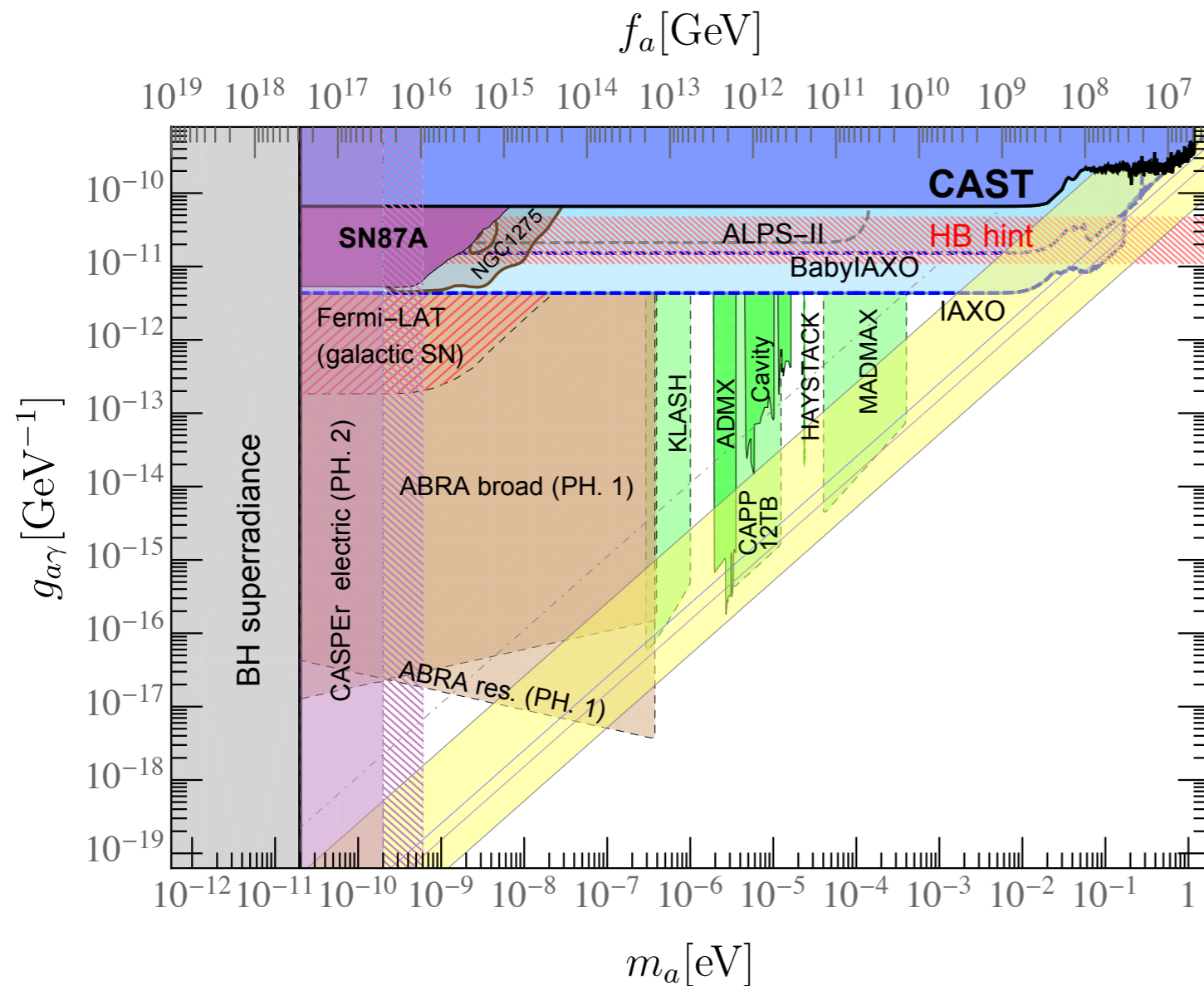


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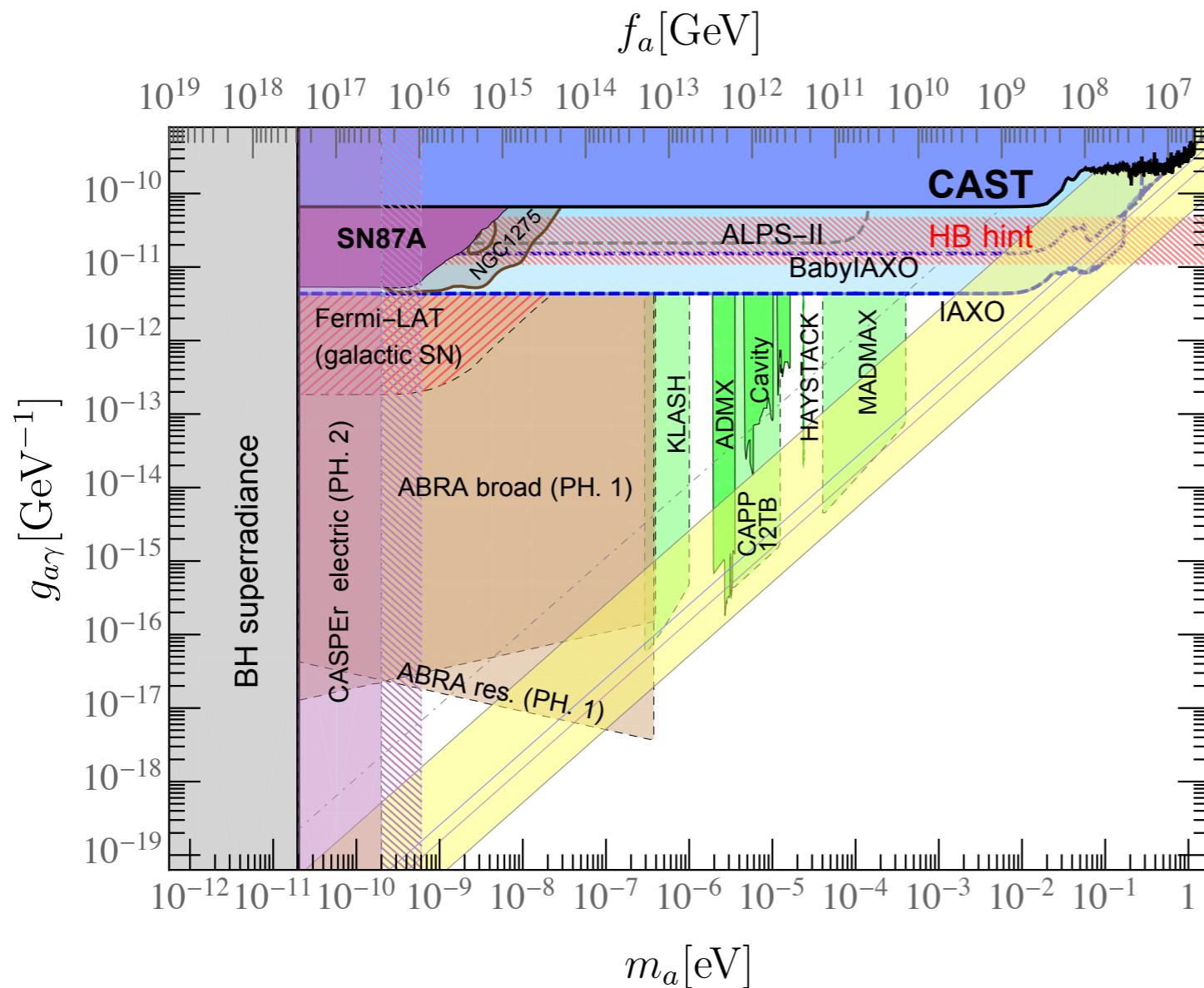
In 10 years from now ?



[LDL, Giannotti, Nardi, Visinelli 2003.01100 (Phys. Rept.)]

- ♣ An experimental opportunity
 - ★ Time now to rethink the QCD axion
1. Strong CP and QCD Axion
 2. Axion couplings [from EFT to UV models]
 3. Redefining the QCD axion parameter space
 4. Towards a PQ theory

In 10 years from now ?



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Strong CP (I)

- P and CP were believed to be symmetries of QCD until ~ 1975

[Belavin, Polyakov, Schwarz, Tyupkin PLB59 (1975),
Jackiw, Rebbi PRL37 (1976)
Callan, Dashen, Gross PLB63 (1976) ...]



*discovery of YM instantons
& QCD vacuum structure*

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*discovery of YM instantons
& QCD vacuum structure*

$$\Delta \mathcal{L}_\theta \equiv \frac{\theta}{32 \pi^2} G_{\mu\nu}^a \tilde{G}_{\mu\nu}^a$$

$$(\tilde{G}_{\mu\nu}^a = \frac{1}{2} \epsilon_{\mu\nu\rho\sigma} G^{a,\rho\sigma})$$

dim=4 operator, violates P and T (and hence CP)

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*discovery of YM instantons
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$$\Delta \mathcal{L}_\theta \equiv \frac{\theta}{32 \pi^2} G_{\mu\nu}^a \tilde{G}_{\mu\nu}^a = \theta \partial_\mu K_\mu \quad K_\mu = \frac{1}{16 \pi^2} \varepsilon_{\mu\nu\alpha\beta} \left(A_\nu^a \partial_\alpha A_\beta^a + \frac{1}{3} f_{abc} A_\nu^a A_\alpha^b A_\beta^c \right)$$

despite being a total derivative, it contributes to the action via instanton configurations

$$Z[A] = \int \mathcal{D}A e^{-\frac{1}{4} \int d^4x GG + i\theta \frac{g_s^2}{32\pi^2} \int d^4x G\tilde{G}} \sim e^{-\frac{8\pi^2}{g_s^2}} e^{i\theta}$$

Strong CP (2)

- Non-trivial role of quark masses

$$\mathcal{L}_{\text{QCD}} = \sum_q \bar{q} (i\not{D} - m_q e^{i\theta_q}) q - \frac{1}{4} G_a^{\mu\nu} G_{\mu\nu}^a - \theta \frac{\alpha_s}{8\pi} G_a^{\mu\nu} \tilde{G}_{\mu\nu}^a$$

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$$q \rightarrow e^{i\gamma_5 \alpha} q$$



$$\left\{ \begin{array}{l} \theta_q \rightarrow \theta_q + 2\alpha \\ \theta \rightarrow \theta + 2\alpha \end{array} \right.$$

from non-invariance of path integral measure
(chiral anomaly)

[Fujikawa, PRL 42 (1979)]

$$\mathcal{D}q\mathcal{D}\bar{q} \rightarrow \exp\left(-i\alpha \int d^4x \frac{\alpha_s}{4\pi} G_a^{\mu\nu} \tilde{G}_{\mu\nu}^a\right) \mathcal{D}q\mathcal{D}\bar{q}$$

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$$q \rightarrow e^{i\gamma_5 \alpha} q \quad \longrightarrow \quad \begin{cases} \theta_q \rightarrow \theta_q + 2\alpha \\ \theta \rightarrow \theta + 2\alpha \end{cases}$$

$$\longrightarrow \quad \bar{\theta} = \theta - \theta_q \quad \underline{\text{invariant}}$$

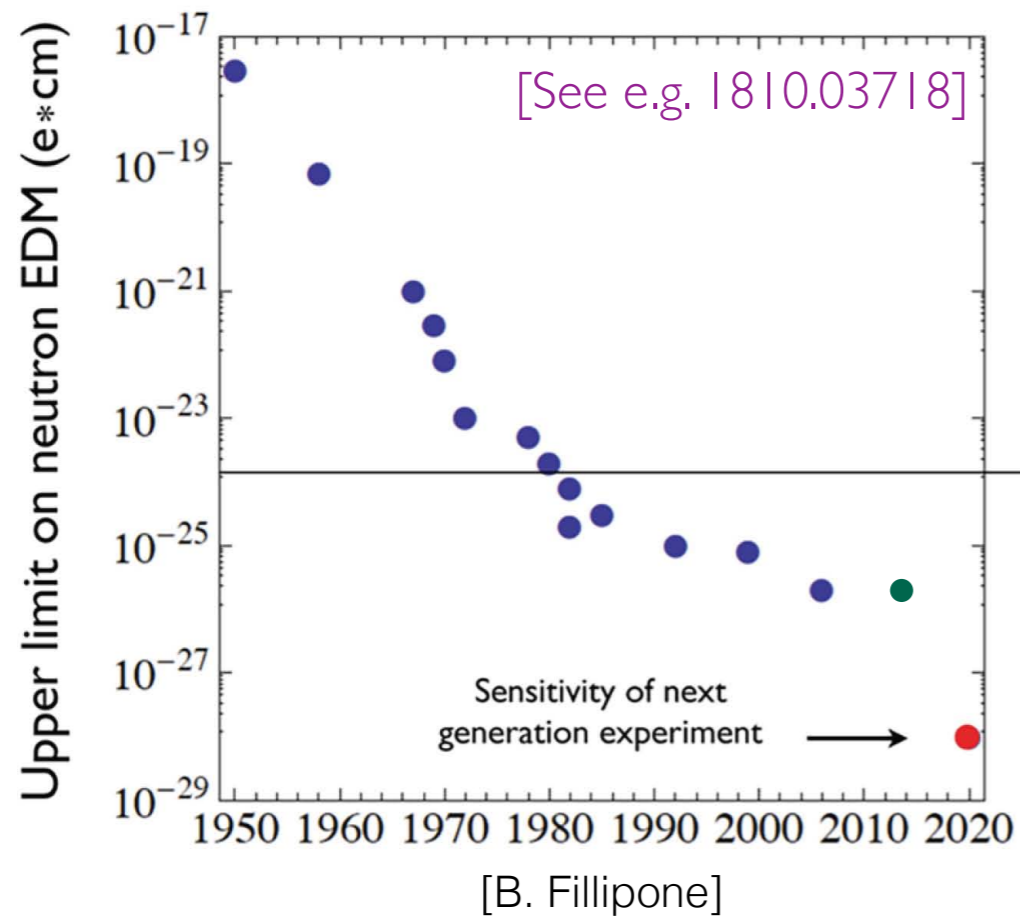
$$= \theta - \arg \det (Y_u Y_d) \quad (\text{generalization to an arbitrary chiral transf. in the EW theory})$$

Strong CP (3)

- CP violation: neutron EDM

[Baluni PRD 19 (1979),
Crewther et al, PLB 88 (1979), ...]

$$d_n^{\text{exp}} < 2.9 \cdot 10^{-26} \text{ e cm} = 1.5 \cdot 10^{-12} \text{ e GeV}^{-1}$$



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$$H = -d_n \mathbf{E} \cdot \hat{\mathbf{S}} \quad \iff \quad \mathcal{L} = -d_n \frac{i}{2} \bar{n} \sigma^{\mu\nu} \gamma_5 n F_{\mu\nu}$$

$$\left(1 - c \frac{m_q}{2m_n} e^{i\bar{\theta}} \right) \frac{e}{m_n} \bar{n} \sigma^{\mu\nu} \gamma_5 n F_{\mu\nu} + \text{h.c.} \quad \longrightarrow \quad d_n = c \frac{m_q}{m_n} \frac{e}{m_n} \bar{\theta}$$

an NDA estimate

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an NDA estimate

$$\longrightarrow \quad |\bar{\theta}| \lesssim 10^{-10} \quad \text{why so small?}$$

Strong CP (4)

- Is the strong CP problem a problem ?

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l. theta is radiatively stable in the SM

[Ellis, Gaillard NPB 150 (1979),
Khriplovich, Vainshtein NPB 414 (1994)]

→
$$\bar{\theta} \sim \frac{1}{(4\pi)^{14}} g'^2 [Y^2(u_R) - Y^2(d_R)] J_{\text{CKM}} \log \Lambda_{\text{UV}}$$

divergence expected to arise at 7-loops




Fig. 9. Generic topology of a class of divergent *CP* violating 14th-order diagrams in the Kobayashi-Maskawa model [21,22].

Strong CP (4)

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 $\bar{\theta} \sim \frac{1}{(4\pi)^{14}} g'^2 [Y^2(u_R) - Y^2(d_R)] J_{\text{CKM}} \log \Lambda_{\text{UV}} \sim 10^{-46} \log \Lambda_{\text{UV}}$

$$\text{Im Det} [Y_U Y_U^\dagger, Y_D Y_D^\dagger] \approx 10^{-29}$$

OK even with a Planckian cut-off - to be compared instead with EW hierarchy problem

$$m_H^2 \sim \text{loop} \times \Lambda_{\text{UV}}^2$$

(radiative instability of theta might be more severe in theories beyond the SM)

Strong CP (4)

- Is the strong CP problem a problem ?

1. *theta is radiatively stable in the SM*

2. *it evades enviromental/anthropic explanations*

 nuclear physics and BBN practically unaffected for $\bar{\theta} \lesssim 10^{-2}$

[See e.g. Ubaldi, 0811.1599]

(unlike $\Lambda_{c.c.}$ and $y_{e,u,d} \sim 10^{-6} \div 10^{-5}$)

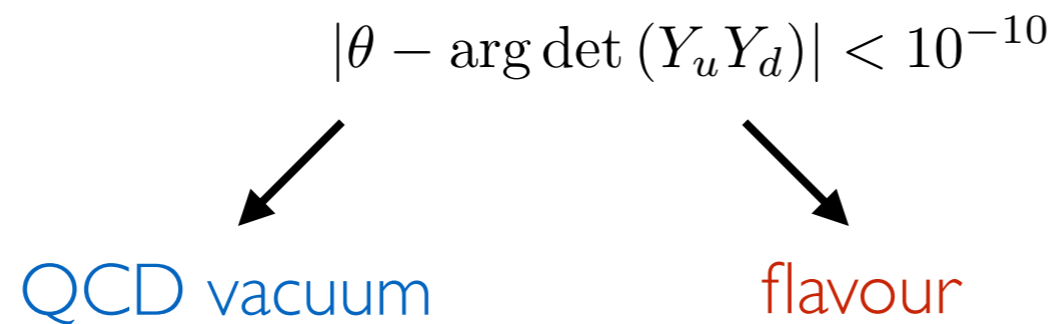
Strong CP (4)

- Is the strong CP problem a problem ?

1. *theta is radiatively stable in the SM*

2. *it evades enviromental/anthropic explanations*

3. *more than a small value problem ?*



$$|\theta\rangle = \sum_{n=-\infty}^{+\infty} e^{in\theta} |n\rangle$$

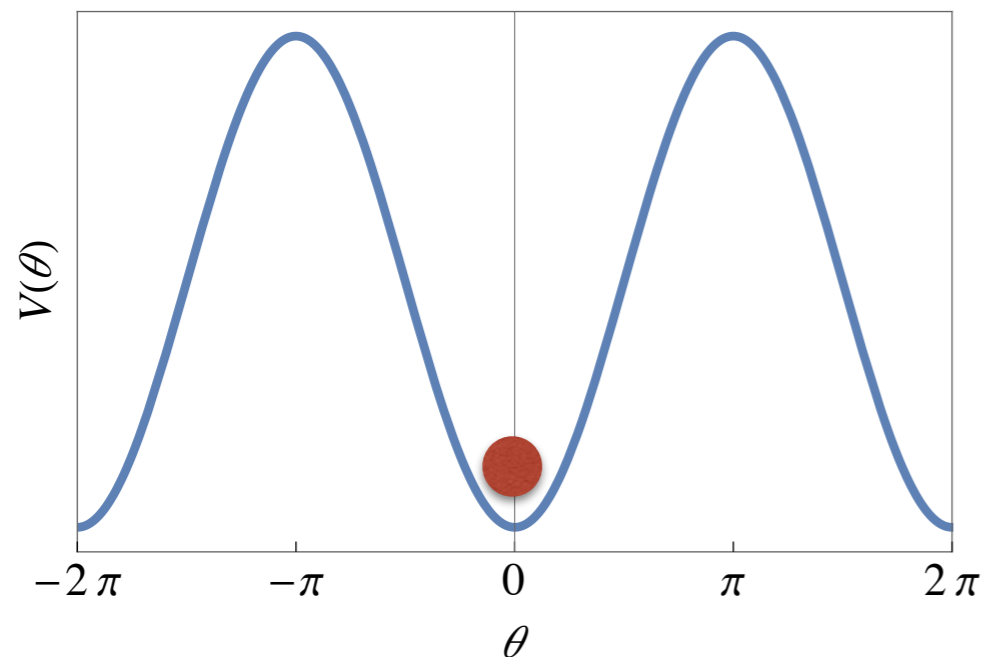
(imagine a theory of flavour generating Yukawas: would expect $O(1)$ phases like CKM)

QCD axion

Strong CP problem

$$\delta\mathcal{L}_{\text{QCD}} = \theta \frac{\alpha_s}{8\pi} G\tilde{G} \quad |\theta| \lesssim 10^{-10}$$

*promote θ to a dynamical field,
which relaxes to zero via QCD dynamics*



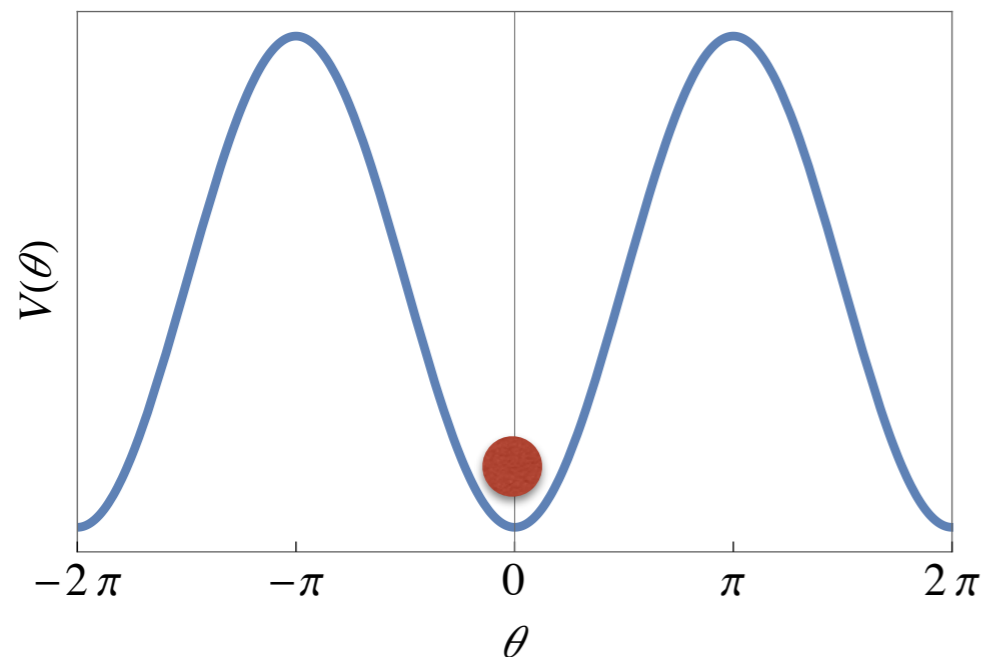
$$\theta \rightarrow \frac{a}{f_a} \quad \text{with} \quad \langle a \rangle = 0$$

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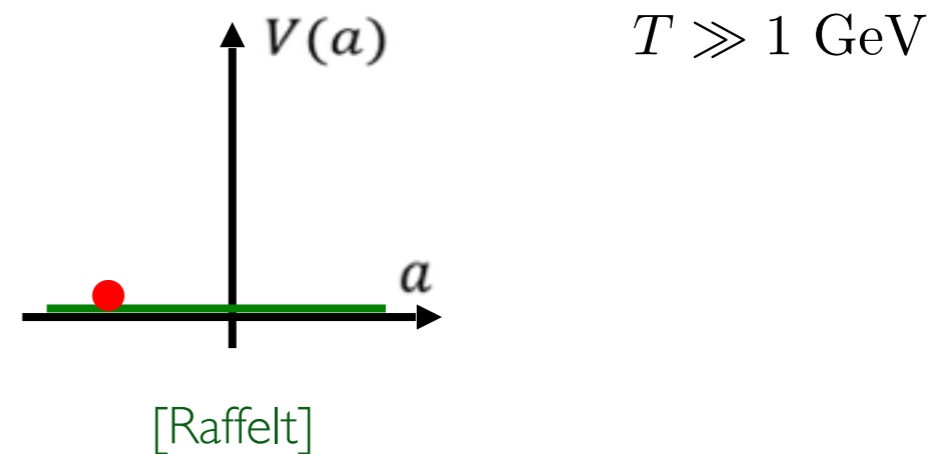
promote θ to a dynamical field,
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Dark Matter

vacuum re-alignment mechanism:



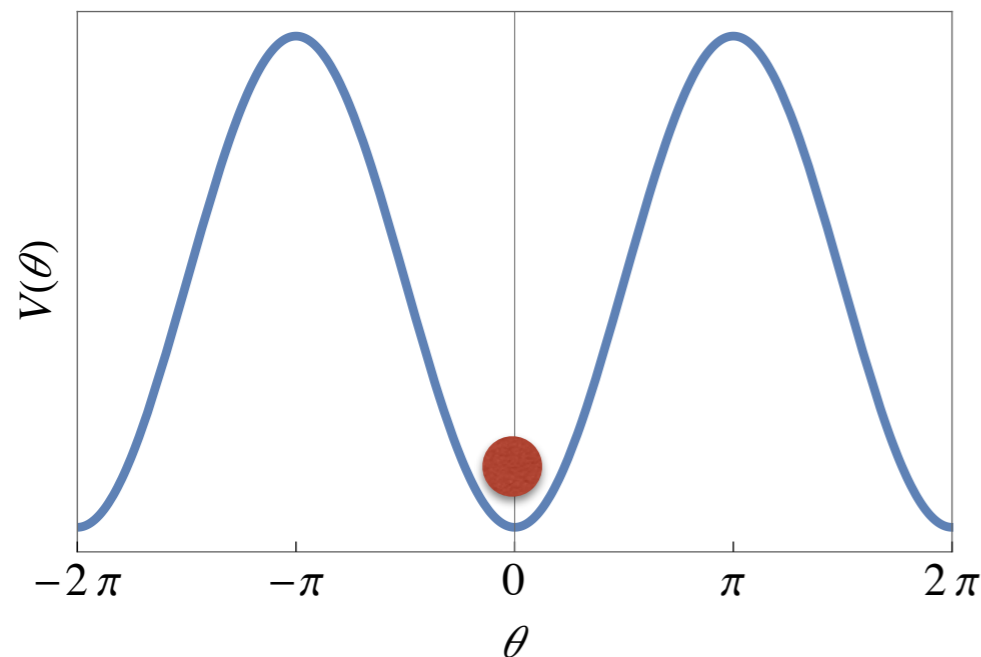
$$\ddot{a} + 3H\dot{a} + m_a^2(T) \sin\left(\frac{a}{f_a}\right) = 0$$

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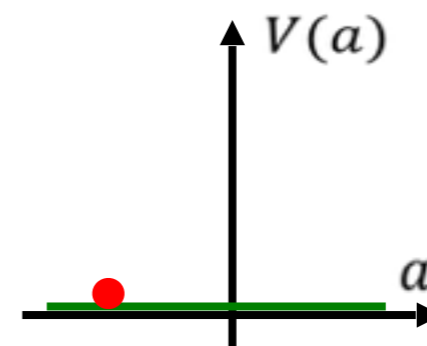
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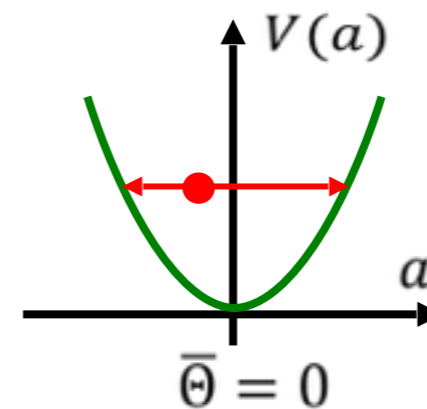
Dark Matter

vacuum re-alignment mechanism:



$T \gg 1 \text{ GeV}$

[Raffelt]



$T \sim 1 \text{ GeV}$

$$w_a = p_a / \rho_a \simeq 0$$

$$\ddot{a} + 3H\dot{a} + m_a^2(T) f_a \sin\left(\frac{a}{f_a}\right) = 0$$

PQ solution

- Assume a new spin-0 boson with a pseudo-shift symmetry $a \rightarrow a + \alpha f_a$

broken by

$$\frac{a}{f_a} \frac{\alpha_s}{8\pi} G_a^{\mu\nu} \tilde{G}_{\mu\nu}^a$$



$$E(0) \leq E(\langle a \rangle)$$

[Vafa-Witten, PRL 53 (1984)]

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$$\theta_{\text{eff}} = \frac{\langle a \rangle}{f_a}$$

$$\begin{aligned} e^{-V_4 E(\theta_{\text{eff}})} &= \int \mathcal{D}\varphi e^{-S_0 + i\theta_{\text{eff}} \int G\tilde{G}} \\ &= \left| \int \mathcal{D}\varphi e^{-S_0 + i\theta_{\text{eff}} \int G\tilde{G}} \right| \\ &\leq \int \mathcal{D}\varphi \left| e^{-S_0 + i\theta_{\text{eff}} \int G\tilde{G}} \right| = e^{-V_4 E(0)} \end{aligned}$$

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$$= \left| \int \mathcal{D}\varphi e^{-S_0 + i\theta_{\text{eff}} \int G\tilde{G}} \right|$$

$$\leq \int \mathcal{D}\varphi \left| e^{-S_0 + i\theta_{\text{eff}} \int G\tilde{G}} \right| = e^{-V_4 E(0)}$$

*Proof fails for a chiral theory (as in the SM)

$$\theta_{\text{eff}} \sim G_F^2 f_\pi^4 j_{\text{CKM}} \approx 10^{-18}$$
 [Georgi Randall, NPB276 (1986)]

PQ solution relies also on SM flavour structure $j_{\text{CKM}} = \text{Im} V_{ud} V_{cd}^* V_{cs} V_{us}^* \approx 10^{-5}$

PQ solution

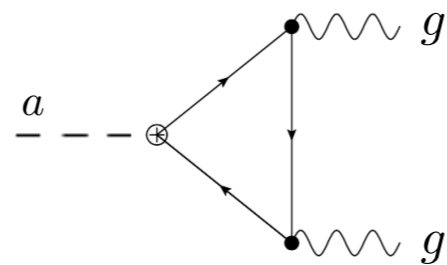
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broken by $\frac{a}{f_a} \frac{\alpha_s}{8\pi} G_a^{\mu\nu} \tilde{G}_{\mu\nu}^a \rightarrow E(0) \leq E(\langle a \rangle)$

- its origin can be traced back into a global $U(1)_{PQ}$ [Peccei, Quinn '77, Weinberg '78, Wilczek '78]

1. spontaneously broken (axion is the associated pNGB)

2. QCD anomalous



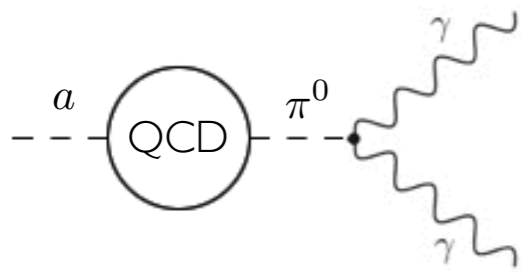
$$\partial^\mu J_\mu^{PQ} = \frac{N\alpha_s}{4\pi} G \cdot \tilde{G}$$

Axion properties [model-indep.]

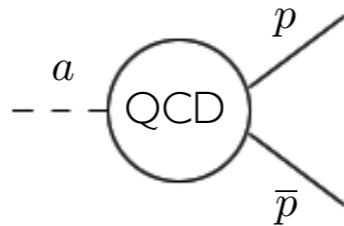
- Consequences of $\frac{a}{f_a} \frac{\alpha_s}{8\pi} G_a^{\mu\nu} \tilde{G}_{\mu\nu}^a$

1. axion mass

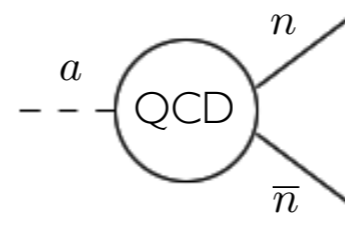
2. 'model-independent' axion couplings to photons, nucleons, electrons, ...



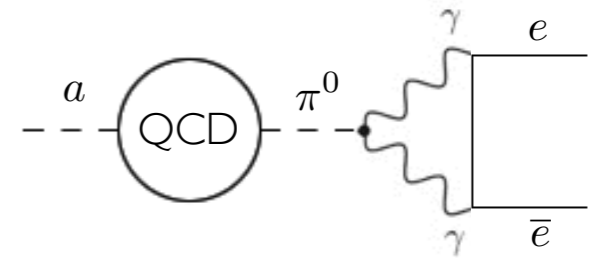
$$C_\gamma = -1.92(4)$$



$$C_p = -0.47(3)$$



$$C_n = -0.02(3)$$



$$C_e = -7.8(2) \times 10^{-6} \log\left(\frac{f_a}{m_e}\right)$$

$$\mathcal{L}_a \supset \frac{\alpha}{8\pi} \frac{C_\gamma}{f_a} a F_{\mu\nu} \tilde{F}^{\mu\nu} + \frac{C_f}{2f_a} \partial_\mu a \bar{f} \gamma^\mu \gamma_5 f \quad (f = p, n, e)$$

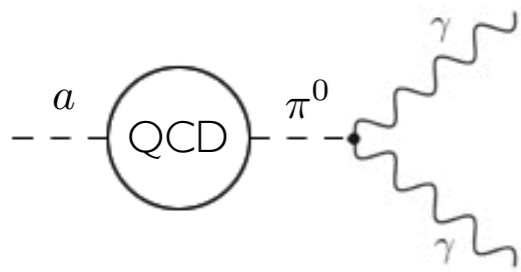
[Grilli di Cortona, Hardy, Vega, Villadoro, 1511.02867]

Axion properties [model-indep.]

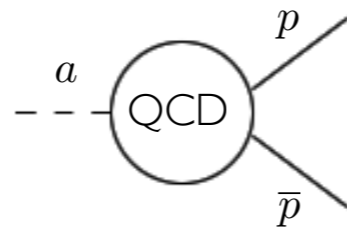
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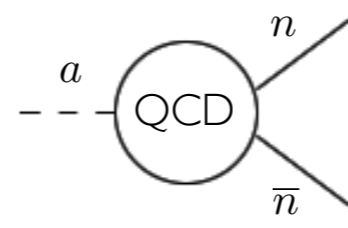
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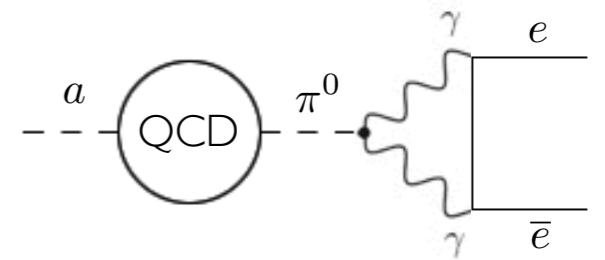
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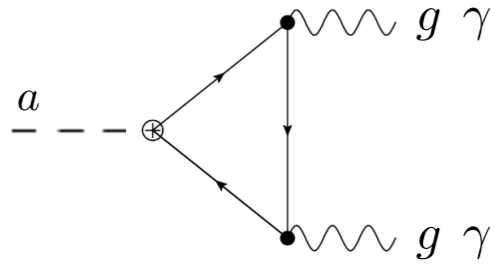
- EFT breaks down at energies of order f_a



UV completion can drastically affect low-energy axion properties

Axion properties [model-dep.]

I. Axion-photon



$$\partial^\mu J_\mu^{PQ} = \frac{N\alpha_s}{4\pi} G \cdot \tilde{G} + \frac{E\alpha}{4\pi} F \cdot \tilde{F}$$

$$C_\gamma = E/N - 1.92(4)$$



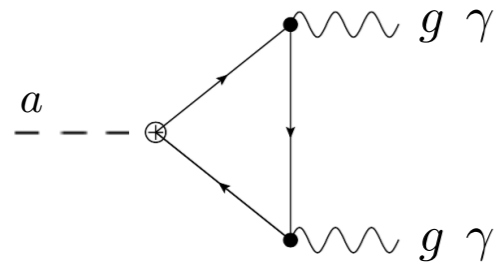
model independent

depends on UV completion

enhance/suppress C_γ

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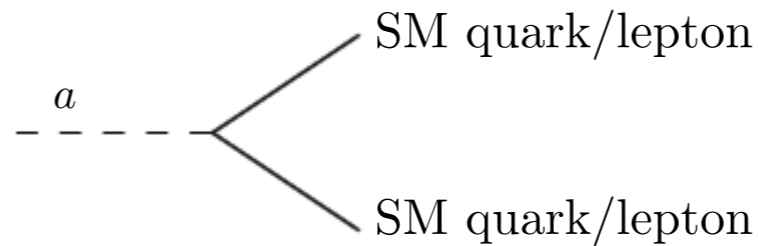
$$C_\gamma = E/N - 1.92(4)$$

model independent

depends on UV completion

enhance/suppress C_γ

2. Axion-SM fermion current



$$\frac{\partial_\mu a}{2f_a} \bar{\psi}_i \gamma^\mu (C_{ij}^V + C_{ij}^A \gamma_5) \psi_j$$

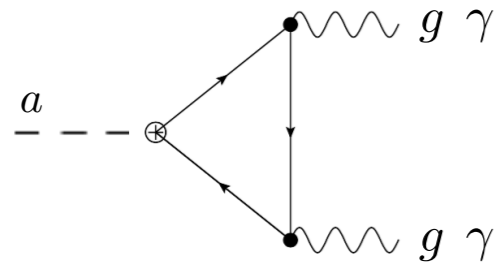
$$J_{PQ}^\mu$$

enhance/suppress $C_{p,n,e}$

flavour-violating axion coupl.

Axion properties [model-dep.]

1. Axion-photon



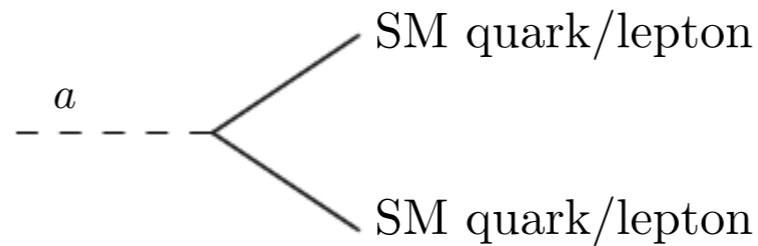
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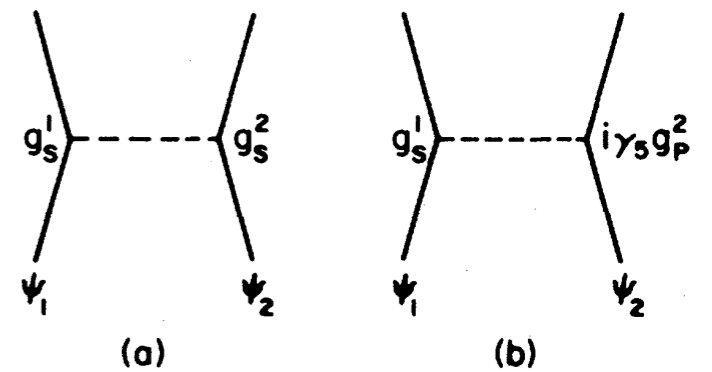
flavour-violating axion coupl.

3. CP-violating axion

$$\frac{f_\pi}{2} \frac{a^2}{f_a^2} \bar{N} N \longrightarrow g_{aN}^S a \bar{N} N$$

$$g_{aN}^S \sim \frac{f_\pi}{f_a} \theta_{\text{eff}} \quad \left(\theta_{\text{eff}} = \frac{\langle a \rangle}{f_a} \right)$$

scalar axion coupling leads to *long range forced*



monopole-monopole monopole-dipole

Benchmark axion models

- global $U(1)_{PQ}$ (*QCD anomalous* + *spontaneously broken*)

$$U(1)_{PQ} \times SU(3)_c^2$$

SM fermions

BSM fermions

2Higgs

2Higgs+Singlet

Higgs+Singlet

PQWW

DFSZ

KSVZ

[Peccei, Quinn '77,
Weinberg '78, Wilczek '78]

[Zhitnitsky '80,
Dine, Fischler, Srednicki '81]

[Kim '79,
Shifman, Vainshtein, Zakharov '80]

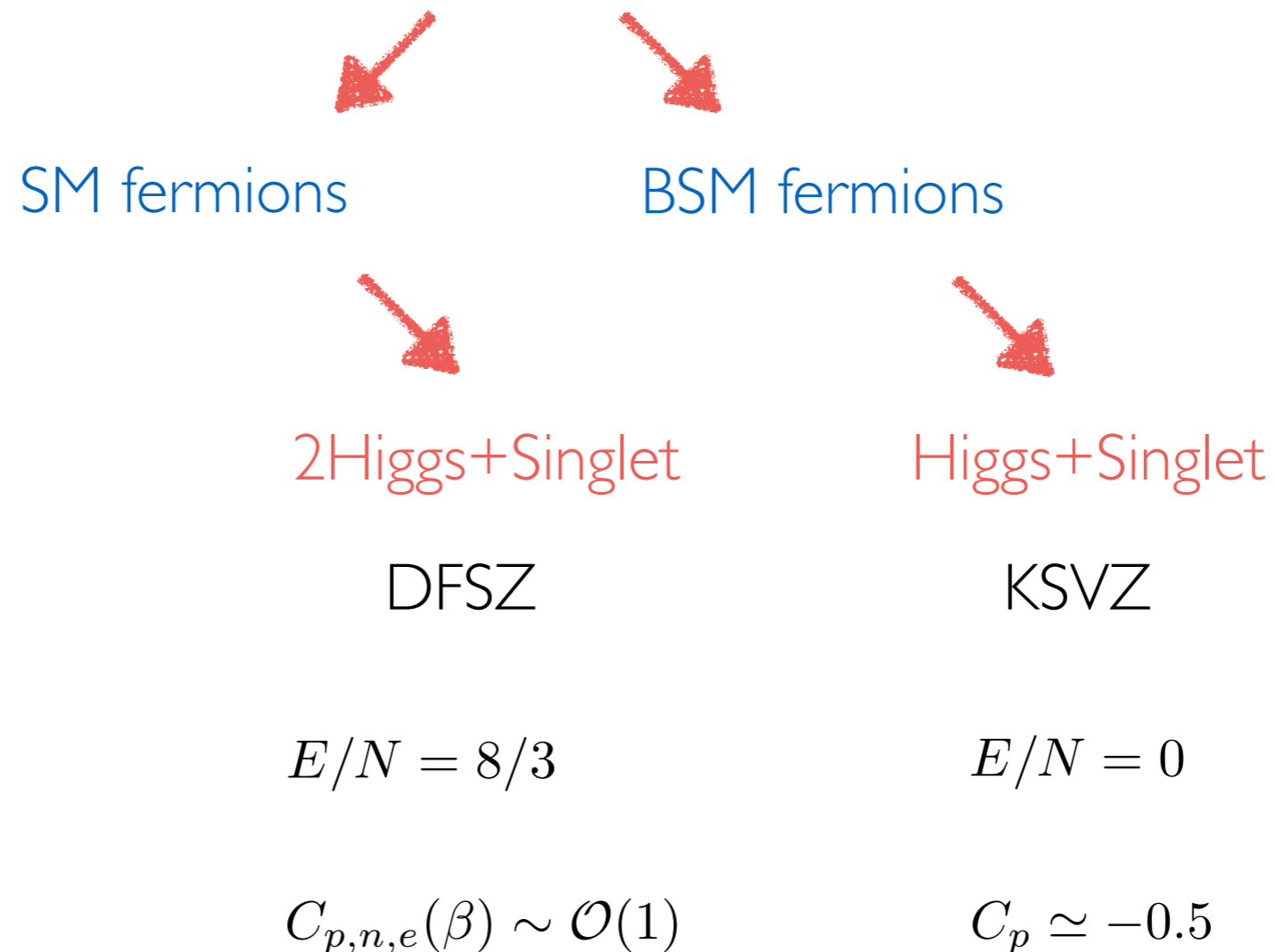
$f_a \sim v$ ruled out

$f_a \gg v$ “Invisible” axion (phase of singlet field)

Benchmark axion models

- global $U(1)_{\text{PQ}}$ (*QCD anomalous* + *spontaneously broken*)

$$U(1)_{\text{PQ}} \times SU(3)_c^2$$



Axions beyond benchmarks

- Some examples:

- enhance/suppress C_γ [LDL, Mescia, Nardi 1810.07593 + 1705.05370]
- suppress $C_{p,n}$ (and C_e) [LDL, Mescia, Nardi, Panci, Ziegler 1712.04940
Björkeröth, LDL, Mescia, Nardi, Panci, Ziegler 1907.06575]
- enhance C_e [LDL, Mescia, Nardi 1705.05370
LDL, Giannotti, Nardi, Visinelli 2003.01100]
- enhance $C_{p,n}$ [LDL, Giannotti, Nardi, Visinelli 2003.01100
Darne', LDL, Giannotti, Nardi, to appear]
- Flavour violating axions [Bjorkeroth, LDL, Mescia, Nardi 1811.09637]
- CP violating axions [Bertolini, LDL, Nesti 2006.12508]



QCD axion parameter space much larger than what traditionally thought

Axions beyond benchmarks

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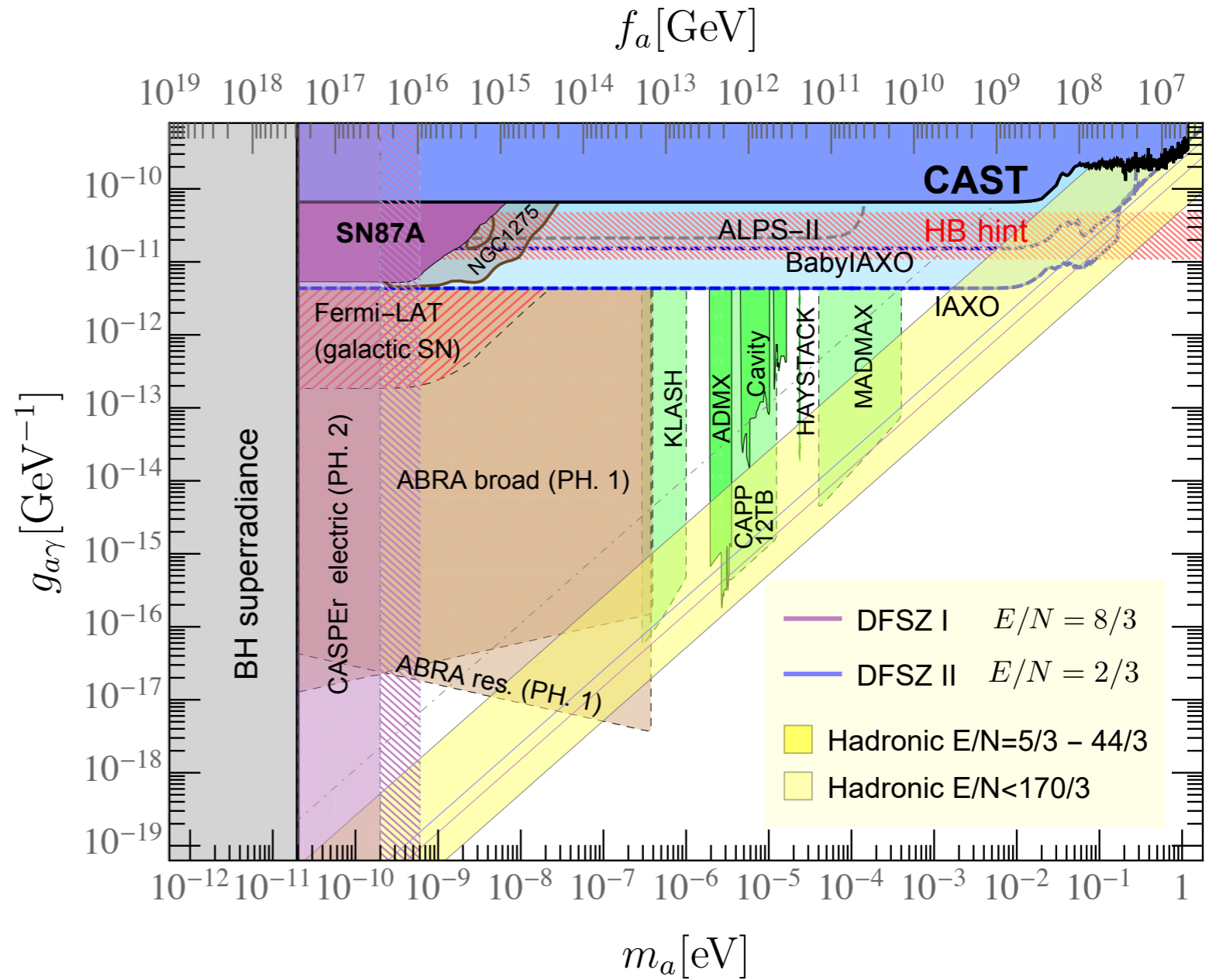
[Bertolini, LDL, Nesti 2006.12508]



QCD axion parameter space much larger than what traditionally thought

Axion-Photon

EXP	STATUS
CAST (CERN)	finished
ADMX (Seattle)	running
HAYSTAC (New Haven)	running
ALPs-II (DESY)	construction
CAPP (South Korea)	construction
ORGAN (Perth)	prototype
ABRACADABRA (MIT)	prototype
(Baby)IAXO (DESY)	preparation
MADMAX (DESY)	preparation
ACTION (South Korea)	proposed
KLASH (Frascati)	CDR
QUAX- $\alpha\gamma$ (Legnaro)	running
...	...



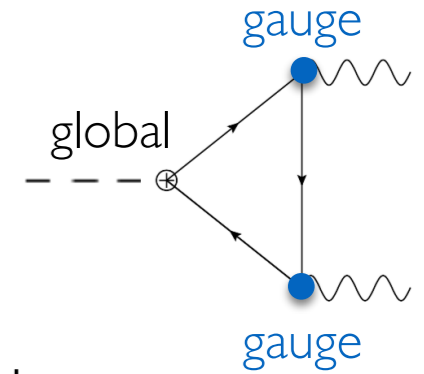
[LDL, Giannotti, Nardi, Visinelli 2003.01100 (Phys. Rept.)]

Axion-Photon

$$g_{a\gamma} = \frac{\alpha}{2\pi} \frac{C_{a\gamma}}{f_a}$$

$$C_{a\gamma} = E/N - 1.92(4)$$

$$\partial^\mu J_\mu^{PQ} = \frac{N\alpha_s}{4\pi} G \cdot \tilde{G} + \frac{E\alpha}{4\pi} F \cdot \tilde{F}$$



	R_Q	\mathcal{O}_{Qq}	$\Lambda_{\text{Landau}}^{2\text{-loop}} [\text{GeV}]$	E/N
R_Q^w	(3, 1, -1/3)	$\bar{Q}_L d_R$	$9.3 \cdot 10^{38} (g_1)$	2/3
	(3, 1, 2/3)	$\bar{Q}_L u_R$	$5.4 \cdot 10^{34} (g_1)$	8/3
	(3, 2, 1/6)	$\bar{Q}_R q_L$	$6.5 \cdot 10^{39} (g_1)$	5/3
	(3, 2, -5/6)	$\bar{Q}_L d_R H^\dagger$	$4.3 \cdot 10^{27} (g_1)$	17/3
	(3, 2, 7/6)	$\bar{Q}_L u_R H$	$5.6 \cdot 10^{22} (g_1)$	29/3
	(3, 3, -1/3)	$\bar{Q}_R q_L H^\dagger$	$5.1 \cdot 10^{30} (g_2)$	14/3
R_Q^s	(3, 3, 2/3)	$\bar{Q}_R q_L H$	$6.6 \cdot 10^{27} (g_2)$	20/3
	(3, 3, -4/3)	$\bar{Q}_L d_R H^{\dagger 2}$	$3.5 \cdot 10^{18} (g_1)$	44/3
	($\bar{6}$, 1, -1/3)	$\bar{Q}_L \sigma_{\mu\nu} d_R G^{\mu\nu}$	$2.3 \cdot 10^{37} (g_1)$	4/15
	($\bar{6}$, 1, 2/3)	$\bar{Q}_L \sigma_{\mu\nu} u_R G^{\mu\nu}$	$5.1 \cdot 10^{30} (g_1)$	16/15
	($\bar{6}$, 2, 1/6)	$\bar{Q}_R \sigma_{\mu\nu} q_L G^{\mu\nu}$	$7.3 \cdot 10^{38} (g_1)$	2/3
	(8, 1, -1)	$\bar{Q}_L \sigma_{\mu\nu} e_R G^{\mu\nu}$	$7.6 \cdot 10^{22} (g_1)$	8/3
	(8, 2, -1/2)	$\bar{Q}_R \sigma_{\mu\nu} \ell_L G^{\mu\nu}$	$6.7 \cdot 10^{27} (g_1)$	4/3
	(15, 1, -1/3)	$\bar{Q}_L \sigma_{\mu\nu} d_R G^{\mu\nu}$	$8.3 \cdot 10^{21} (g_3)$	1/6
	(15, 1, 2/3)	$\bar{Q}_L \sigma_{\mu\nu} u_R G^{\mu\nu}$	$7.6 \cdot 10^{21} (g_3)$	2/3

- Pheno preferred hadronic axions
 - Q-short lived (no coloured relics)
 - No Landau poles below Planck



$$E/N \in [5/3, 44/3]$$

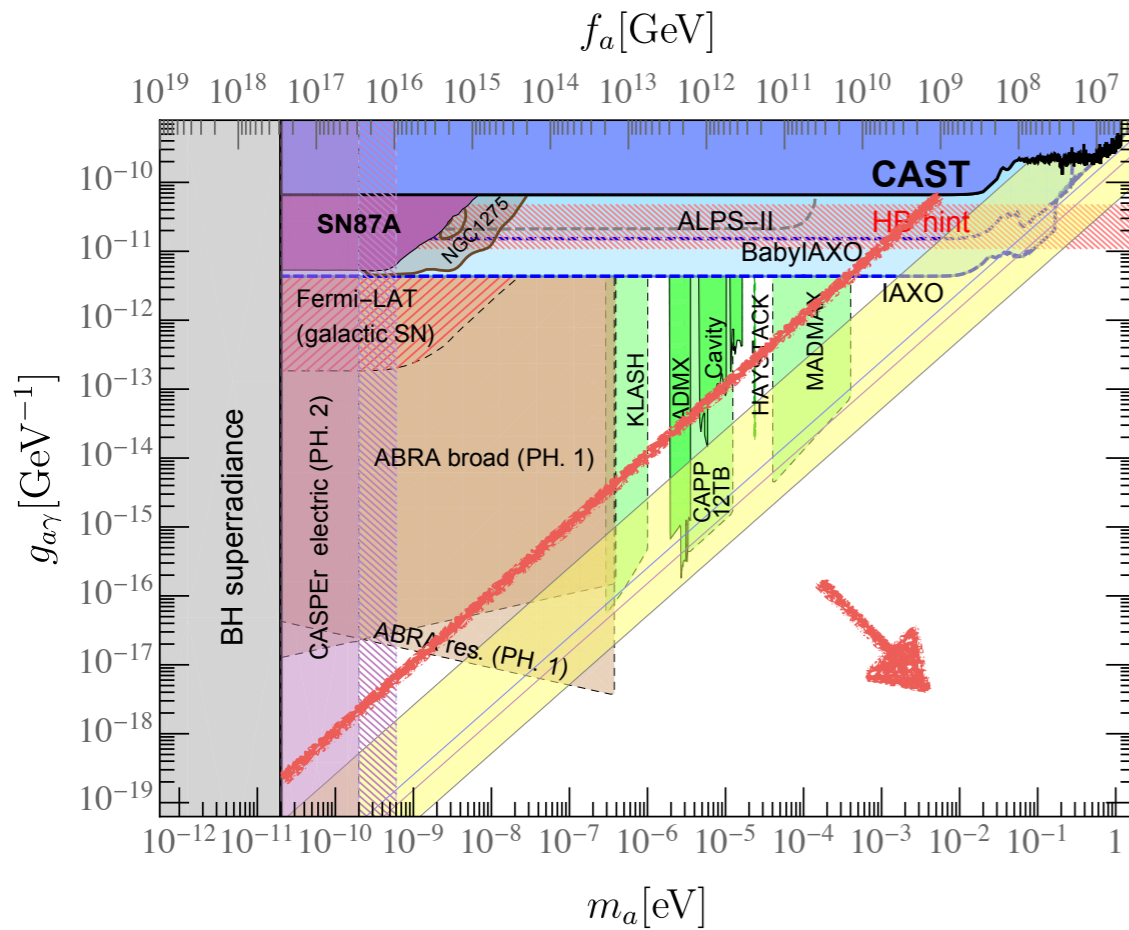
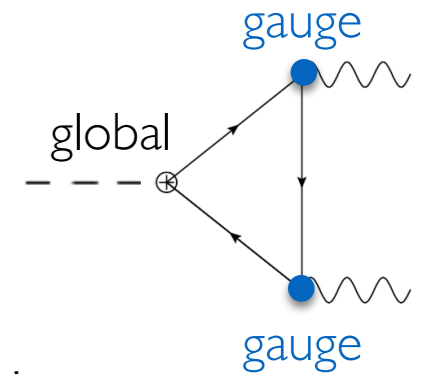
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- Pheno preferred hadronic axions

- More Q's? [LDL, Mescia, Nardi 1705.05370]

$$E/N < 170/3 \quad (\text{perturbativity})$$

$$g_{a\gamma} \rightarrow 0$$

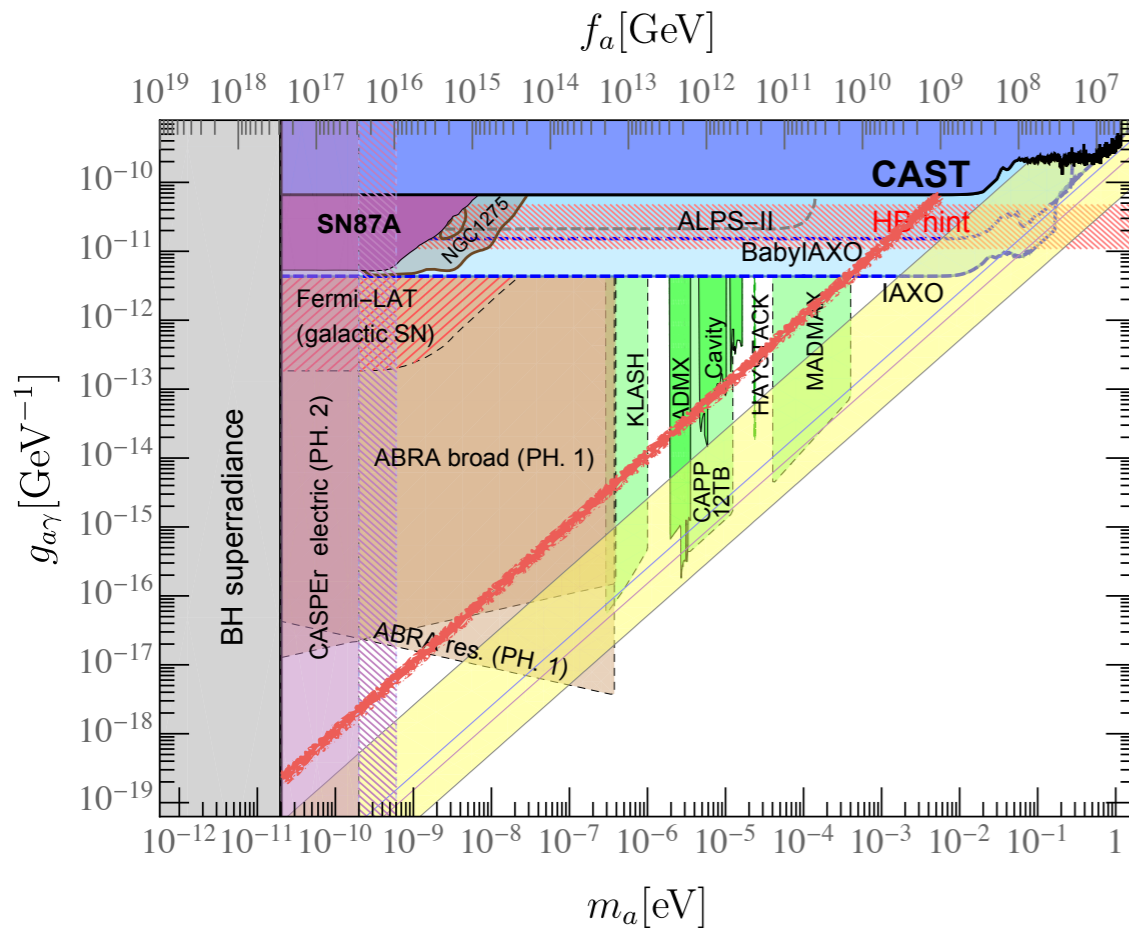
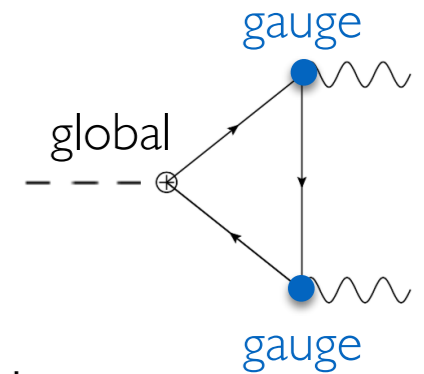
[“such a cancellation is immoral, but not unnatural”,
D. B. Kaplan, NPB260 (1985)]

Axion-Photon

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- Pheno preferred hadronic axions

- More Q's? [LDL, Mescia, Nardi 1705.05370]

$$E/N < 170/3 \quad (\text{perturbativity})$$

- Going above 170/3 ?

- boost global charge (clockwork-like)

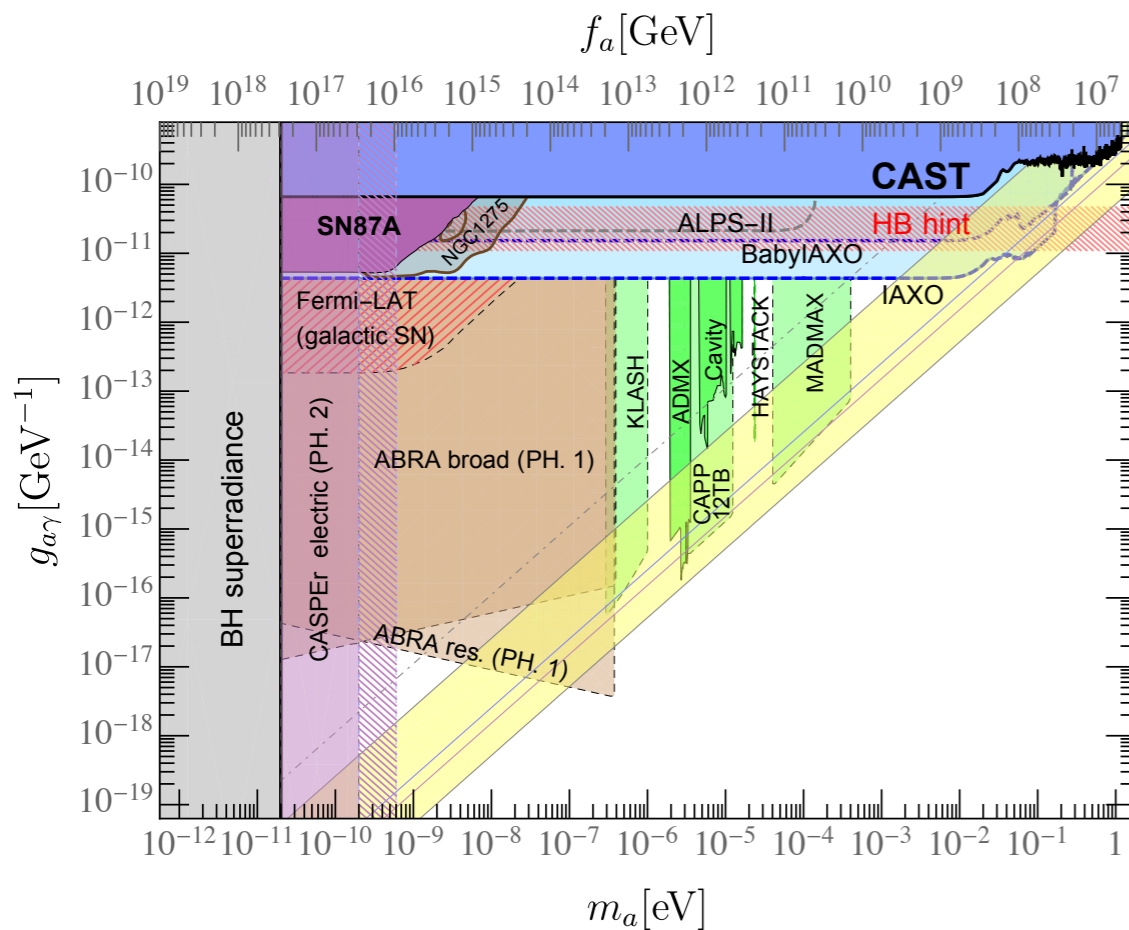
- be agnostic, E/N is a free parameter

A change of perspective

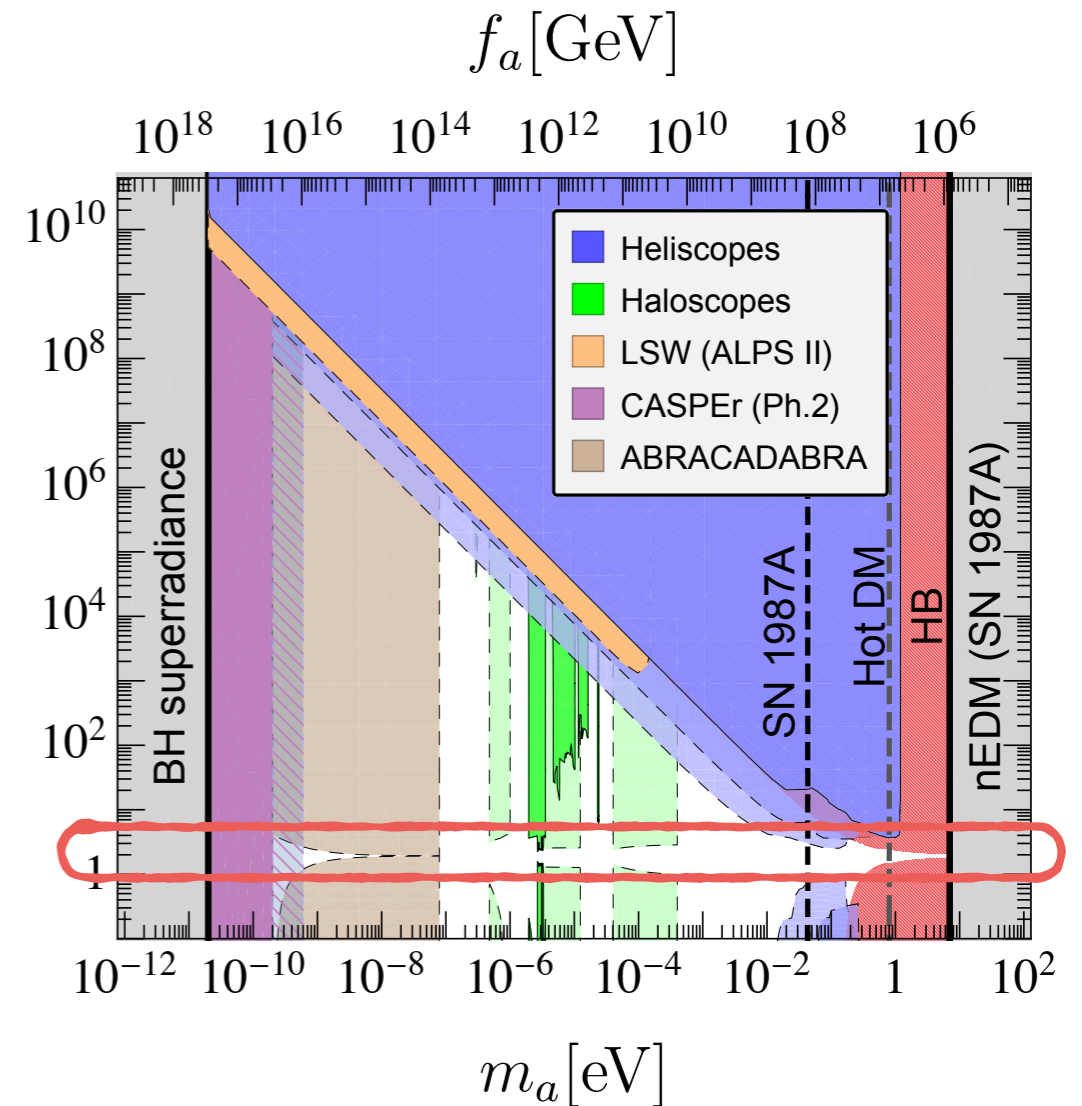
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$$C_{a\gamma} = E/N - 1.92(4)$$

[LDL, Giannotti, Nardi, Visinelli 2003.01100 (Phys. Rept.)]



E/N



1. They are all QCD axions, exp.s have just started to constrain E/N from above

2. $E/N \sim 1.92$ appears as a tuned region in theory space

CPV axion & long-range forces

- New CP violation in the UV can source a *scalar* axion-nucleon coupling

$$\frac{f_\pi}{2} \frac{a^2}{f_a^2} \bar{N}N \longrightarrow \bar{g}_{aN} a \bar{N}N \quad \bar{g}_{aN} \sim \frac{f_\pi}{f_a} \theta_{\text{eff}} \quad (\theta_{\text{eff}} = \frac{\langle a \rangle}{f_a} \neq 0)$$

[Moody, Wilczek PRD30 (1984)]

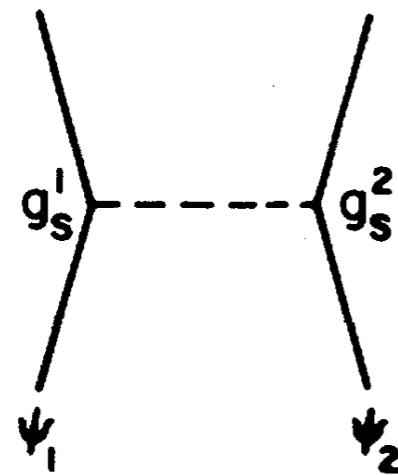
$$\frac{1}{2} \frac{a^2}{f_a^2} \underbrace{\langle G\tilde{G}, G\tilde{G} \rangle}_\chi + \frac{a}{f_a} \underbrace{\langle G\tilde{G}, \mathcal{O}_{\text{CPV}} \rangle}_{\chi'} \longrightarrow \frac{\langle a \rangle}{f_a} = -\frac{\chi'}{\chi}$$

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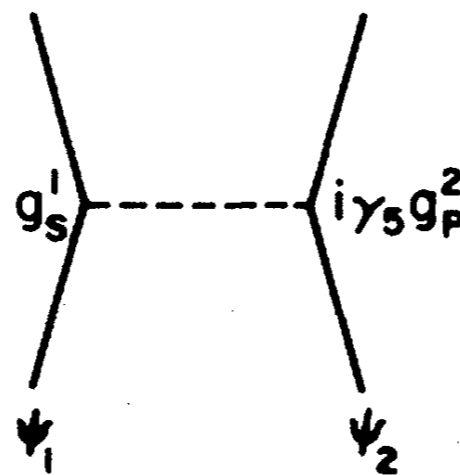
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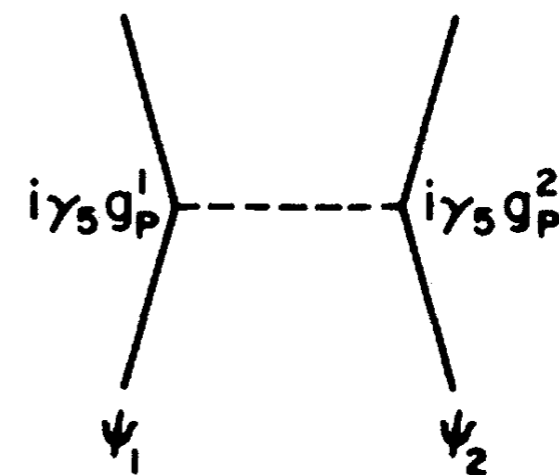
(a)

monopole-monopole



(b)

monopole-dipole



(c)

dipole-dipole

$$V(r) = \frac{-g_s^1 g_s^2 e^{-m_\phi r}}{4\pi r}$$

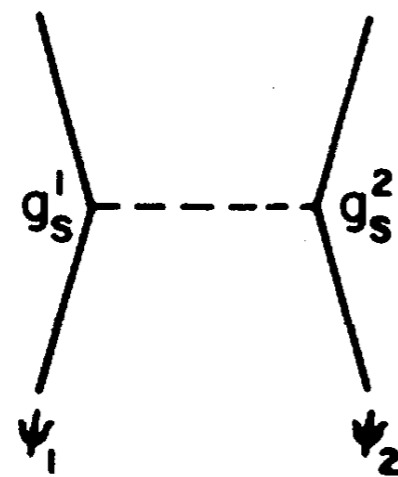
$$V(r) = (g_s^1 g_P^2) \frac{\hat{\sigma}_2 \cdot \hat{r}}{8\pi M_2} \left[\frac{m_\phi}{r} + \frac{1}{r^2} \right] e^{-m_\phi r}$$

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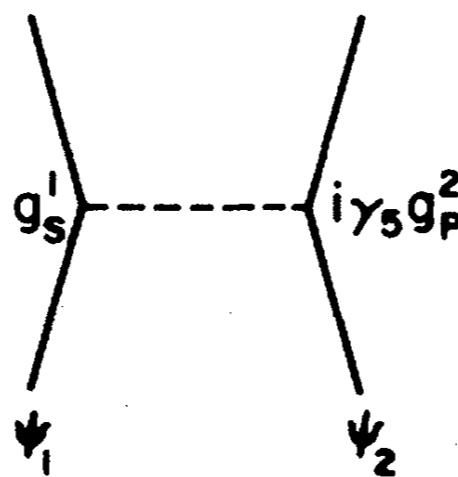
[Moody, Wilczek PRD30 (1984)]



(a)

monopole-monopole

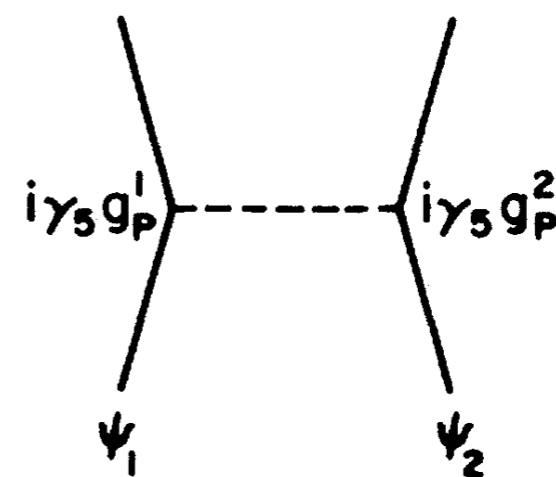
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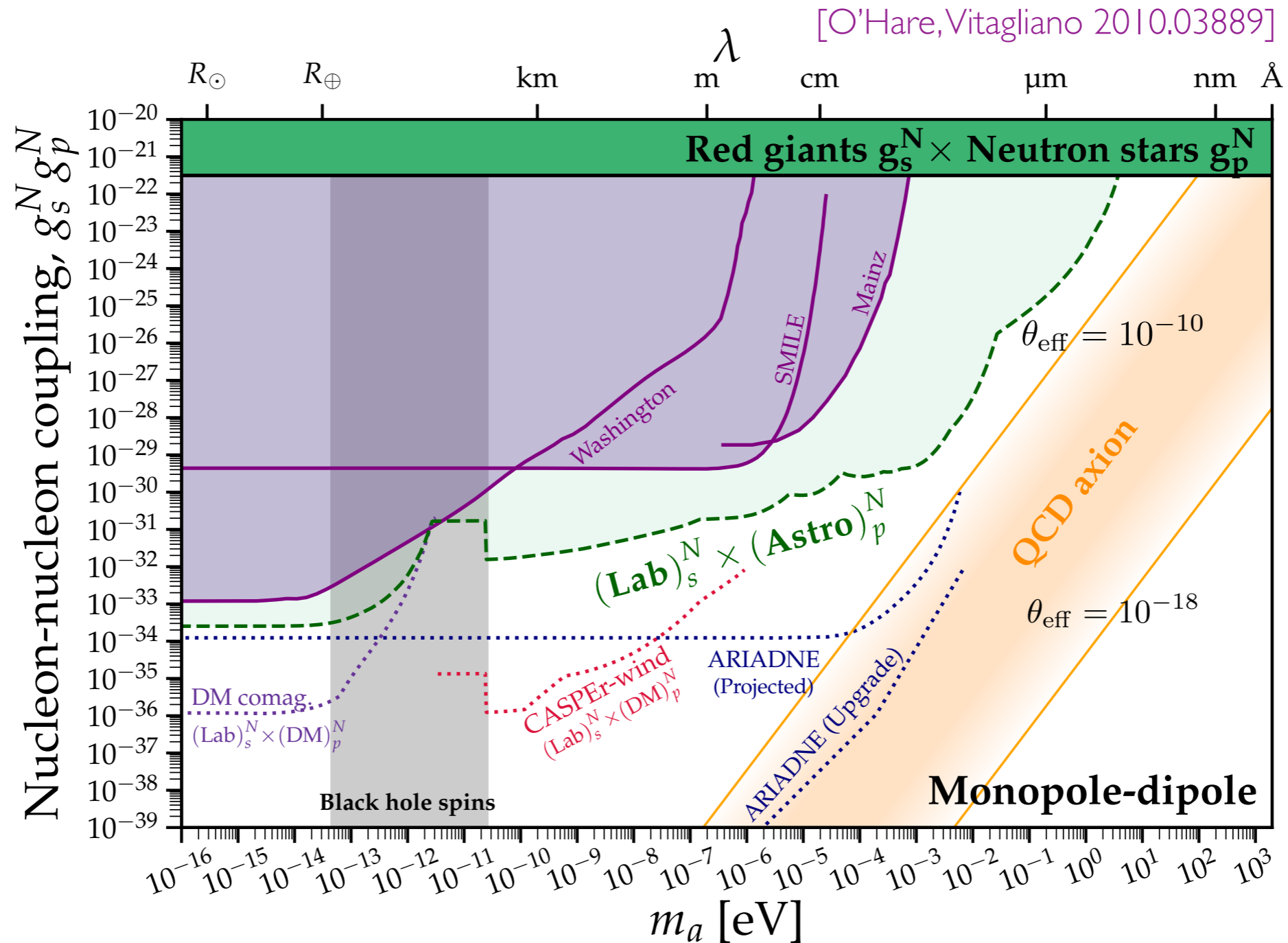


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A new master formula

- Moody-Wilczek formula

[Moody, Wilczek PRD30 (1984)]

$$\bar{g}_{aN} = \frac{\bar{\theta}_{\text{eff}}}{f_a} \frac{m_u m_d}{m_u + m_d} \langle N | \bar{u}u + \bar{d}d | N \rangle \simeq \bar{\theta}_{\text{eff}} \left(\frac{17 \text{ MeV}}{f_a} \right)$$

- From LO bary-meson chiral Lagrangian

[Bertolini, LDL, Nesti [2006.12508](#)]

$$\bar{g}_{an,p} \simeq \frac{4B_0 m_u m_d}{f_a (m_u + m_d)} \left[\pm (b_D + b_F) \frac{\langle \pi^0 \rangle}{F_\pi} + \frac{b_D - 3b_F}{\sqrt{3}} \frac{\langle \eta_8 \rangle}{F_\pi} - \sqrt{\frac{2}{3}} (3b_0 + 2b_D) \frac{\langle \eta_0 \rangle}{F_\pi} - \left(b_0 + (b_D + b_F) \frac{m_{u,d}}{m_d + m_u} \right) \bar{\theta}_{\text{eff}} \right]$$

meson tadpoles

iso-spin breaking

MW missed a factor 1/2

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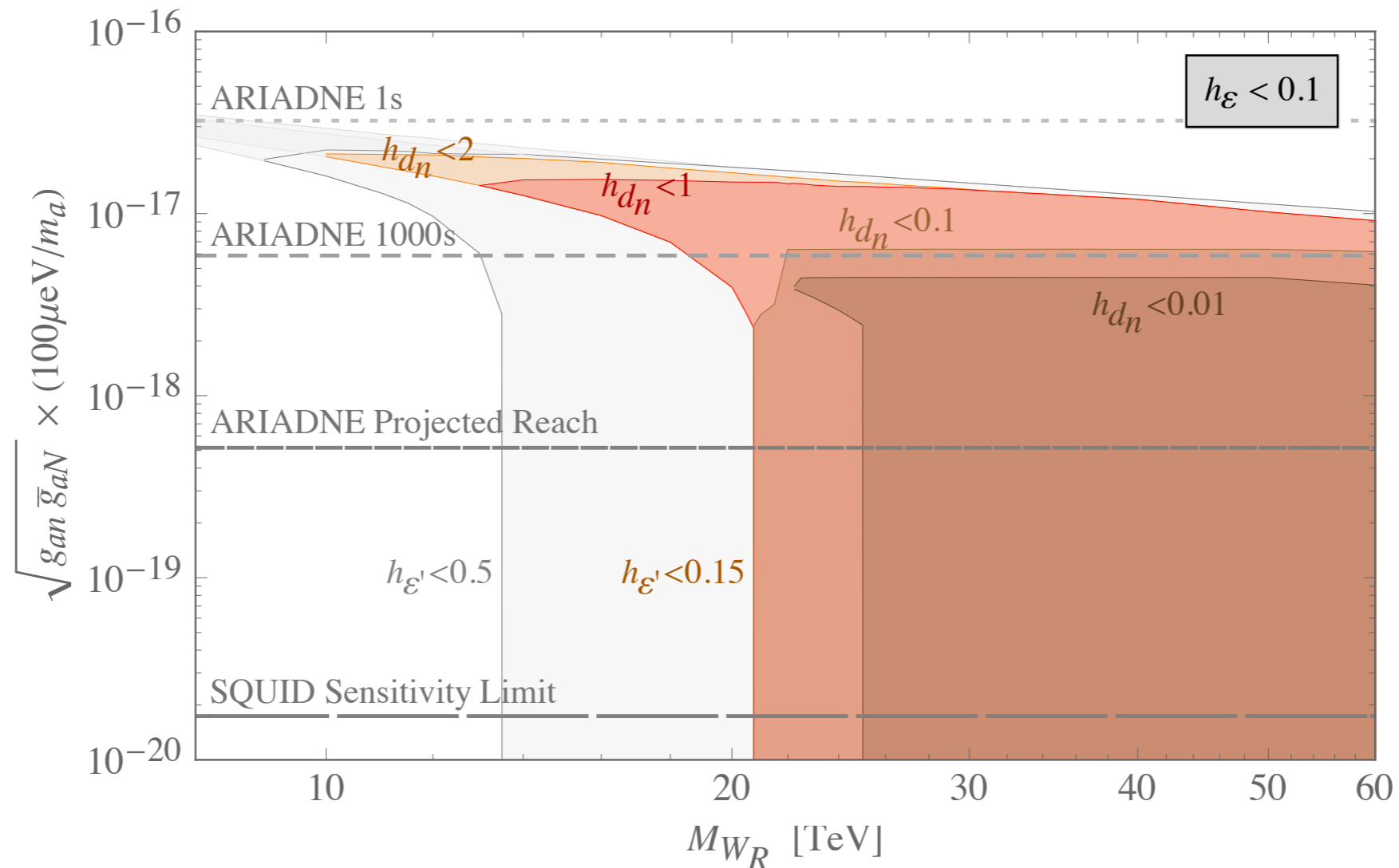
A new master formula

- Predictions from low-scale PQ-LR with P-parity

[Bertolini, LDL, Nesti [2006.12508](#)]

4 CPV observables (ε , ε' , d_n , \bar{g}_{aN}) function of a single phase α

$$\langle \Phi \rangle = \text{diag} \{v_1, e^{i\alpha} v_2\}$$



$$h_{\mathcal{O}} \equiv \frac{\mathcal{O}^{\text{th}}}{\mathcal{O}^{\text{exp}}}$$

Towards a PQ theory

- $U(1)_{PQ}$ often imposed 'by hand', while a proper PQ theory should:
 1. realise the PQ as an **accidental** symmetry
 2. protect the $U(1)_{PQ}$ against UV sources of PQ breaking (**PQ-quality problem**)

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$$\mathcal{O}_{\text{PQ-break}} = \frac{\phi^d}{\Lambda_{\text{UV}}^{d-4}} \quad \xrightarrow{\quad} \quad \left(\frac{f_a}{\Lambda_{\text{UV}}}\right)^{d-4} f_a^4 \lesssim 10^{-10} \Lambda_{\text{QCD}}^4$$
$$\theta_{\text{eff}} \equiv \frac{\langle a \rangle}{f_a} \lesssim 10^{-10}$$
$$\phi \sim f_a e^{i\frac{a}{f_a}}$$

$\xrightarrow{\quad}$ $d \gtrsim 9$ (e.g. for $\Lambda_{\text{UV}} \sim M_{\text{Pl}}$ and $f_a \sim 10^9$ GeV)

Accidental $SO(10)$ axion

- Automatic $U(1)_{PQ}$ in $SO(10)$, upon **gauging** the flavour group $SU(3)_f$ [LDL, 2008.09119]

$$\psi_{16}^i = \begin{pmatrix} u_L^1 & u_L^2 & u_L^3 & \nu_L & u_R^{1c} & u_R^{2c} & u_R^{3c} & \nu_R^c \\ d_L^1 & d_L^2 & d_L^3 & e_L & d_R^{1c} & d_R^{2c} & d_R^{3c} & e_R^c \end{pmatrix}^i \quad i = 1, 2, 3$$



$$U(3) = U(1)_{PQ} \times SU(3)_f$$

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$$U(3) = U(1)_{PQ} \times SU(3)_f$$

$$\psi_{16} \rightarrow e^{i\alpha} \psi_{16} \quad (\text{born as a PQ symmetry, due to chiral } SO(10) \text{ embedding})$$

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Field	Lorentz	$SO(10)$	\mathbb{Z}_4	$SU(3)_f$	\mathbb{Z}_3	$U(1)_{PQ}$
ψ_{16}	$(1/2, 0)$	16	i	$\mathbf{3}$	$e^{i2\pi/3}$	1
$\psi_1^{1, \dots, 16}$	$(1/2, 0)$	1	1	$\bar{\mathbf{3}}$	$e^{i4\pi/3}$	0
ϕ_{10}	$(0, 0)$	10	-1	$\bar{\mathbf{6}}$	$e^{i2\pi/3}$	-2
ϕ_{16}	$(0, 0)$	16	i	$\bar{\mathbf{3}}$	$e^{i4\pi/3}$	-1
$\phi_{\overline{126}}$	$(0, 0)$	$\overline{126}$	-1	$\bar{\mathbf{6}}$	$e^{i2\pi/3}$	-2
ϕ_{45}	$(0, 0)$	45	1	$\mathbf{1}$	1	0



$U(1)_{PQ}$ arises **accidentally** in the renorm. Lagrangian

Leading PQ break. operator is $\phi_{16}^6 \phi_{\overline{126}}^3$ ($d=9$)

(protection neatly understood in terms of by $\mathbb{Z}_4 \times \mathbb{Z}_3$ center)

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Field	Lorentz	SO(10)	\mathbb{Z}_4	$SU(3)_f$	\mathbb{Z}_3	$U(1)_{PQ}$
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ϕ_{45}	(0, 0)	45	1	1	1	0



Axion in a linear combination of 16 and 126 phases

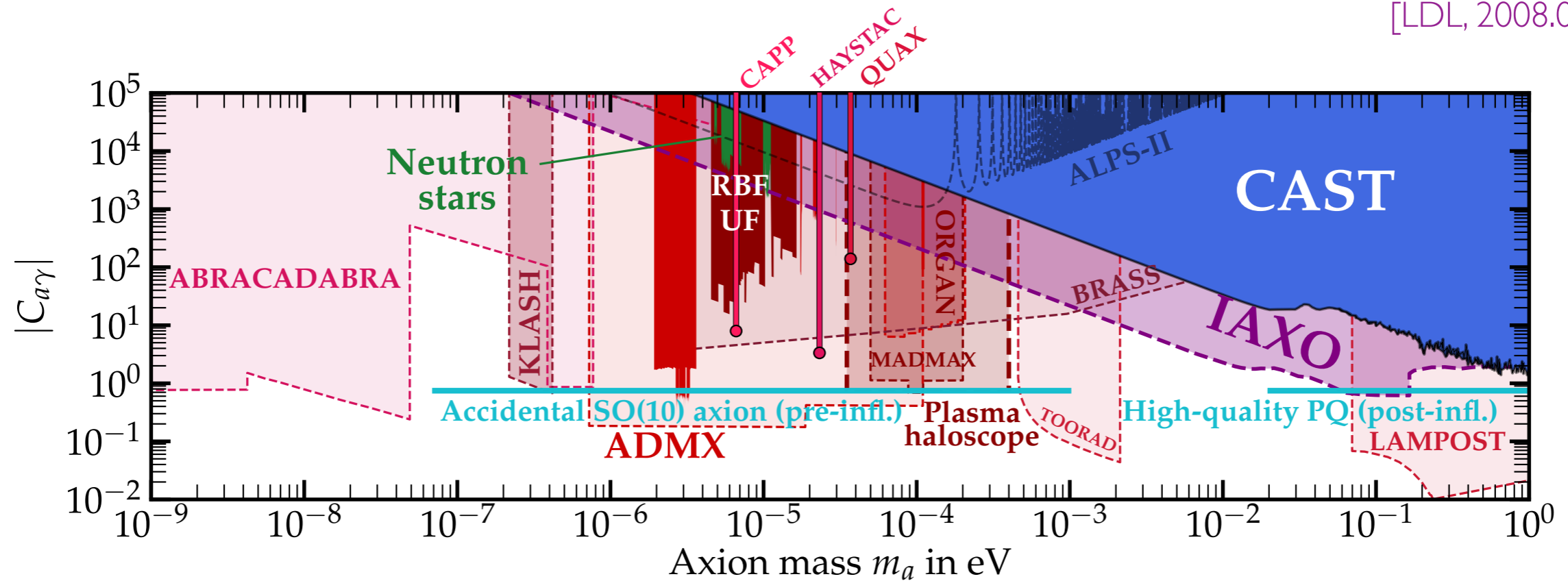
$$f_a = \frac{V_{\overline{126}} V_{16}}{3\sqrt{V_{16}^2 + 4V_{\overline{126}}^2}}$$

$$SO(10) \times U(1)_{PQ} \xrightarrow{\langle \phi_{45} \rangle_{B-L}} SU(3)_c \times SU(2)_L \times SU(2)_R \times U(1)_{B-L} \times U(1)_{PQ}$$

$$\xrightarrow{V_{\overline{126}}, V_{16}} SU(3)_c \times SU(2)_L \times U(1)_Y$$

Accidental SO(10) axion

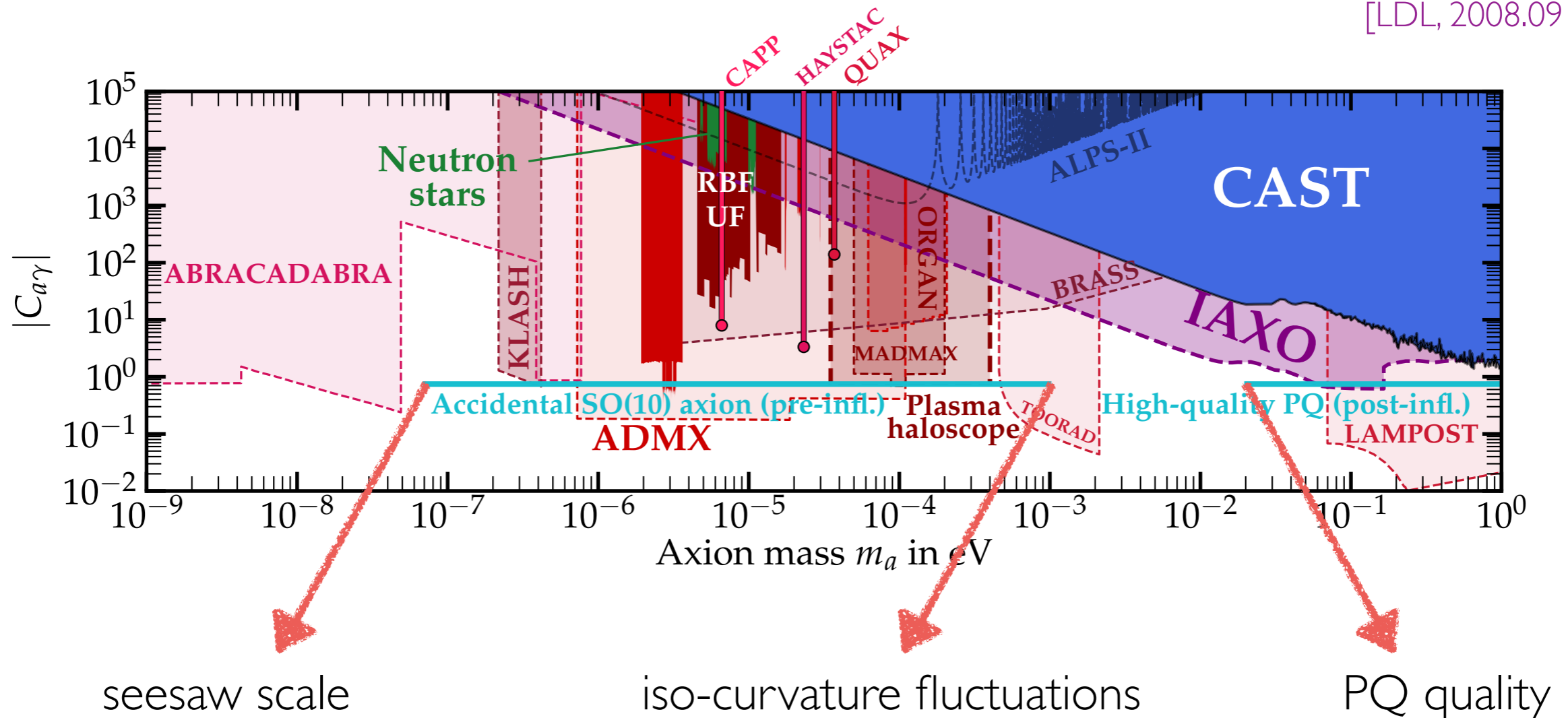
[LDL, 2008.09119]



a PQ theory could tell us where to search in an otherwise huge param. space !

Accidental SO(10) axion

[LDL, 2008.09119]



a PQ theory could tell us where to search in an otherwise huge param. space !

Conclusions

- QCD axion: 2 birds with 1 stone

1. Strong CP problem

2. Dark Matter

- Experimentally driven phase

we are entering now the preferred window for the QCD axion

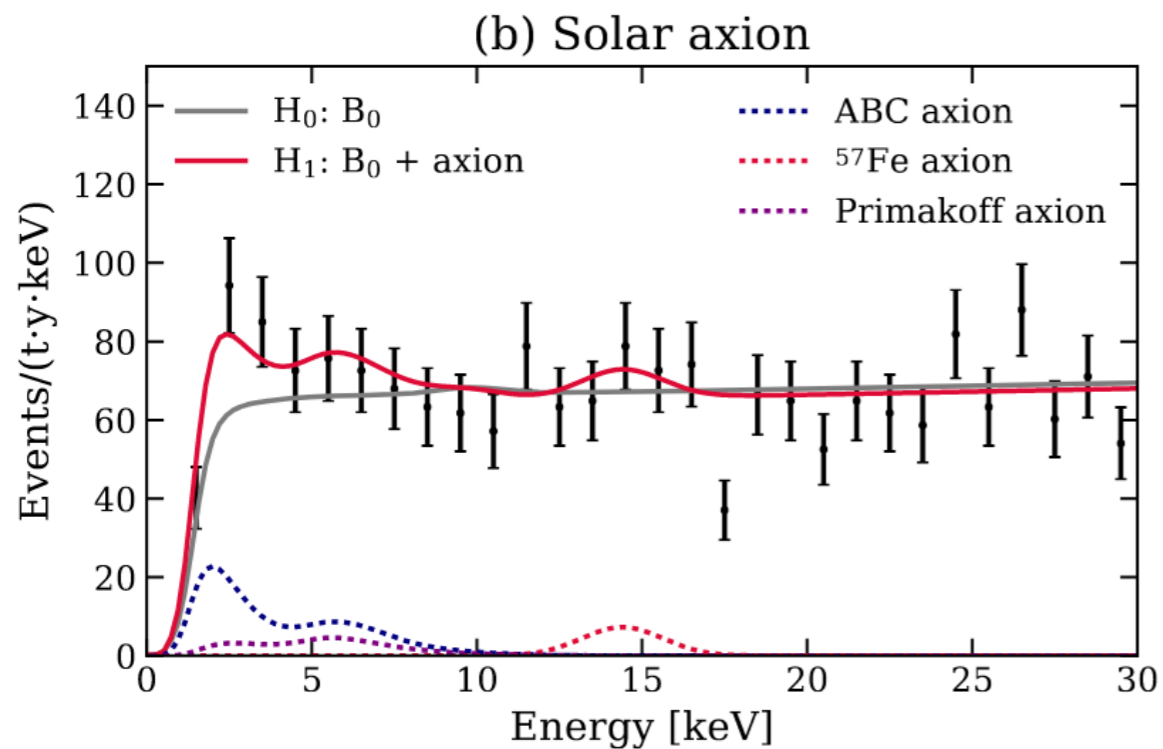
- Take home message

axion couplings are UV dependent (enhanced couplings, flavour, CPV, etc.)

*if an “axion-like particle” will be ever discovered,
it would be tempting to think that it had something to do with the strong CP problem*

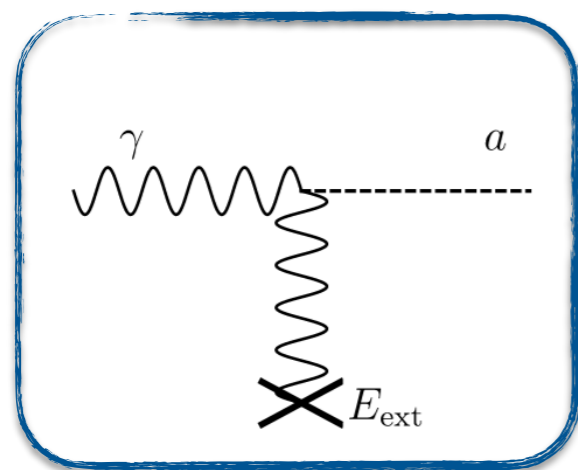
Backup slides

XENONIT

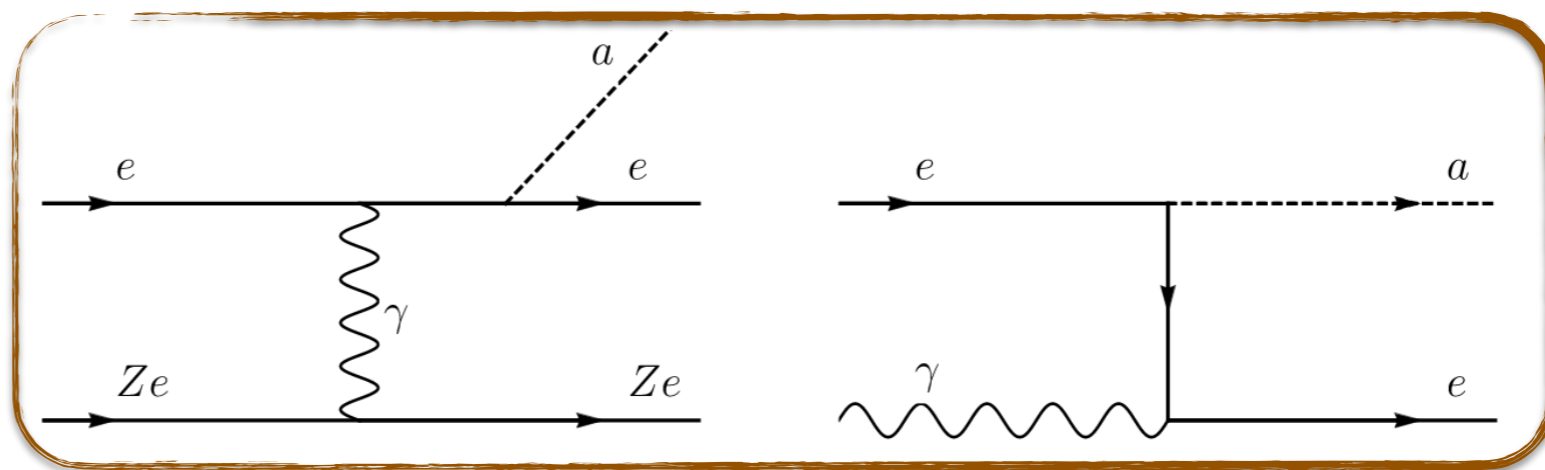


Solar axion hypoth. favoured at 3.5σ

$$\mathcal{L}_{\text{int}} = \frac{1}{4} g_{a\gamma} a F_{\mu\nu} \tilde{F}^{\mu\nu} + g_{ae} \frac{\partial_\mu a}{2m_e} \bar{e} \gamma^\mu \gamma_5 e$$



Primakoff

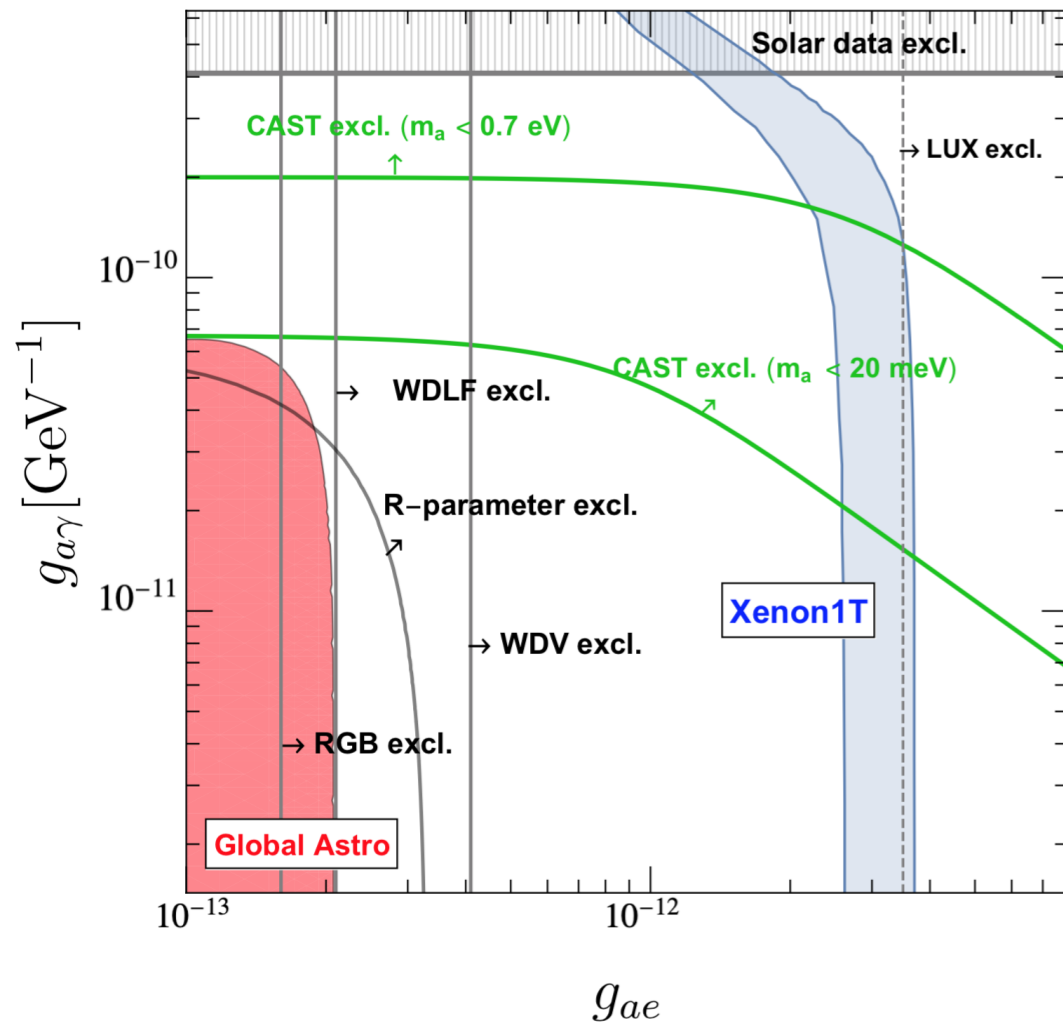


Bremsstrahlung

Compton

XENON1T

[LDL, Fedele, Giannotti, Mescia, Nardi 2006.12487 (Phys. Rev. Lett.)]



Solar axion hypoth. favoured at 3.5σ

Untenable, when confronted with astrophysics !

Observable	Measured	Expected	Tension
R -parameter	1.39 ± 0.03	≤ 0.83 ($g_{e13} = 9$)	$19\sigma^*$
$M_{I,TRGB}^{LMC}$ [mag]	-4.047 ± 0.045	≤ -4.92 ($g_{e13} = 9$)	$19\sigma^*$
g_{e13}^{WDLF}	≤ 2.8 (3σ)	29.7 ± 4.8	5.6σ
$\dot{\Pi}_{L19-2}^{(113)}$	3.0 ± 0.6	57 ± 16	3.4σ
$\dot{\Pi}_{L19-2}^{(192)}$	3.0 ± 0.6	95 ± 27	3.4σ
$\dot{\Pi}_{PG1351+489}$	200 ± 90	19620 ± 5730	3.4σ
$\dot{\Pi}_{G117-B15A}$	4.2 ± 0.7	113 ± 33	3.3σ
$\dot{\Pi}_{R548}$	3.3 ± 1.1	87 ± 25	3.3σ

FIG. 2. XENON1T 90% C.L. fit (blue region). 3σ exclusion limit from solar data (grey hatched region). 2σ LUX limit (grey dashed line) and CAST limits for $m_a < 20$ meV and $m_a < 0.7$ eV (green lines). Individual 2σ limits from R -parameter, TRGB, WDLF, WDV (grey lines) and 2σ global bound from astrophysics (red region).

TABLE I. Measured values of astrophysic observables and expected ranges, for g_{ae} , $g_{a\gamma}$ falling within the 1σ region of the XENON1T fit ($\bar{g}_{e13} \in [28, 35]$). $\dot{\Pi}_{WD_i}$ are in units of

XENON1T

[LDL, Fedele, Giannotti, Mescia, Nardi 2006.12487 (Phys. Rev. Lett.)]

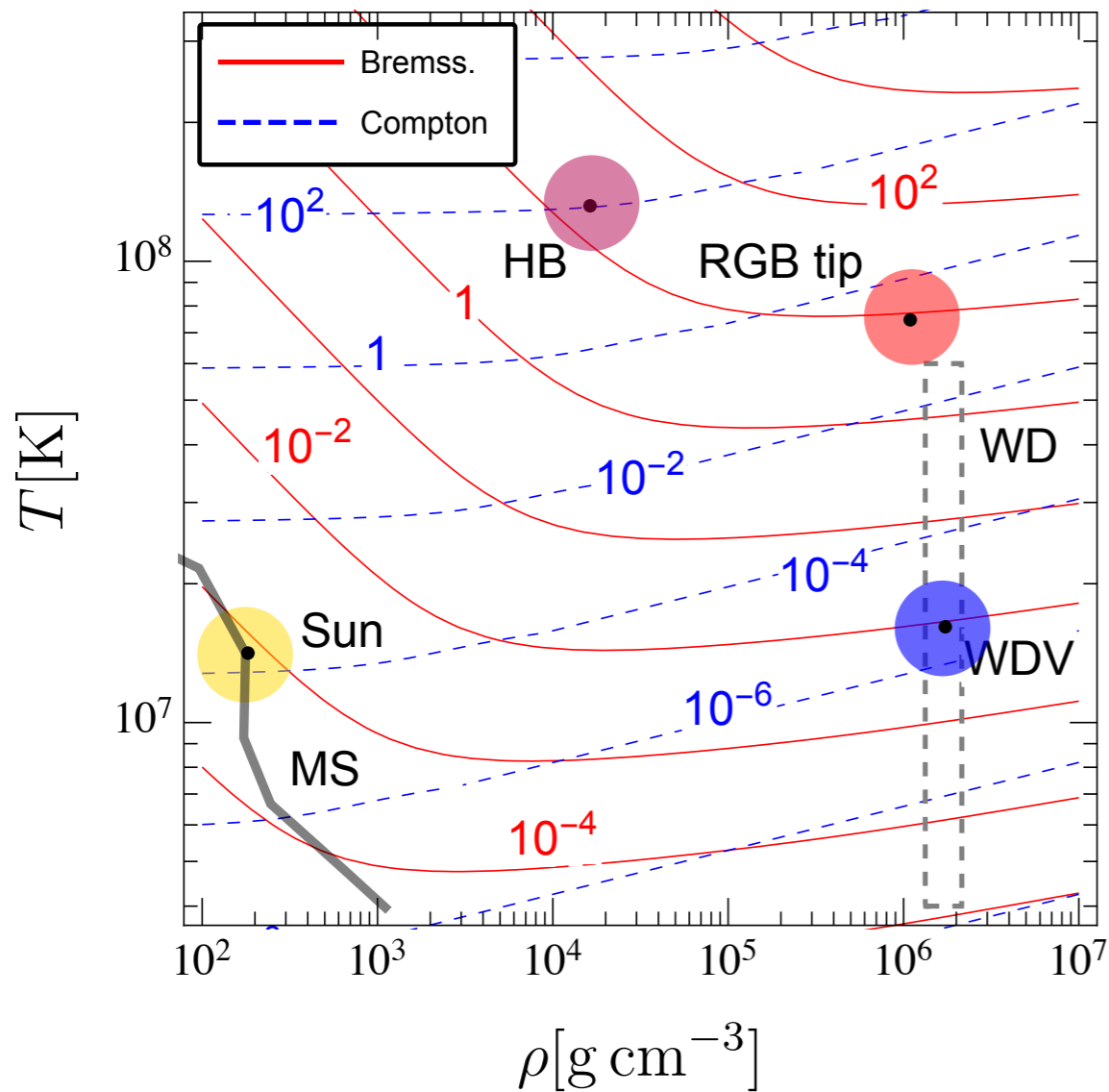


FIG. 1. Contours of the axion energy-loss rates per unit mass, in $\text{erg g}^{-1}\text{s}^{-1}$, for a pure He plasma and $g_{e13} = 4.3$.

Solar axion hypoth. favoured at 3.5σ

Untenable, when confronted with astrophysics!

Observable	Measured	Expected	Tension
R -parameter	1.39 ± 0.03	≤ 0.83 ($g_{e13} = 9$)	$19\sigma^*$
$M_{I, \text{TRGB}}^{\text{LMC}}$ [mag]	-4.047 ± 0.045	≤ -4.92 ($g_{e13} = 9$)	$19\sigma^*$
g_{e13}^{WDLF}	≤ 2.8 (3σ)	29.7 ± 4.8	5.6σ
$\dot{\Pi}_{\text{L19-2}}^{(113)}$	3.0 ± 0.6	57 ± 16	3.4σ
$\dot{\Pi}_{\text{L19-2}}^{(192)}$	3.0 ± 0.6	95 ± 27	3.4σ
$\dot{\Pi}_{\text{PG1351+489}}$	200 ± 90	19620 ± 5730	3.4σ
$\dot{\Pi}_{\text{G117-B15A}}$	4.2 ± 0.7	113 ± 33	3.3σ
$\dot{\Pi}_{\text{R548}}$	3.3 ± 1.1	87 ± 25	3.3σ

TABLE I. Measured values of astrophysic observables and expected ranges, for $g_{ae}, g_{a\gamma}$ falling within the 1σ region of the XENON1T fit ($\bar{g}_{e13} \in [28, 35]$). $\dot{\Pi}_{\text{WD}_i}$ are in units of

KSVZ axions

- Field content

Field	Spin	$SU(3)_C$	$SU(2)_L$	$U(1)_Y$	$U(1)_{PQ}$
Q_L	1/2	\mathcal{C}_Q	\mathcal{I}_Q	\mathcal{Y}_Q	\mathcal{X}_L
Q_R	1/2	\mathcal{C}_Q	\mathcal{I}_Q	\mathcal{Y}_Q	\mathcal{X}_R
Φ	0	1	1	0	1

[Kim '79,
Shifman, Vainshtein, Zakharov '80]

PQ charges carried by a vector-like quark $Q = Q_L + Q_R$

[original KSVZ model assumes $Q \sim (3, 1, 0)$]

$$\partial^\mu J_\mu^{PQ} = \frac{N\alpha_s}{4\pi} G \cdot \tilde{G} + \frac{E\alpha}{4\pi} F \cdot \tilde{F}$$

$$N = \sum_Q (\mathcal{X}_L - \mathcal{X}_R) T(\mathcal{C}_Q)$$

$$E = \sum_Q (\mathcal{X}_L - \mathcal{X}_R) Q_Q^2$$

} anomaly coeff.

and a SM singlet Φ containing the “invisible” axion ($f_a \gg v$)

$$\Phi(x) = \frac{1}{\sqrt{2}} [\rho(x) + f_a] e^{ia(x)/f_a}$$

KSVZ axions

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Φ	0	1	1	0	1

[Kim '79,
Shifman, Vainshtein, Zakharov '80]

- Lagrangian

$$\mathcal{L}_a = \mathcal{L}_{\text{SM}} + \mathcal{L}_{\text{PQ}} - V_{H\Phi} + \mathcal{L}_{Qq} \quad |\mathcal{X}_L - \mathcal{X}_R| = 1$$

- $\mathcal{L}_{\text{PQ}} = |\partial_\mu \Phi|^2 + \bar{Q} i \not{D} Q - (y_Q \bar{Q}_L Q_R \Phi + \text{H.c.}) \quad \longrightarrow \quad m_Q = y_Q f_a / \sqrt{2}$

- $V_{H\Phi} = -\mu_\Phi^2 |\Phi|^2 + \lambda_\Phi |\Phi|^4 + \lambda_{H\Phi} |H|^2 |\Phi|^2 \quad \longrightarrow \quad m_\rho \sim f_a$

- \mathcal{L}_{Qq} $d \leq 4$ mixing with SM quarks (depends in Q-gauge quantum numbers)

Q stability

- Symmetry of the kinetic term

$$U(1)_{Q_L} \times U(1)_{Q_R} \times U(1)_\Phi \xrightarrow{y_Q \neq 0} U(1)_{PQ} \times U(1)_Q$$

$$\mathcal{L}_{PQ} = |\partial_\mu \Phi|^2 + \bar{Q} i \not{D} Q - (y_Q \bar{Q}_L Q_R \Phi + \text{H.c.})$$

- $U(1)_Q$ is the Q-baryon number: if exact, Q would be stable



cosmological issue if thermally produced
in the early universe !

Q stability

- Symmetry of the kinetic term

$$U(1)_{Q_L} \times U(1)_{Q_R} \times U(1)_\Phi \xrightarrow{y_Q \neq 0} U(1)_{PQ} \times U(1)_Q$$

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- $U(1)_Q$ is the Q-baryon number: if exact, Q would be stable

- if $\mathcal{L}_{Qq} \neq 0$ $U(1)_Q$ is further broken and Q-decay is possible

[Ringwald, Saikawa, 1512.06436]

- decay also possible via $d > 4$ operators (e.g. Planck-induced)

 stability depends on Q representations

Selection criteria

- We require: [for $T_{\text{reheating}} > m_Q \sim f_a$ (post-inflat. PQ breaking)]

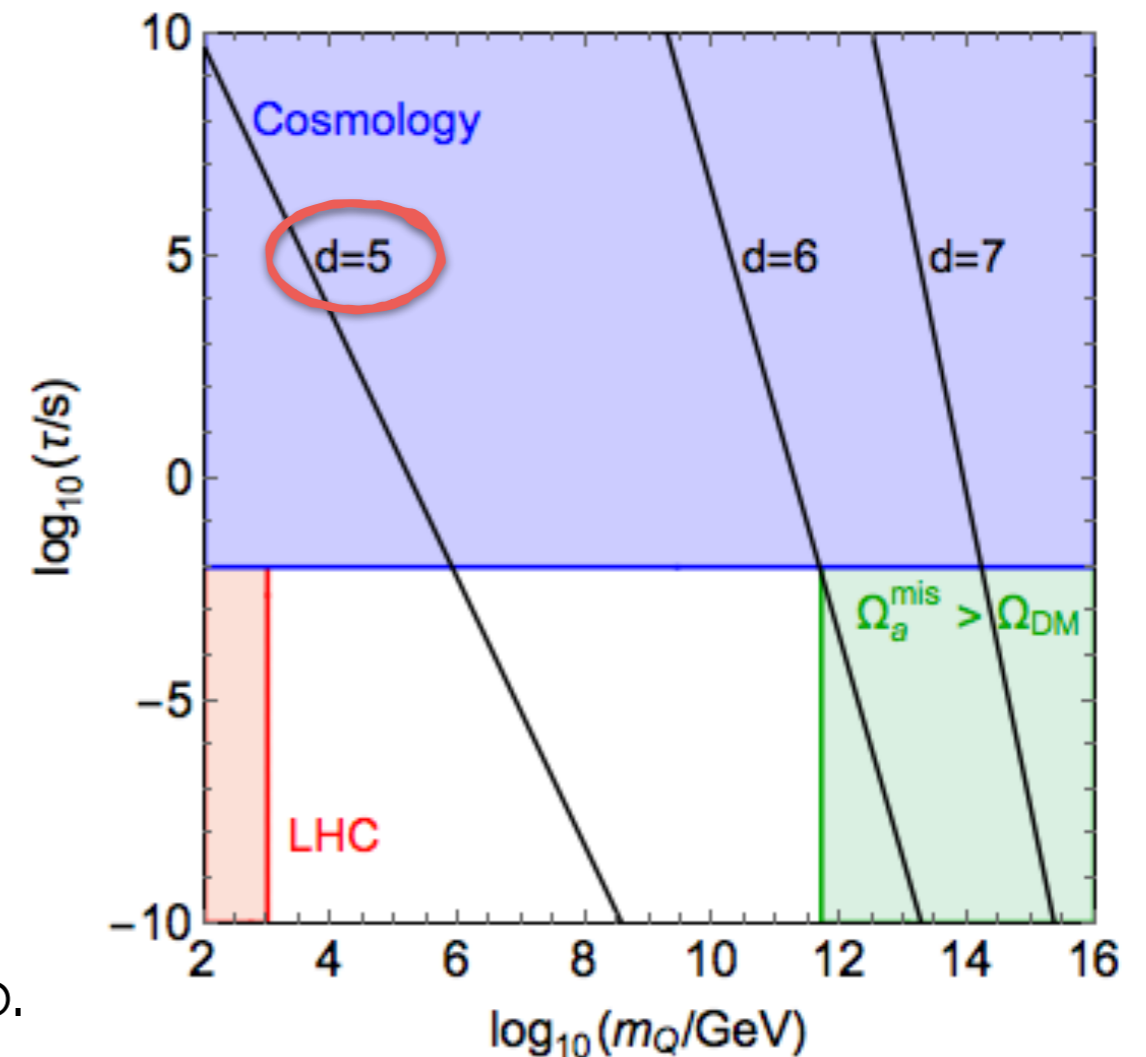
I. Q sufficiently short lived $\tau_Q \lesssim 10^{-2}$ s

- decays via $d=4$ operators are fast enough
- decays via effective operators

$$\mathcal{L}_{Qq}^{d>4} = \frac{1}{M_{\text{Planck}}^{(d-4)}} \mathcal{O}_{Qq}^{d>4} + \text{h.c.}$$

$$\Gamma_{\text{NDA}} = \frac{1}{4(4\pi)^{2n_f-3} (n_f-1)! (n_f-2)!} \frac{m_Q^{2d-7}}{M_{\text{Planck}}^{2(d-4)}}$$

→ “safe” Q must allow for $d=4$ or 5 decay op.



Selection criteria

• We require: [for $T_{\text{reheating}} > m_Q \sim f_a$ (post-inflat. PQ breaking)]

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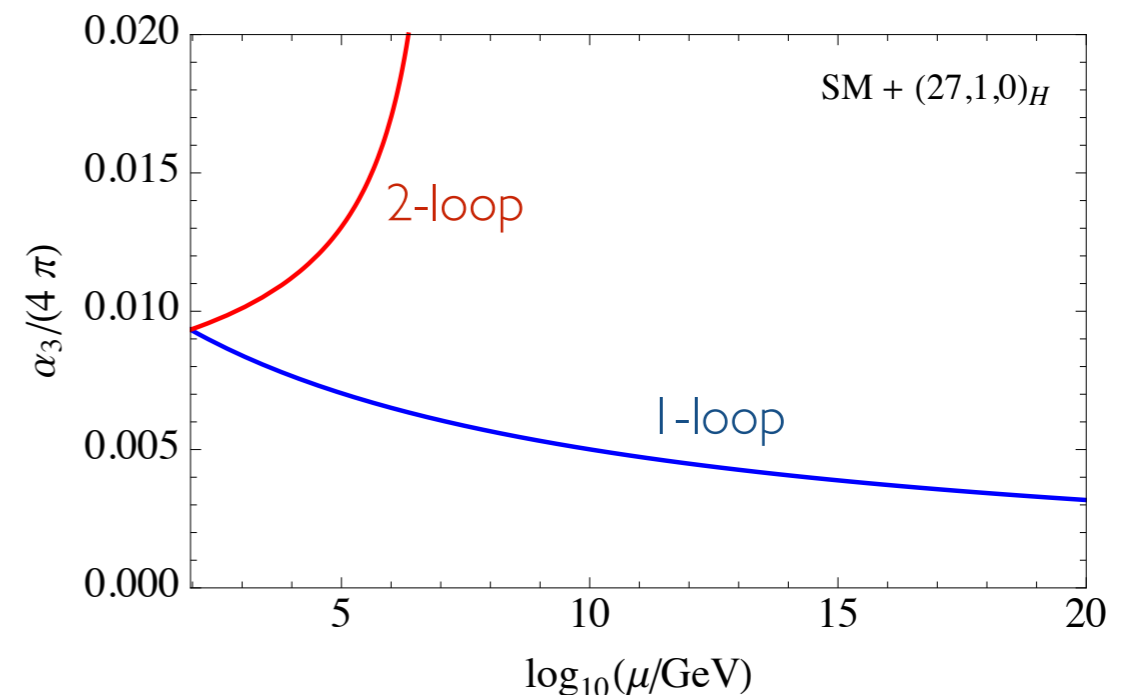
2. No Landau poles below 10^{18} GeV

- bound on Q multiplet dimensionality

$$\mu \frac{d}{d\mu} g_i = -b_i g_i^3 \quad b_i = \text{gauge -matter}$$

N.B. two-loop effects crucial if 1-loop b.f. is accidentally small

[LDL, Gröber, Kamenik, Nardecchia, 1504.00359]



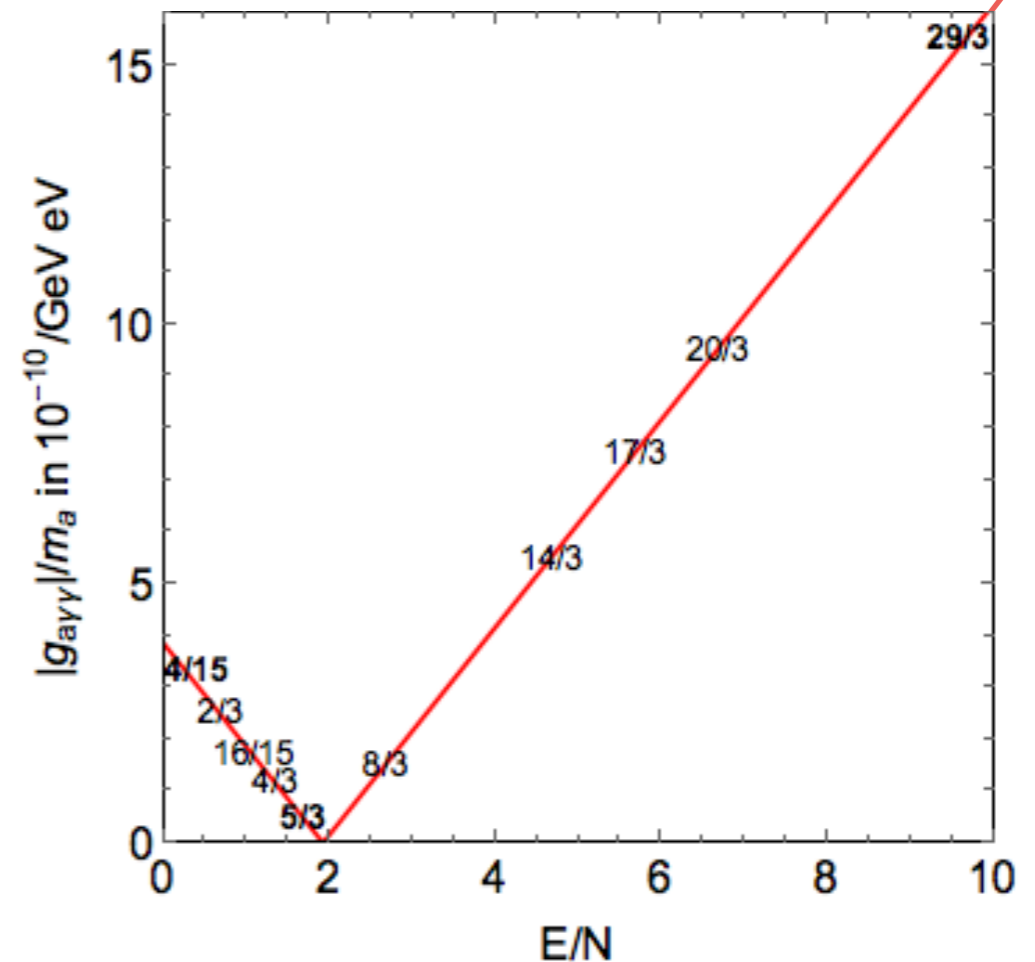
Pheno preferred KSVZ fermions

- Q short lived + no Landau poles < Planck

$$g_{a\gamma\gamma} = \frac{m_a}{\text{eV}} \frac{2.0}{10^{10} \text{ GeV}} \left(\frac{E}{N} - 1.92(4) \right)$$

$$\frac{E}{N} = \frac{\sum_Q \mathcal{Q}_Q^2}{\sum_Q T(\mathcal{C}_Q)} \quad \mathbf{44/3}$$

R_Q	\mathcal{O}_{Qq}	$\Lambda_{\text{Landau}}^{2\text{-loop}} [\text{GeV}]$	E/N
(3, 1, -1/3)	$\bar{Q}_L d_R$	$9.3 \cdot 10^{38} (g_1)$	2/3
(3, 1, 2/3)	$\bar{Q}_L u_R$	$5.4 \cdot 10^{34} (g_1)$	8/3
(3, 2, 1/6)	$\bar{Q}_R q_L$	$6.5 \cdot 10^{39} (g_1)$	5/3
(3, 2, -5/6)	$\bar{Q}_L d_R H^\dagger$	$4.3 \cdot 10^{27} (g_1)$	17/3
(3, 2, 7/6)	$\bar{Q}_L u_R H$	$5.6 \cdot 10^{22} (g_1)$	29/3
(3, 3, -1/3)	$\bar{Q}_R q_L H^\dagger$	$5.1 \cdot 10^{30} (g_2)$	14/3
(3, 3, 2/3)	$\bar{Q}_R q_L H$	$6.6 \cdot 10^{27} (g_2)$	20/3
(3, 3, -4/3)	$\bar{Q}_L d_R H^{\dagger 2}$	$3.5 \cdot 10^{18} (g_1)$	44/3
($\bar{6}$, 1, -1/3)	$\bar{Q}_L \sigma_{\mu\nu} d_R G^{\mu\nu}$	$2.3 \cdot 10^{37} (g_1)$	4/15
($\bar{6}$, 1, 2/3)	$\bar{Q}_L \sigma_{\mu\nu} u_R G^{\mu\nu}$	$5.1 \cdot 10^{30} (g_1)$	16/15
($\bar{6}$, 2, 1/6)	$\bar{Q}_R \sigma_{\mu\nu} q_L G^{\mu\nu}$	$7.3 \cdot 10^{38} (g_1)$	2/3
(8, 1, -1)	$\bar{Q}_L \sigma_{\mu\nu} e_R G^{\mu\nu}$	$7.6 \cdot 10^{22} (g_1)$	8/3
(8, 2, -1/2)	$\bar{Q}_R \sigma_{\mu\nu} \ell_L G^{\mu\nu}$	$6.7 \cdot 10^{27} (g_1)$	4/3
(15, 1, -1/3)	$\bar{Q}_L \sigma_{\mu\nu} d_R G^{\mu\nu}$	$8.3 \cdot 10^{21} (g_3)$	1/6
(15, 1, 2/3)	$\bar{Q}_L \sigma_{\mu\nu} u_R G^{\mu\nu}$	$7.6 \cdot 10^{21} (g_3)$	2/3



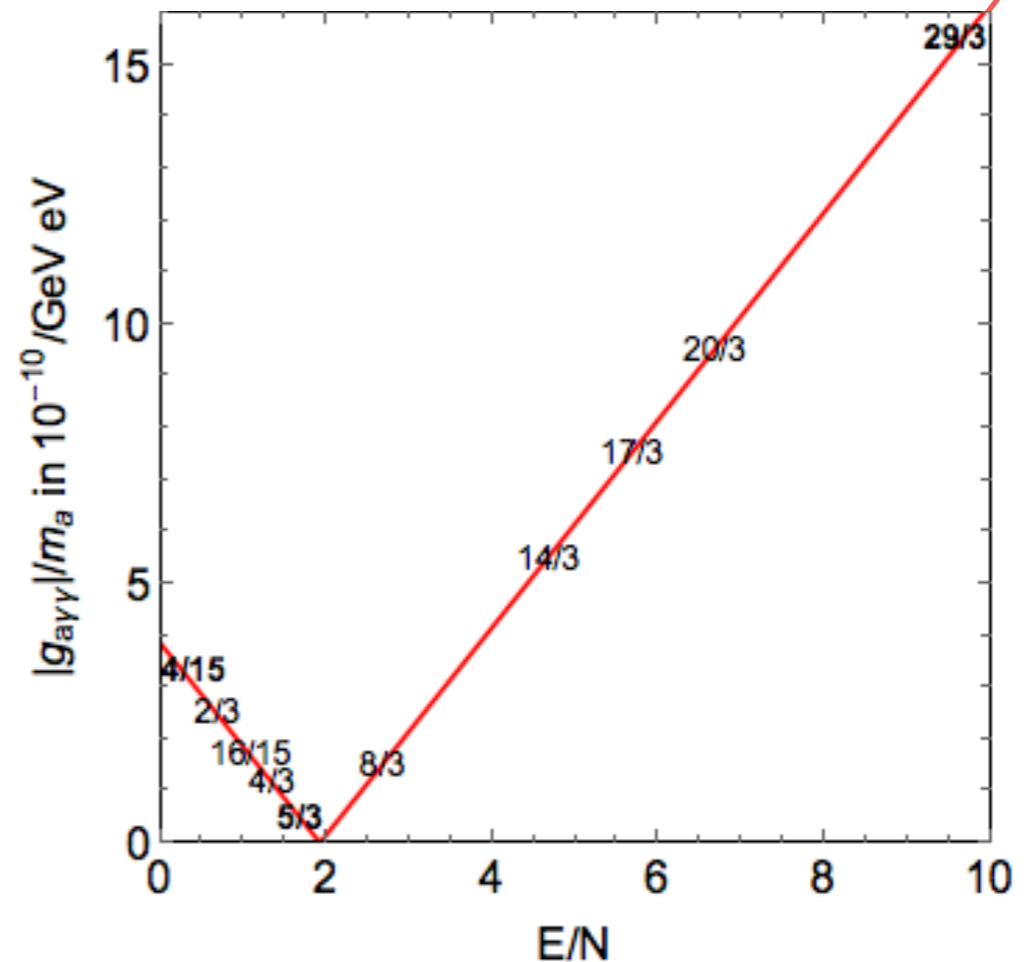
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R_Q^s	(3, 3, 2/3)	$\bar{Q}_R q_L H$	$6.6 \cdot 10^{27} (g_2)$	20/3
	(3, 3, -4/3)	$\bar{Q}_L d_R H^{\dagger 2}$	$3.5 \cdot 10^{18} (g_1)$	44/3
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	(15, 1, -1/3)	$\bar{Q}_L \sigma_{\mu\nu} d_R G^{\mu\nu}$	$8.3 \cdot 10^{21} (g_3)$	1/6
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Astrophobia

- *Is it possible to decouple the axion both from nucleons and electrons ?*



nucleophobia + electrophobia = astrophobia

- *Why interested in such constructions ?*

[LDL, Mescia, Nardi, Panci, Ziegler 1712.04940

Bjorkeroth, LDL, Mescia, Nardi 1811.09637

Björkeroth, LDL, Mescia, Nardi, Panci, Ziegler 1907.06575]

1. not possible in standard KSVZ/DFSZ

2. relax the upper bound on axion mass by ~ 1 order of magnitude

3. improve visibility at IAXO (axion-photon)

4. improve fit to stellar cooling anomalies (axion-electron) [Giannotti et al. 1708.02111]

5. requires flavour violating axion couplings

[more in backup slides...]

Relic abundance

post-inflationary PQ breaking

$$f_a < \max\{H_I, T_R\}$$

averaged over several Universe patches

$$\langle \theta_0 \rangle = \pi/\sqrt{3}$$

$$\Omega_a^{\text{mis}} < \Omega_{\text{DM}} \quad \longrightarrow \quad f_a \lesssim 5 \cdot 10^{11} \text{ GeV}$$

+ contribution from topological defects

[See e.g. Ringwald, Saikawa 1512.06436
Gorghetto, Hardy, Villadoro 1806.04677]

pre-inflationary PQ breaking

$$f_a > \max\{H_I, T_R\}$$

θ_0 arbitrary

misalignment contribution unique,
but depends on initial conditions

$$f_a \gg 10^{12} \text{ GeV only for } \theta_0 \ll 1$$

A photo- and electro-philic Axion ?

- Consider a DFSZ-like construction with $2 + n$ Higgs doublets + a SM singlet Φ

$$\mathcal{L}_Y = Y_u \bar{Q}_L u_R H_u + Y_d \bar{Q}_L d_R H_d + Y_e \bar{L}_L e_R H_e$$

$$\frac{E}{N} = \frac{\frac{4}{3}\mathcal{X}(H_u) + \frac{1}{3}\mathcal{X}(H_d) + \mathcal{X}(H_e)}{\frac{1}{2}\mathcal{X}(H_u) + \frac{1}{2}\mathcal{X}(H_d)} \quad g_{ae} = \frac{\mathcal{X}(H_e) m_e}{2N f_a}$$

naively, a large PQ charge for H_e would make the job... but, enhanced global symmetry

$$U(1)^{n+3} \rightarrow U(1)_{\text{PQ}} \times U(1)_Y$$

must be explicitly broken in the scalar potential via non-trivial invariants (e.g. $H_u H_d \Phi^2$)



non-trivial constraints on PQ charges

A photo- and electro-philic Axion ?

- Consider a DFSZ-like construction with $2 + n$ Higgs doublets + a SM singlet Φ

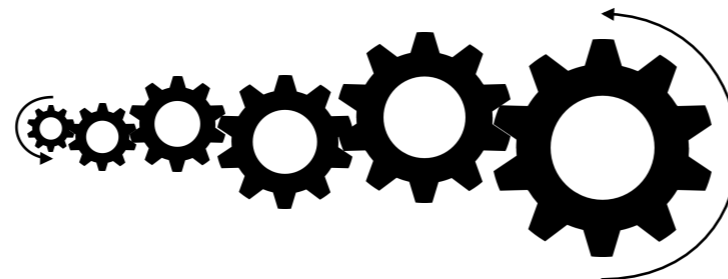
clockwork-like scenarios allow to consistently **boost** E/N [LDL, Mescia, Nardi 1705.05370]

$$\frac{E}{N} = \frac{\frac{4}{3}\mathcal{X}(H_u) + \frac{1}{3}\mathcal{X}(H_d) + \mathcal{X}(H_e)}{\frac{1}{2}\mathcal{X}(H_u) + \frac{1}{2}\mathcal{X}(H_d)} \quad g_{ae} = \frac{\mathcal{X}(H_e)m_e}{2N f_a}$$

$$(H_u H_d \Phi^2)$$

$$(H_k H_{k-1}^*)(H_{k-1}^* H_d^*)$$

$$(H_e H_n)(H_n H_d)$$



[Giudice, McCullough]

$$E/N \sim 2^{n+1}$$

[See also Farina et al. 1611.09855, for KSVZ clockwork]

$$\mathcal{X}(H_e) = 2^{n+1} \left(1 - \frac{v_e^2}{v^2} \right) - \sum_{k=2}^n 2^k \frac{v_k^2}{v^2}$$