

# Modular Symmetry in Flavors

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# Outline of my talk

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- 2 Towards Non-Abelian Flavor Symmetry**
- 3 Prototype of Flavor model with  $A_4$**
- 4 Modular Group**
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- 6 Modular  $S_3$  and  $S_4$  Symmetries**
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# 1 Introduction

We have a big question since the discovery of Muon

“Who orderd that ?” 1937 Isidor Issac Rabi

What is the principle to control flavors of quarks/leptons ?

The precise measurements of CKM mixing angles and CP violating phase of quarks established the SM (3 families).

Now, the neutrino oscillation experiments are going on observation of lepton mixing angles precisely.

Furthremore, CP violation of lepton sector is within reach @T2K and Nova experiments T2HK, DUNE.

It may be an important clue for Beyond SM (flavor).

In the beginning of 21th century, neutrino oscillation experiments presented the lepton mixing  $\sin^2\theta_{12}\sim 1/3$ ,  $\sin^2\theta_{23}\sim 1/2$ .  
no data for  $\theta_{13}$

Harrison, Perkins, Scott (2002) proposed

**Tri-bimaximal Mixing of Neutrino flavors.**

$$\sin^2 \theta_{12} = 1/3, \sin^2 \theta_{23} = 1/2, \sin^2 \theta_{13} = 0,$$

$$U_{\text{tri-bimaximal}} = \begin{pmatrix} \sqrt{2/3} & \sqrt{1/3} & 0 \\ -\sqrt{1/6} & \sqrt{1/3} & -\sqrt{1/2} \\ -\sqrt{1/6} & \sqrt{1/3} & \sqrt{1/2} \end{pmatrix}$$

**Tri-bimaximal Mixing (TBM) is realized by the mass matrix**

$$m_{TBM} = \frac{m_1+m_3}{2} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} + \frac{m_2-m_1}{3} \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} + \frac{m_1-m_3}{2} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

in the diagonal basis of charged leptons.

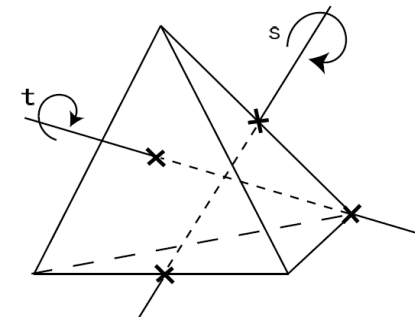
$A_4$  symmetric

**Integer (inter-family related) matrix elements suggest Non-Abelian Discrete Flavor Symmetry.**

E. Ma, G. Rajasekaran 2001

# A<sub>4</sub> group

Even permutation group of four objects (1234)  
 12 elements (order 12) are generated by  
**S** and **T**:  $S^2=T^3=(ST)^3=1$  :  $S=(14)(23)$ ,  $T=(123)$



Symmetry of tetrahedron

## 4 conjugacy classes

- C<sub>1</sub>**: 1 h=1
- C<sub>3</sub>**: S, T<sup>2</sup>ST, TST<sup>2</sup> h=2
- C<sub>4</sub>**: T, ST, TS, STS h=3
- C<sub>4'</sub>**: T<sup>2</sup>, ST<sup>2</sup>, T<sup>2</sup>S, ST<sup>2</sup>S h=3

	<i>h</i>	$\chi_1$	$\chi_{1'}$	$\chi_{1''}$	$\chi_3$
<i>C</i> <sub>1</sub>	1	1	1	1	3
<i>C</i> <sub>3</sub>	2	1	1	1	-1
<i>C</i> <sub>4</sub>	3	1	$\omega$	$\omega^2$	0
<i>C</i> <sub>4'</sub>	3	1	$\omega^2$	$\omega$	0

Irreducible representations: **1**, **1'**, **1''**, **3**

The minimum group containing **triplet** without **doublet**.

# Multiplication rule of $A_4$ group

Irreducible representations: **1, 1', 1'', 3**

$$S = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}, \quad T = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix}; \quad \omega = e^{2\pi i/3} \quad \text{for triplet}$$

$$\begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix}_3 \otimes \begin{pmatrix} b_1 \\ b_2 \\ b_3 \end{pmatrix}_3 = \boxed{(a_1b_1 + a_2b_3 + a_3b_2)_1} \oplus (a_3b_3 + a_1b_2 + a_2b_1)_{1'} \\ \oplus (a_2b_2 + a_1b_3 + a_3b_1)_{1''} \\ \oplus \frac{1}{3} \begin{pmatrix} 2a_1b_1 - a_2b_3 - a_3b_2 \\ 2a_3b_3 - a_1b_2 - a_2b_1 \\ 2a_2b_2 - a_1b_3 - a_3b_1 \end{pmatrix}_3 \oplus \frac{1}{2} \begin{pmatrix} a_2b_3 - a_3b_2 \\ a_1b_2 - a_2b_1 \\ a_3b_1 - a_1b_3 \end{pmatrix}_3$$

**$A_4$  invariant Majorana neutrino mass term**

$$\underbrace{(\mathbf{LL})_1}_{3 \times 3} = L_1L_1 + L_2L_3 + L_3L_2$$

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

**$A_4$  invariant**

In 2012,  $\theta_{13}$  was measured by Daya Bay, RENO, Double Chooz, T2K, MINOS,  
**Tri-bimaximal mixing was ruled out !**

$$\theta_{13} \simeq 9^\circ \simeq \theta_c / \sqrt{2}$$

Rather large  $\theta_{13}$  promoted to search for CP violation !

$$J_{CP} = s_{23}c_{23}s_{12}c_{12}s_{13}c_{13}^2 \sin \delta_{CP} \simeq 0.0327 \sin \delta$$

$$J_{CP}(\text{quark}) \sim 3 \times 10^{-5}$$

CP violating phase  $\delta_{CP}$  is a key parameter to understand flavors as well as two large mixing angles  $\theta_{12}$  and  $\theta_{23}$ .

# Neutrino mixing matrix

$$\nu_{\alpha} = (U_{\text{PMNS}})_{\alpha i} \nu_i$$

$\alpha = e, \mu, \tau$        $i = 1, 2, 3$

flavor eigenstates

mass eigenstates

$$U_{\text{PMNS}} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{\text{CP}}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{\text{CP}}} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{\text{CP}}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{\text{CP}}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{\text{CP}}} & c_{23}c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\frac{\alpha_{21}}{2}} & 0 \\ 0 & 0 & e^{i\frac{\alpha_{31}}{2}} \end{pmatrix}$$

$c_{ij}$  and  $s_{ij}$  denote  $\cos \theta_{ij}$  and  $\sin \theta_{ij}$ , respectively.

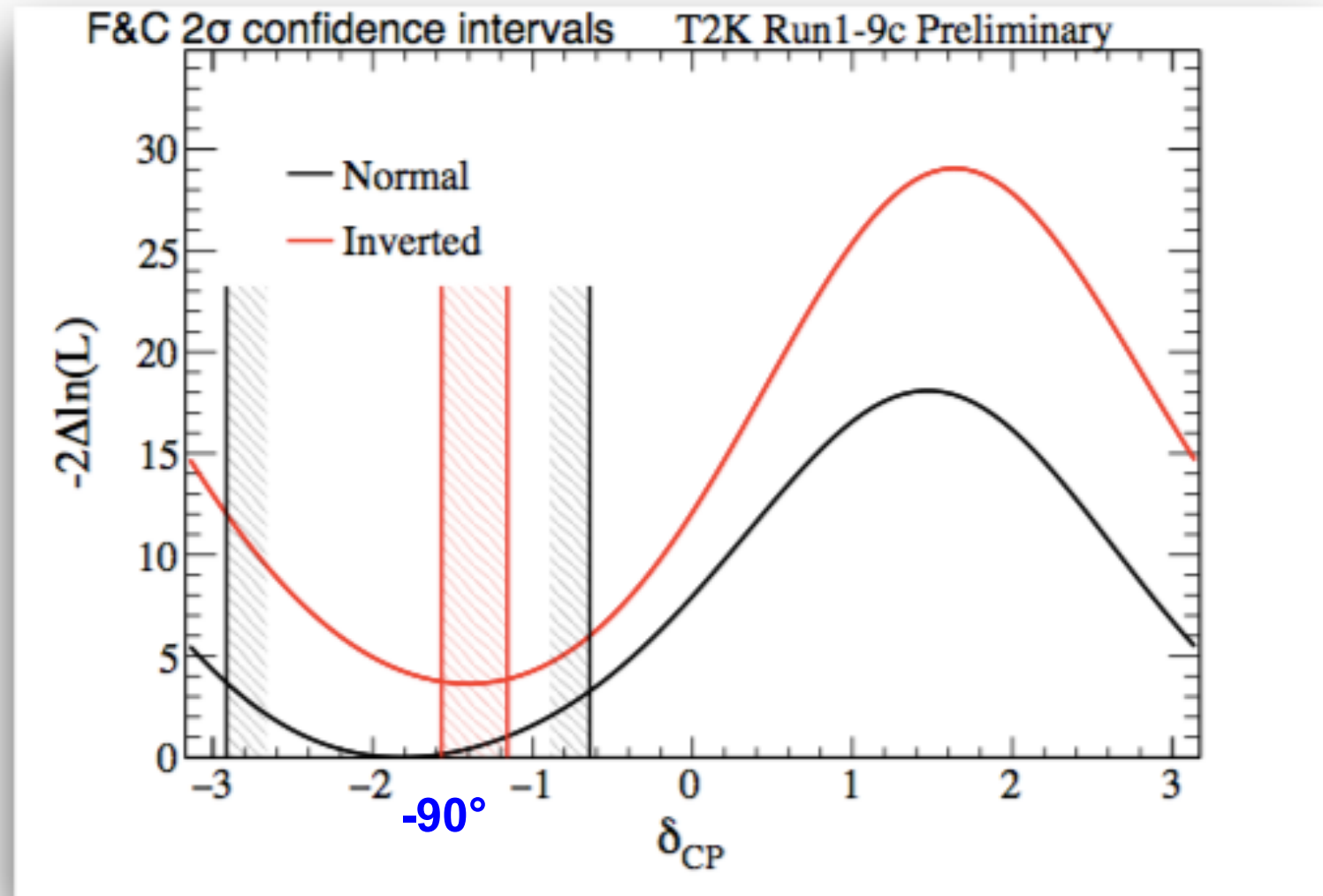
$$m_1 < m_2 < m_3$$

$$m_3 < m_1 < m_2$$

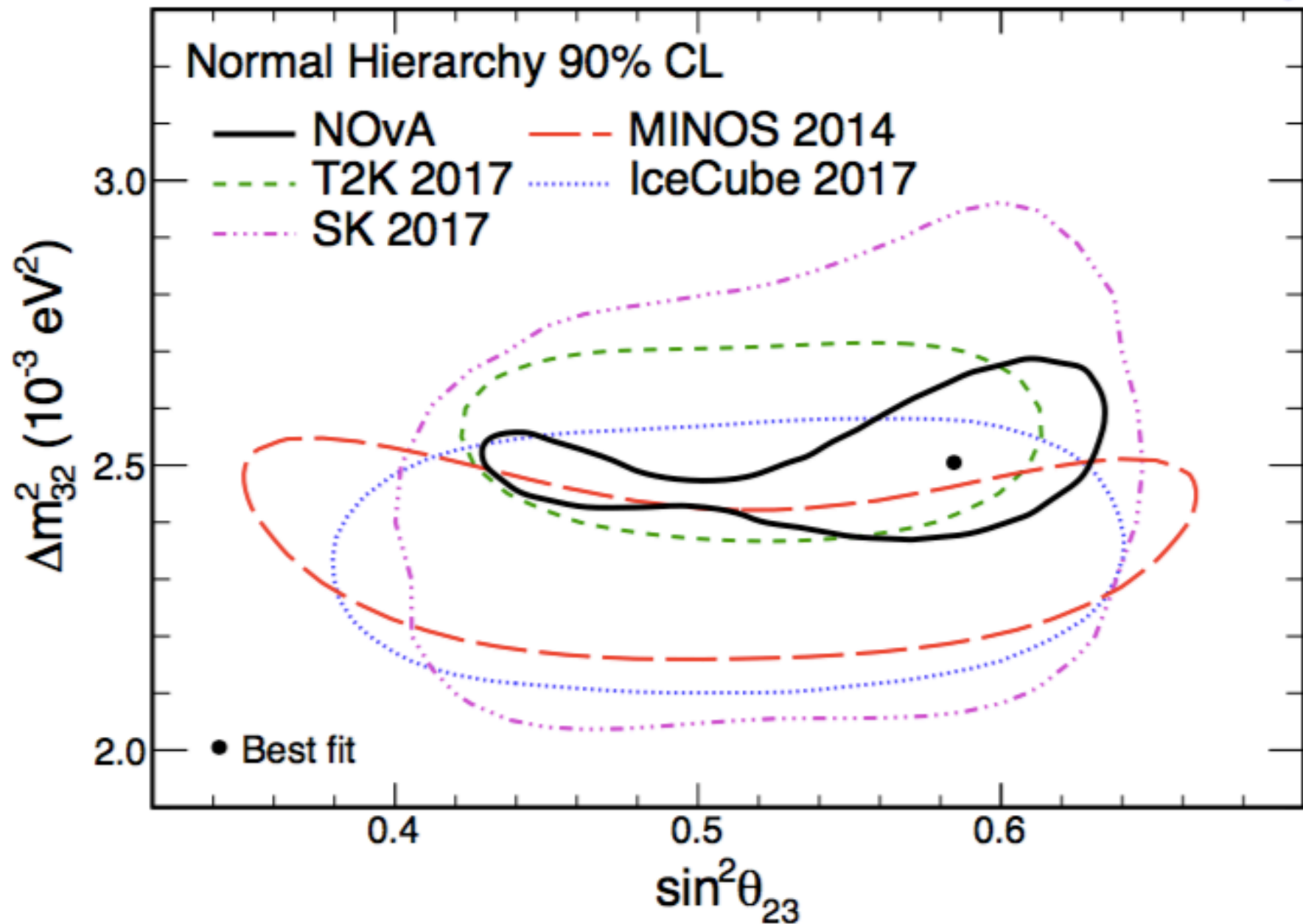
observable	$3\sigma$ range for NH	$3\sigma$ range for IH
$\Delta m_{\text{atm}}^2$	$(2.399 \sim 2.593) \times 10^{-3} \text{eV}^2$	$(-2.562 \sim -2.369) \times 10^{-3} \text{eV}^2$
$\Delta m_{\text{sol}}^2$	$(6.80 \sim 8.02) \times 10^{-5} \text{eV}^2$	$(6.80 \sim 8.02) \times 10^{-5} \text{eV}^2$
$\sin^2 \theta_{23}$	$0.418 \sim 0.613$	$0.435 \sim 0.616$
$\sin^2 \theta_{12}$	$0.272 \sim 0.346$	$0.272 \sim 0.346$
$\sin^2 \theta_{13}$	$0.01981 \sim 0.02436$	$0.02006 \sim 0.02452$



# DATA FIT with reactor constraint



- **CP conserving values of  $\delta_{CP}$  lie outside  $2\sigma$  region.**



If  $\theta_{23}$  is rather less than  $45^\circ$   
it could be related neutrino masses.

For example,

$$\sin^2 \theta_{23} \simeq \sqrt[4]{\frac{\Delta m_{\text{sol}}^2}{\Delta m_{\text{atm}}^2}} = 0.40 \sim 0.43$$

FTY(2003), FSTY(2012)

Just like GST relation

GST 1968 Weinberg 1977

$$M_d = \begin{pmatrix} 0 & A \\ A & B \end{pmatrix} \Rightarrow \theta_{12} \simeq \sqrt{\frac{m_d}{m_s}}$$

However, the closer  $\theta_{23} = 45^\circ$  or  $> 45^\circ$   
the more likely that some symmetry/structure behind it.

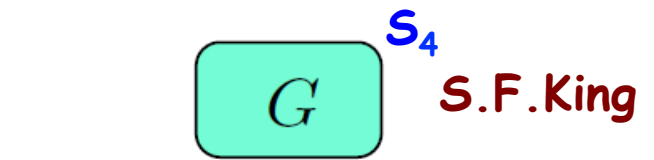
# 2 Towards Non-Abelian Flavor Symmetry

Footprint of the non-Abelian discrete symmetry is expected to be seen in the neutrino mixing matrix.

How to find an imprint of generators of finite groups

Generators of  $G$  (S,T,U) determine the flavor mixing directly.

## Direct Approach



**S,U** broken but  
**T** preserved

**T** broken but  
**S,U** preserved

Charged Lepton Sector

Neutrino Sector

$\phi^l$

↓

$$\mathcal{L}^l \sim \frac{\phi^l}{\Lambda} L l^c H_d$$

$\phi^\nu$

↓

$$\mathcal{L}^\nu \sim \frac{\phi^\nu}{\Lambda^2} L H_u L H_u$$

Suppose group  $G$  for flavors at high energy.

At low energy, different subgroups of  $G$  are preserved in Yukawa sectors of **Neutrinos** and **Charged leptons**, respectively.

# Consider the case of $A_4$ flavor symmetry:

$A_4$  has subgroups:

three  $Z_2$ , four  $Z_3$ , one  $Z_2 \times Z_2$  (klein four-group)

$Z_2$ :  $\{1, S\}, \{1, T^2ST\}, \{1, TST^2\}$

$Z_3$ :  $\{1, T, T^2\}, \{1, ST, T^2S\}, \{1, TS, ST^2\}, \{1, STS, ST^2S\}$

$K_4$ :  $\{1, S, T^2ST, TST^2\}$

$$S^2 = T^3 = (ST)^3 = 1$$

Suppose  $A_4$  is spontaneously broken to one of subgroups:

Neutrino sector preserves  $Z_2: \{1, S\}$

Charged lepton sector preserves  $Z_3: \{1, T, T^2\}$

$$S^T m_{LL}^\nu S = m_{LL}^\nu, \quad T^\dagger Y_e Y_e^\dagger T = Y_e Y_e^\dagger$$



$$[S, m_{LL}^\nu] = 0, \quad [T, Y_e Y_e^\dagger] = 0$$

Mixing matrices diagonalise  $m_{LL}^\nu$ ,  $Y_e Y_e^\dagger$  also diagonalize  $S$  and  $T$ , respectively !

For the triplet, the representations are given as

$$S = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}, \quad T = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix}; \quad \omega = e^{2\pi i/3}$$

$$V_\nu^T S V_\nu = \text{diag}(\ominus 1, 1, \ominus 1)$$

$$V_\nu = \begin{pmatrix} 2/\sqrt{6} & 1/\sqrt{3} & 0 \\ -1/\sqrt{6} & 1/\sqrt{3} & -1/\sqrt{2} \\ -1/\sqrt{6} & 1/\sqrt{3} & 1/\sqrt{2} \end{pmatrix}$$

**Tri-bimaximal Mixing**

**Independent of mass eigenvalues !**

**Freedom of the rotation between 1<sup>st</sup> and 3<sup>rd</sup> column because a column corresponds to a mass eigenvalue.**

Finally, we obtain PMNS matrix.

$$V_\nu = \begin{pmatrix} 2c/\sqrt{6} & 1/\sqrt{3} & 2s/\sqrt{6} \\ -c/\sqrt{6} + s/\sqrt{2} & 1/\sqrt{3} & -s/\sqrt{6} - c/\sqrt{2} \\ -c/\sqrt{6} - s/\sqrt{2} & 1/\sqrt{3} & -s/\sqrt{6} + c/\sqrt{2} \end{pmatrix}$$

$$c = \cos \theta \quad s = \sin \theta e^{-i\sigma}$$

CP violating phase appears accidentally.

Tri-maximal mixing : so called  $TM_2$

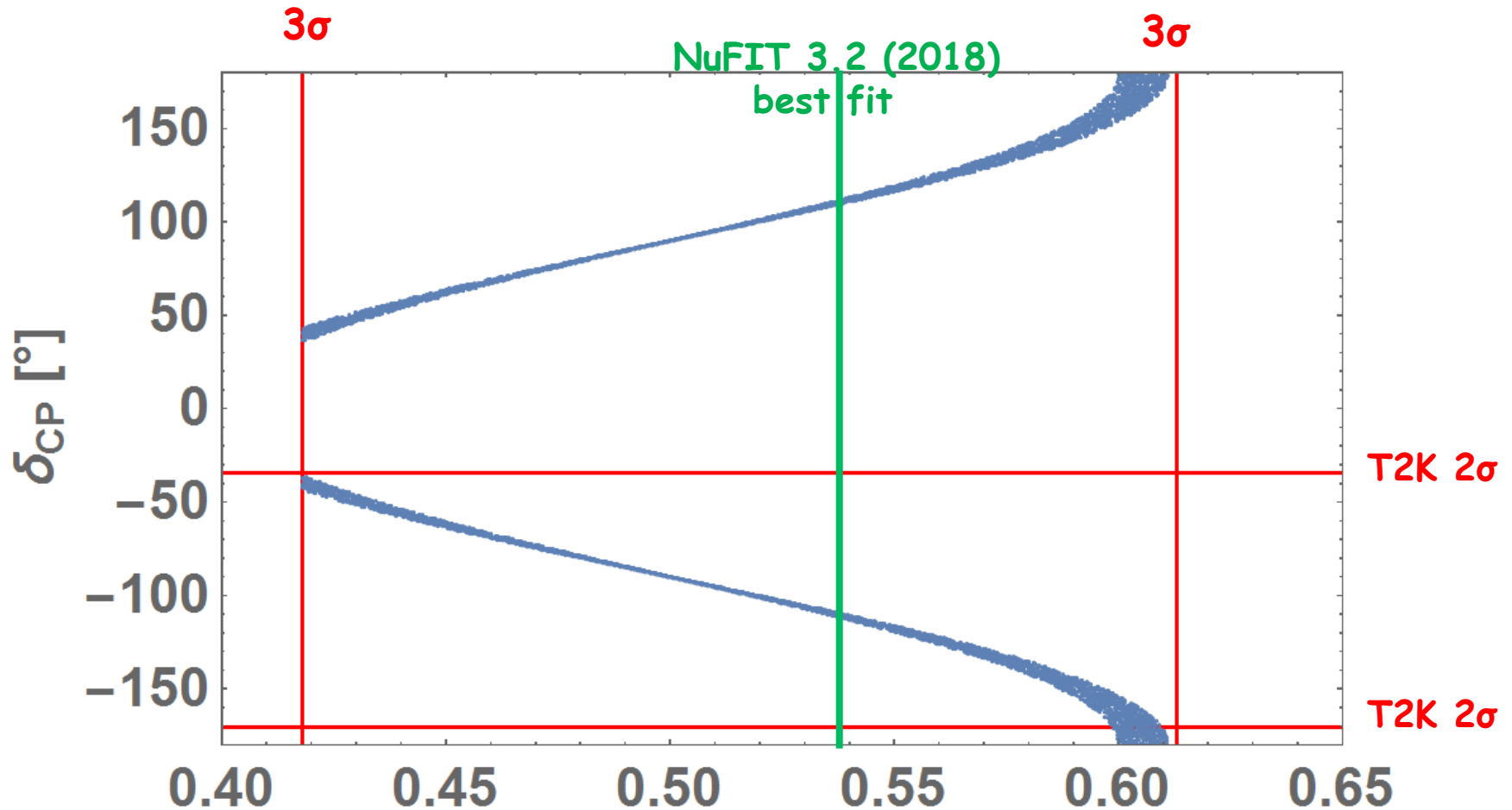
$\theta$  and  $\sigma$  are not fixed.

Since two parameters appear, there are two relations among mixing angles and CP violating phase.

Mixing sum rules

$$\sin^2 \theta_{12} = \frac{1}{3} \frac{1}{\cos^2 \theta_{13}} \geq \frac{1}{3}, \quad \cos \delta_{CP} \tan 2\theta_{23} \simeq \frac{1}{\sqrt{2} \sin \theta_{13}} \left( 1 - \frac{5}{4} \sin^2 \theta_{13} \right)$$

# Prediction CP violating phase by using sum rules.



**3 $\sigma$ : 0.272-0.346**

$$\sin^2 \theta_{12} = \frac{1}{3} \frac{1}{\cos^2 \theta_{13}} \geq \frac{1}{3},$$

**$\sin^2 \theta_{23}$**

$$\cos \delta_{CP} \tan 2\theta_{23} \simeq \frac{1}{\sqrt{2} \sin \theta_{13}} \left( 1 - \frac{5}{4} \sin^2 \theta_{13} \right)$$



# Direct Approach

☆ Flavor Structure of Yukawa Interactions is directly related with the Generators of Finite groups. Predictions are testable.

★ One cannot discuss the related phenomena without Lagrangian.  
Leptogenesis, Quark CP violation, Lepton flavor violation

**Model building is required.**

☆ Conventional model building :

Introduce **flavons (gauge singlet scalars)** to discuss dynamics of flavors. Write down an **effective Lagrangian** including flavons. Flavor symmetry is broken spontaneously by VEV of flavons.

★ The number of parameters of Yukawa interactions increases. Predictability of model is considerably reduced.

# 3 Prototype of Flavor model with $A_4$

Flavor symmetry  $G$  is broken by **flavon** ( $SU_2$  singlet scalars) VEV's.  
 Flavor symmetry controls Yukawa couplings  
 among leptons and flavons with **special vacuum alignments**.

Consider the minimal number of flavons in  $A_4$  model

	<b>Leptons</b>	<b>flavons</b>	
<b><math>A_4</math> triplets</b>	$L (L_e, L_\mu, L_\tau)$	$\phi_\nu (\phi_{\nu 1}, \phi_{\nu 2}, \phi_{\nu 3})$ $\phi_E (\phi_{E 1}, \phi_{E 2}, \phi_{E 3})$	couples to neutrino sector  couples to charged lepton sector
<b><math>A_4</math> singlets</b>	$e_R : \mathbf{1} \quad \mu_R : \mathbf{1}'' \quad \tau_R : \mathbf{1}'$		

Mass matrices are given by  $A_4$  invariant Yukawa couplings with flavons

$$\mathbf{L} = y_L \mathbf{L} \mathbf{L} \Phi_\nu H_u H_u / \Lambda^2 + y_e \mathbf{L} e^c \Phi_E H_d / \Lambda + y_\mu \mathbf{L} \mu^c \Phi_E H_d / \Lambda + y_\tau \mathbf{L} \tau^c \Phi_E H_d / \Lambda$$

$$\mathbf{3}_L \times \mathbf{3}_L \times \mathbf{3}_{\text{flavon}} \rightarrow \mathbf{1}, \quad \mathbf{3}_L \times \mathbf{1}_R^{(')} \times \mathbf{3}_{\text{flavon}} \rightarrow \mathbf{1}$$

**Majoran neutrino**

G. Altarelli, F. Feruglio, Nucl.Phys. B720 (2005) 64

# Flavor symmetry $G$ is broken by **VEV of flavons**

$$3_L \times 3_L \times 3_{\text{flavon}} \rightarrow 1$$

$$m_{\nu LL} \sim y \begin{pmatrix} 2\langle\phi_{\nu 1}\rangle & -\langle\phi_{\nu 3}\rangle & -\langle\phi_{\nu 2}\rangle \\ -\langle\phi_{\nu 3}\rangle & 2\langle\phi_{\nu 2}\rangle & -\langle\phi_{\nu 1}\rangle \\ -\langle\phi_{\nu 2}\rangle & -\langle\phi_{\nu 1}\rangle & 2\langle\phi_{\nu 3}\rangle \end{pmatrix}$$

$$3_L \times 1_R (1_R', 1_R'') \times 3_{\text{flavon}} \rightarrow 1$$

$$m_E \sim \begin{pmatrix} y_e \langle\phi_{E1}\rangle & y_e \langle\phi_{E3}\rangle & y_e \langle\phi_{E2}\rangle \\ y_\mu \langle\phi_{E2}\rangle & y_\mu \langle\phi_{E1}\rangle & y_\mu \langle\phi_{E3}\rangle \\ y_\tau \langle\phi_{E3}\rangle & y_\tau \langle\phi_{E2}\rangle & y_\tau \langle\phi_{E1}\rangle \end{pmatrix}$$

Residual symmetries lead to **specific Vacuum Alignments**

$Z_2 (1, S)$  in neutrinos  $\langle\phi_{\nu 1}\rangle = \langle\phi_{\nu 2}\rangle = \langle\phi_{\nu 3}\rangle$

$Z_3 (1, T, T^2)$  in charged leptons  $\langle\phi_{E2}\rangle = \langle\phi_{E3}\rangle = 0$

$\Rightarrow \langle\phi_\nu\rangle \sim (1, 1, 1)^T, \quad \langle\phi_E\rangle \sim (1, 0, 0)^T$

$$S \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}, \quad T \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

$m_E$  is a diagonal matrix, on the other hand,  $m_{\nu LL}$  is

$$m_{\nu LL} \sim 3y \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} - y \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}$$

**two generated masses and one massless neutrinos !**

**(0, 3y, 3y)**

**Flavor mixing is not fixed !**

**Rank 2**

$Z_2 (1, S)$  is preserved

Adding  $A_4$  singlet flavon  $\xi : \mathbf{1} \rightarrow$  flavor mixing matrix is fixed.

G. Altarelli, F. Feruglio, Nucl.Phys. B720 (2005) 64

$$\mathbf{3}_L \times \mathbf{3}_L \times \mathbf{1}_{\text{flavon}} \rightarrow \mathbf{1}$$

$$m_{\nu LL} \sim y_1 \begin{pmatrix} 2\langle\phi_{\nu 1}\rangle & -\langle\phi_{\nu 3}\rangle & -\langle\phi_{\nu 2}\rangle \\ -\langle\phi_{\nu 3}\rangle & 2\langle\phi_{\nu 2}\rangle & -\langle\phi_{\nu 1}\rangle \\ -\langle\phi_{\nu 2}\rangle & -\langle\phi_{\nu 1}\rangle & 2\langle\phi_{\nu 3}\rangle \end{pmatrix} + y_2 \langle\xi\rangle \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

$\langle\phi_{\nu 1}\rangle = \langle\phi_{\nu 2}\rangle = \langle\phi_{\nu 3}\rangle$ , which preserves  $S$  symmetry.

$$m_{\nu LL} = 3a \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} - a \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} + b \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

Flavor mixing is determined: **Tri-bimaximal mixing.**

$$\theta_{13} = 0$$

$$m_{\nu} = 3a + b, b, 3a - b \Rightarrow m_{\nu_1} - m_{\nu_3} = 2m_{\nu_2}$$

There appears a **Neutrino Mass Sum Rule.**

This is a minimal framework of  $A_4$  symmetry predicting mixing angles and masses.

**Prototype  $A_4$  flavor model should be modified !**

# Need additional flavons in $A_4$ model

$A_4$  model realizes non-vanishing  $\theta_{13}$ .

Y. Simizu, M. Tanimoto, A. Watanabe, PTP 126, 81(2011)

Add  $1'$  or  $1''$  flavon which couples to neutrinos.

$$\begin{aligned}
 \mathbf{LL} \quad \mathbf{3} \times \mathbf{3} &\Rightarrow \mathbf{1} &= a_1 * b_1 + a_2 * b_3 + a_3 * b_2 \\
 \mathbf{LL} \quad \mathbf{3} \times \mathbf{3} &\Rightarrow \mathbf{1}' &= a_1 * b_2 + a_2 * b_1 + a_3 * b_3 \\
 \mathbf{LL} \quad \mathbf{3} \times \mathbf{3} &\Rightarrow \mathbf{1}'' &= a_1 * b_3 + a_2 * b_2 + a_3 * b_1
 \end{aligned}$$

$$\begin{aligned}
 &\xi \quad \mathbf{1} \times \mathbf{1} \Rightarrow \mathbf{1} \quad , \quad \xi' \quad \mathbf{1}'' \times \mathbf{1}' \Rightarrow \mathbf{1} \\
 &\begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \quad , \quad \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}
 \end{aligned}$$

Additional Matrix

$$M_\nu = a \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} + b \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} + c \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} + d \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

$$a = \frac{y_{\phi\nu}^\nu \alpha_\nu v_u^2}{\Lambda}, \quad b = -\frac{y_{\phi\nu}^\nu \alpha_\nu v_u^2}{3\Lambda}, \quad c = \frac{y_\xi^\nu \alpha_\xi v_u^2}{\Lambda}, \quad d = \frac{y_{\xi'}^\nu \alpha_{\xi'} v_u^2}{\Lambda} \quad a = -3b$$

$$M_\nu = V_{\text{tri-bi}} \begin{pmatrix} a + c - \frac{d}{2} & 0 & \frac{\sqrt{3}}{2}d \\ 0 & a + 3b + c + d & 0 \\ \frac{\sqrt{3}}{2}d & 0 & a - c + \frac{d}{2} \end{pmatrix} V_{\text{tri-bi}}^T \quad V_{\text{tri-bi}} = \begin{pmatrix} \frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

Predictions are consistent with the data of mixing angles for both normal and inverted mass hierarchies.

**Predictability is reduced because of additional parameters.**

# 3 Modular Group

## Another aspect of $A_4$ model building

What is the origin of finite groups ?

It is well known that the superstring theory on certain compactifications lead to non-Abelian finite groups.

Indeed, torus compactification leads to Modular symmetry, which includes  $S_3$ ,  $A_4$ ,  $S_4$ ,  $A_5$  as its congruence subgroup.

R.Toorop, F.Feruglio, C.Hagedorn, arXiv:1112.1340;

F.Feruglio, arXiv:1706.08749;  $A_4$  J.C.Criado, F.Feruglio, arXiv:1807.01125;  $A_4$

J.T.Penedo, S.T.Petcov, arXiv:1806.11040;  $S_4$

T.Kobayashi, K.Tanaka, T.H.Tatsuishi, arXiv:1803.10391;  $S_3$

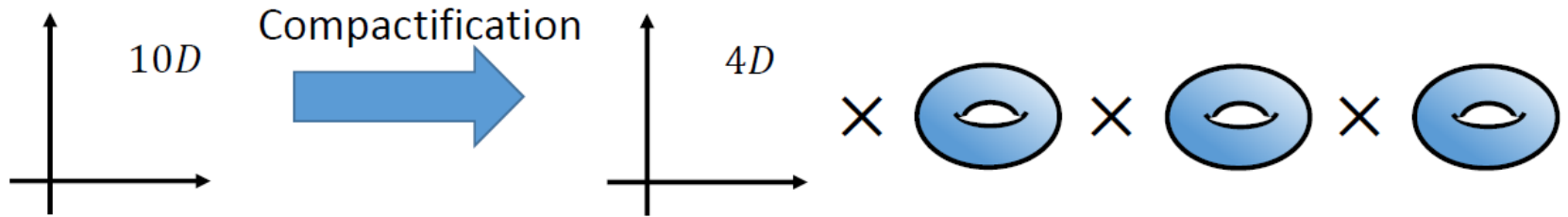
T.Kobayashi, N.Omoto, Y.Shimizu, K.Takagi, M.T, T.H.Tatsuishi, arXiv:1808.03012;  $A_4$

Superstring theory 10D  
Our universe is 4D




The extra 6D  
should be compactified.

Torus compactification



We get 4D effective Lagrangian by integrating out over 6D.

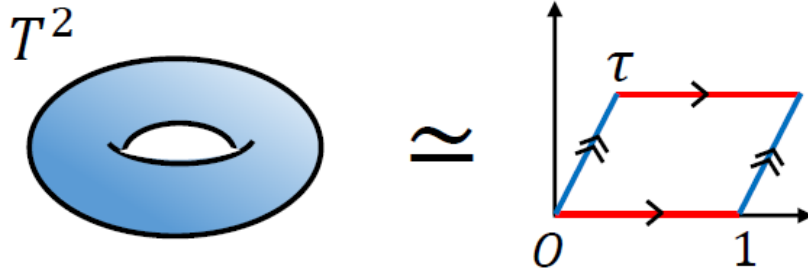
$$S = \int d^4x d^6y \mathcal{L}_{10D} \rightarrow \int d^4x \mathcal{L}_{\text{eff}}$$

➔  $\mathcal{L}_{\text{eff}}$  depends on the structure of 

➤ 4D effective theory depends on internal space

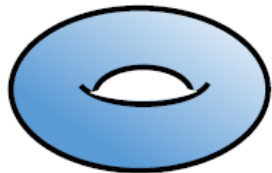


2D torus ( $T^2$ ) is equivalent to parallelogram with identification of confronted sides.



Two-dimensional torus  $T^2$  is obtained as  $T^2 = \mathbb{R}^2 / \Lambda$   
 $\Lambda$  is two-dimensional lattice

The shape of torus is represented by a modulus  $\tau \in \mathbb{C}$ .

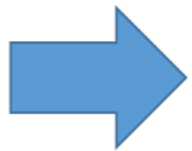


$\tau = \tau_1$



$\tau = \tau_2$

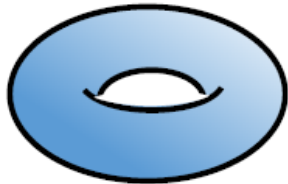
The different value of  $\tau$  realize the different shape of  $T^2$



$\mathcal{L}_{\text{eff}}$  depends on  $\tau$ . e.g.)  $\mathcal{L}_{\text{eff}} \supset Y(\tau)_{ij} \phi \bar{\psi}_i \psi_j + \dots$

➤ 4D effective theory depends on a modulus  $\tau$

The different value of  $\tau$  realize the different shape of  $T^2$



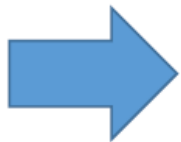
$$\tau = \tau_1$$



$$\tau = \tau_2$$

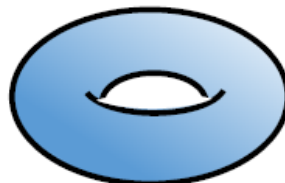
However,

there are specific transformations of  $\tau$  which don't change  $T^2$



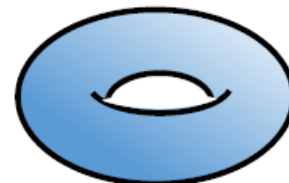
Modular transformation

$$\tau \rightarrow \tau'$$



$$\tau$$

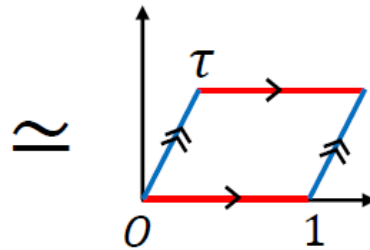
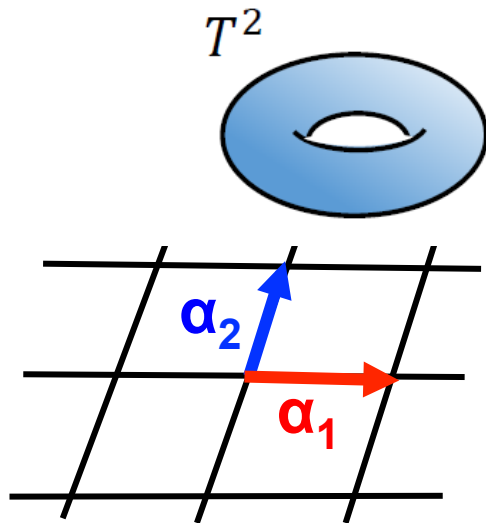
$$=$$



$$\tau'$$

# Modular transformation

The shape of a torus  $T^2 \simeq$  The shape of a lattice on  $\mathbb{C}$ -plane



Two-dimensional torus  $T^2$  is obtained as  
 $T^2 = \mathbb{R}^2 / \Lambda$

$\Lambda$  is two-dimensional lattice,  
 which is spanned by two lattice vectors

$$\alpha_1 = 2\pi R \quad \text{and} \quad \alpha_2 = 2\pi R \tau$$

$$(x, y) \sim (x, y) + n_1 \alpha_1 + n_2 \alpha_2$$

$\tau = \alpha_2 / \alpha_1$  is a modulus parameter (complex).

The same lattice is spanned by other bases under the transformation

$$\begin{pmatrix} \alpha'_2 \\ \alpha'_1 \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \alpha_2 \\ \alpha_1 \end{pmatrix} \quad \begin{array}{l} ad-bc=1 \\ a, b, c, d \text{ are integer} \end{array} \quad SL(2, \mathbb{Z})$$

$$\begin{pmatrix} \alpha'_2 \\ \alpha'_1 \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \alpha_2 \\ \alpha_1 \end{pmatrix}$$



$$\tau = \alpha_2 / \alpha_1$$

$$\tau \longrightarrow \tau' = \frac{a\tau + b}{c\tau + d}$$

**Modular transformation**

Modular transf. does not change the lattice (torus)



4D effective theory (depends on  $\tau$ )  
must be invariant under modular transf.

The modular transformation is generated by  $S$  and  $T$ .

$$\tau \longrightarrow \tau' = \frac{a\tau + b}{c\tau + d}$$

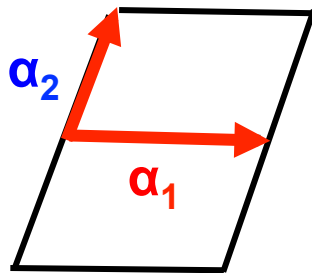
$$S : \tau \longrightarrow -\frac{1}{\tau}$$

translation

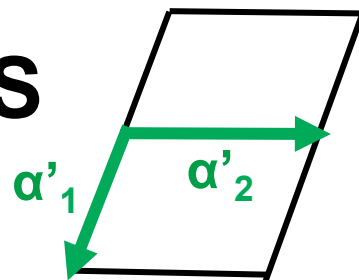
$$T : \tau \longrightarrow \tau + 1$$

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

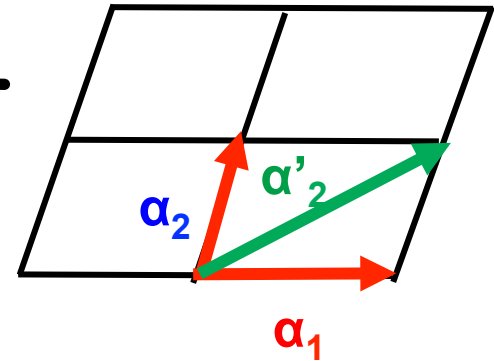
$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$$



**S**



**T**



$$\tau = \alpha_2 / \alpha_1$$

$$S : \tau \longrightarrow -\frac{1}{\tau}, \quad S^2 = 1, \quad (ST)^3 = 1.$$

$$T : \tau \longrightarrow \tau + 1.$$

generate infinite discrete group

**Modular group**

#### 4D effective theory

- depends on a modulus  $\tau$
- is independent under modular transformation

An example

$$\mathcal{L}_1 = f(\tau)\phi_1\phi_2 \cdots \phi_n$$

$f(\tau)$ : coupling constant  
 $\phi_i$ : scalar fields

$$f(\tau) \rightarrow (c\tau + d)^k f(\tau) \quad \leftarrow \text{Modular form with weight } k$$

$$\phi_i \rightarrow (c\tau + d)^{-k_i} \phi_i$$

When  $k = \sum_i k_i$ ,  $\mathcal{L}_1$  is modular invariant.

## Another example

$$\mathcal{L}_1 = f(\tau)\phi_1\phi_2 \cdots \phi_n$$

- $f(\tau)$  and  $\phi_i$  can be non-trivial representations of modular group  $\Gamma$

Modular transformation:

**SL(2, Z)**

$$\gamma \in \Gamma \quad \tau \rightarrow \frac{a\tau + b}{c\tau + d}, \quad ad - bc = 1$$

$$f(\tau) \rightarrow (c\tau + d)^k \rho(\gamma) f(\tau)$$

vanishing total modular weight  
 $\rho \times \rho^{I_1} \times \dots \times \rho^{I_n}$  contains an invariant singlet

$$\phi'_i \rightarrow (c\tau + d)^{-k_i} \rho^{(i)}(\gamma) \phi_i$$

**Representation matrix of  $\Gamma$**   
 **$\mathcal{L}_1$  is modular invariant.**

Kinetic term is given by

$$\frac{|\partial_\mu \phi_i|^2}{\langle \tau - \bar{\tau} \rangle^{k_i}}$$

which is also invariant under modular transformation

- Superpotential should be invariant under modular transformation in global SUSY model.

## Modular group has interesting subgroups

Modular group

$$\Gamma \simeq \{S, T \mid S^2 = \mathbb{I}, (ST)^3 = \mathbb{I}\} \quad \text{Infinite discrete group}$$

Impose  $T^N=1$  congruence condition

$$\overline{\Gamma}(N) \simeq \{S, T \mid S^2 = \mathbb{I}, (ST)^3 = \mathbb{I}, T^N = \mathbb{I}\}$$

$$\Gamma(N) \equiv \Gamma / \overline{\Gamma}(N)$$

$$\Gamma(2) \simeq S_3, \Gamma(3) \simeq A_4, \Gamma(4) \simeq S_4, \text{ and } \Gamma(5) \simeq A_5$$



We can consider effective theories with  $\Gamma(N)$  symmetry.

$$\mathcal{L}_{\text{eff}} \in f(\tau) \phi_1 \phi_2 \cdots \phi_n \quad f(\tau), \phi_i: \text{non-trivial rep. of } \Gamma(N)$$

In some cases, explicit form of function  $f(\tau)$  have been obtained.

Famous modular function : Dedekind eta-function

$$\eta(\tau) = q^{1/24} \prod_{n=1}^{\infty} (1 - q^n) \quad q = e^{2\pi i \tau}$$

$$\eta(-1/\tau) = \sqrt{-i\tau} \eta(\tau), \quad \eta(\tau + 1) = e^{i\pi/12} \eta(\tau)$$

So called **Modular weight 1/2**

Modular transformation of chiral superfields in MSSM

$$\phi^{(I)} \rightarrow (c\tau + d)^{-k_I} \rho^{(I)}(\gamma) \phi^{(I)}$$

**Modular weight**

**Representation matrix**

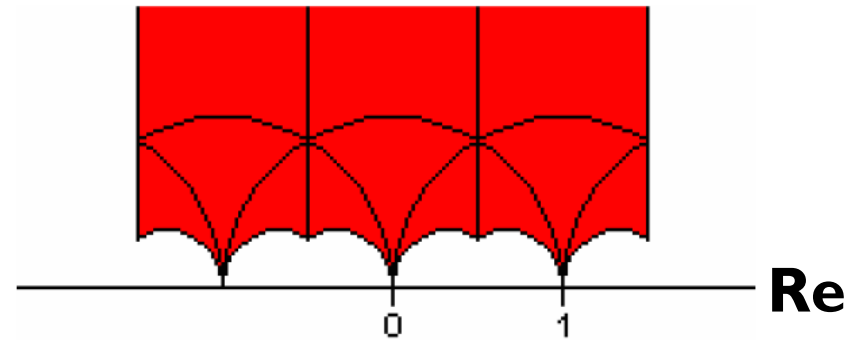
# 4 Predictions in Modular $A_4$ Symmetry

Take  $T^3=1$

$\Gamma(3) \simeq A_4$  group

$N$	$g$	$d_{2k}(\Gamma(N))$	$\mu_N$	$\Gamma_N$
2	0	$k + 1$	6	$S_3$
③	0	$2k + 1$	12	$A_4$
4	0	$4k + 1$	24	$S_4$
5	0	$10k + 1$	60	$A_5$
6	1	$12k$	72	
7	3	$28k - 2$	168	

**2k is weight**



**Fundamental domain of  $\tau$**

There are **3** linealy independent modular forms for  $2k=2$  (weight 2)

**Dimension  $d_{2k}(\Gamma(3))=2k+1$**

**Triplet !**

# How to find $A_4$ triplet modular functions.

Prepare 4 Dedekind eta-functions as Modular functions

$$\eta(-1/\tau) = \sqrt{-i\tau}\eta(\tau), \quad \eta(\tau + 1) = e^{i\pi/12}\eta(\tau)$$



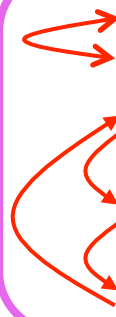
$$\eta(3\tau) \rightarrow \sqrt{\frac{-i\tau}{3}}\eta(\tau/3),$$

$$\mathbf{S} : \tau \rightarrow -1/\tau$$

$$\eta(\tau/3) \rightarrow \sqrt{-i3\tau}\eta(3\tau),$$

$$\eta((\tau + 1)/3) \rightarrow e^{-i\pi/12}\sqrt{-i\tau}\eta((\tau + 2)/3),$$

$$\eta((\tau + 2)/3) \rightarrow e^{i\pi/12}\sqrt{-i\tau}\eta((\tau + 1)/3).$$



$$\eta(3\tau) \rightarrow e^{i\pi/4}\eta(3\tau),$$

$$\eta(\tau/3) \rightarrow \eta((\tau + 1)/3),$$

$$\eta((\tau + 1)/3) \rightarrow \eta((\tau + 2)/3),$$

$$\eta((\tau + 2)/3) \rightarrow e^{i\pi/12}\eta(\tau/3),$$

$$\mathbf{T} : \tau \rightarrow \tau + 1$$

## Modular function with weight 2 by using Dedekind eta-function

$$Y(\alpha, \beta, \gamma, \delta|\tau) = \frac{d}{d\tau} (\alpha \log \eta(\tau/3) + \beta \log \eta((\tau + 1)/3) + \gamma \log \eta((\tau + 2)/3) + \delta \log \eta(3\tau))$$

$$\alpha + \beta + \gamma + \delta = 0$$

$$S : \tau \longrightarrow -\frac{1}{\tau},$$

$$T : \tau \longrightarrow \tau + 1.$$

$$S : Y(\alpha, \beta, \gamma, \delta|\tau) \rightarrow \tau^2 Y(\delta, \gamma, \beta, \alpha|\tau),$$

$$T : Y(\alpha, \beta, \gamma, \delta|\tau) \rightarrow Y(\gamma, \alpha, \beta, \delta|\tau).$$

**In  $A_4$  group,  $T^3=1$**

$$\rho(S) = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}, \quad \rho(T) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix},$$

## $A_4$ triplet of modular function with weight 2

$$\begin{pmatrix} Y_1(-1/\tau) \\ Y_2(-1/\tau) \\ Y_3(-1/\tau) \end{pmatrix} = \tau^2 \rho(S) \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \\ Y_3(\tau) \end{pmatrix}, \quad \begin{pmatrix} Y_1(\tau+1) \\ Y_2(\tau+1) \\ Y_3(\tau+1) \end{pmatrix} = \rho(T) \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \\ Y_3(\tau) \end{pmatrix}.$$

$$\begin{aligned} Y_1(\tau) &= \frac{i}{2\pi} \left( \frac{\eta'(\tau/3)}{\eta(\tau/3)} + \frac{\eta'((\tau+1)/3)}{\eta((\tau+1)/3)} + \frac{\eta'((\tau+2)/3)}{\eta((\tau+2)/3)} - \frac{27\eta'(3\tau)}{\eta(3\tau)} \right), \\ Y_2(\tau) &= \frac{-i}{\pi} \left( \frac{\eta'(\tau/3)}{\eta(\tau/3)} + \omega^2 \frac{\eta'((\tau+1)/3)}{\eta((\tau+1)/3)} + \omega \frac{\eta'((\tau+2)/3)}{\eta((\tau+2)/3)} \right), \\ Y_3(\tau) &= \frac{-i}{\pi} \left( \frac{\eta'(\tau/3)}{\eta(\tau/3)} + \omega \frac{\eta'((\tau+1)/3)}{\eta((\tau+1)/3)} + \omega^2 \frac{\eta'((\tau+2)/3)}{\eta((\tau+2)/3)} \right), \end{aligned}$$

$$\begin{aligned} Y_1(\tau) &= 1 + 12q + 36q^2 + 12q^3 + \dots, & q &= e^{2\pi i\tau} \\ Y_2(\tau) &= -6q^{1/3}(1 + 7q + 8q^2 + \dots), & |q| &\ll 1 \\ Y_3(\tau) &= -18q^{2/3}(1 + 2q + 5q^2 + \dots). & Y_2^2 + 2Y_1Y_3 &= 0 \end{aligned}$$

# Simplest Model

left-handed leptons  $L(3)$  ( $L_e, L_\mu, L_\tau$ )  
 right-handed leptons  $e_R(1); \mu_R(1''); \tau_R(1')$

$-k_I$  is weight

	$SU(2)_L \times U(1)_Y$	$A_4$	$k_I$
$e_{R1}^c$	(1, +1)	1	$k_{e1}$
$e_{R2}^c$	(1, +1)	1''	$k_{e2}$
$e_{R3}^c$	(1, +1)	1'	$k_{e3}$
$L$	(2, -1/2)	3	$k_L$
$H_u$	(2, +1/2)	1	$k_{H_u}$
$H_d$	(2, -1/2)	1	$k_{H_d}$
$\phi$	(1, 0)	3	$k_\phi$

Sum of weights should vanish

$$-2k_L - 2k_{H_u} + 2 = 0, \quad -k_L - k_{e1} - k_{H_d} + 2 = 0$$

Assign  $k_L=1, k_{e1}=1, k_{H_u}=k_{H_d}=0$

Only source of breaking of the modular symmetry is the VEV of  $\tau$ .

Unfortunately, the prediction is **too large  $\theta_{13}$ !**

Modular invariant superpotential

$$w_e = \alpha e_R H_d(LY) + \beta \mu_R H_d(LY) + \gamma \tau_R H_d(LY)$$

$$1_R^{(')(''')} \times 3_L \times 3_Y \rightarrow 1$$

$$w_\nu = -\frac{1}{\Lambda} (H_u H_u LLY)_1 \quad \text{Weinberg Operator}$$

$$3_L \times 3_L \times 3_Y \rightarrow 1$$

$$M_E = \text{diag}[\alpha, \beta, \gamma] \begin{pmatrix} Y_1 & Y_3 & Y_2 \\ Y_2 & Y_1 & Y_3 \\ Y_3 & Y_2 & Y_1 \end{pmatrix}$$

$\alpha, \beta, \gamma$  are fixed by the charged lepton masses

$$M_\nu = \frac{v_u^2}{\Lambda} \begin{pmatrix} 2Y_1 & -Y_3 & -Y_2 \\ -Y_3 & 2Y_2 & -Y_1 \\ -Y_2 & -Y_1 & 2Y_3 \end{pmatrix}$$

# Seesaw model

Introduce right-handed neutrinos:  $A_4$  Triplet

$$w_e = \alpha E_1^c H_d (L Y)_1 + \beta E_2^c H_d (L Y)_{1'} + \gamma E_3^c H_d (L Y)_{1''}$$

$$w_\nu = g(N^c H_u L Y)_1 + \Lambda(N^c N^c Y)_1 \quad \text{Sum of weights vanish.}$$

$$Y = \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \\ Y_3(\tau) \end{pmatrix} = \begin{pmatrix} 1 + 12q + 36q^2 + 12q^3 + \dots \\ -6q^{1/3}(1 + 7q + 8q^2 + \dots) \\ -18q^{2/3}(1 + 2q + 5q^2 + \dots) \end{pmatrix} \quad q = e^{2\pi i \tau}$$

$$M_E = \alpha e_R H_d (LY) + \beta \mu_R H_d (LY) + \gamma \tau_R H_d (LY)$$

$$A_4 \quad 1 \ 1 \ 3 \ 3 \quad 1'' \ 1 \ 3 \ 3 \quad 1' \ 1 \ 3 \ 3$$

$$M_D = g(\nu_R H_u LY)_1$$

$$A_4 \quad 3 \ 1 \ 3 \ 3$$

$$M_N = \Lambda(\nu_R \nu_R Y)_1$$

$$A_4 \quad 3 \ 3 \ 3$$

seesaw  $M_\nu = -M_D^T M_N^{-1} M_D$

$$\begin{aligned}
 & \overset{\nu_L}{\begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix}}_{\mathbf{3}} \otimes \overset{\nu_R}{\begin{pmatrix} b_1 \\ b_2 \\ b_3 \end{pmatrix}}_{\mathbf{3}} = (a_1b_1 + a_2b_3 + a_3b_2)_{\mathbf{1}} \oplus (a_3b_3 + a_1b_2 + a_2b_1)_{\mathbf{1}'} \\
 & \oplus (a_2b_2 + a_1b_3 + a_3b_1)_{\mathbf{1}''} \\
 & \oplus \frac{1}{3} \begin{pmatrix} 2a_1b_1 - a_2b_3 - a_3b_2 \\ 2a_3b_3 - a_1b_2 - a_2b_1 \\ 2a_2b_2 - a_1b_3 - a_3b_1 \end{pmatrix}_{\mathbf{3}} \oplus \frac{1}{2} \begin{pmatrix} a_2b_3 - a_3b_2 \\ a_1b_2 - a_2b_1 \\ a_1b_3 - a_3b_1 \end{pmatrix}_{\mathbf{3}}. \\
 & \qquad \qquad \qquad \text{symmetric} \times \mathbf{3}_Y \qquad \qquad \qquad \text{anti-symmetric} \times \mathbf{3}_Y
 \end{aligned}$$



# Consider the case of Normal neutrino mass hierarchy

$$m_1 < m_2 < m_3$$

$A_4$  triplet  $3 (L_e, L_\mu, L_\tau)$   $3 ( \nu_{eR}, \nu_{\mu R}, \nu_{\tau R} )$

$A_4$  singlets  $e_R 1 ; \mu_R 1'' ; \tau_R 1'$

$$Y_e = \begin{pmatrix} \alpha Y_1 & \alpha Y_3 & \alpha Y_2 \\ \beta Y_2 & \beta Y_1 & \beta Y_3 \\ \gamma Y_3 & \gamma Y_2 & \gamma Y_1 \end{pmatrix}$$

$$Y_\nu = \begin{pmatrix} 2g_1 Y_1 & (-g_1 + g_2) Y_3 & (-g_1 - g_2) Y_2 \\ (-g_1 - g_2) Y_3 & 2g_1 Y_2 & (-g_1 + g_2) Y_1 \\ (-g_1 + g_2) Y_2 & (-g_1 - g_2) Y_1 & 2g_1 Y_3 \end{pmatrix}$$

$$M_R = \begin{pmatrix} 2Y_1 & -Y_3 & -Y_2 \\ -Y_3 & 2Y_2 & -Y_1 \\ -Y_2 & -Y_1 & 2Y_3 \end{pmatrix} \Lambda$$

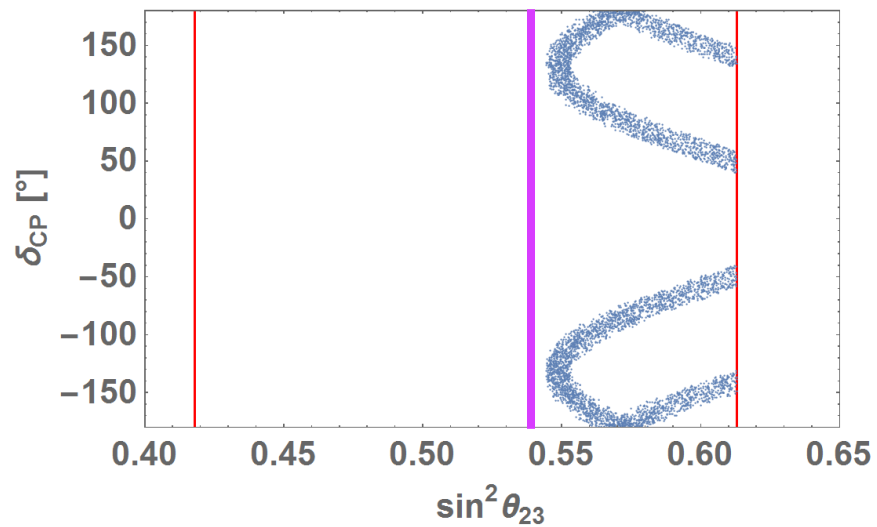
**Parameters:**

$\alpha, \beta, \gamma, g_2/g_1=g, \tau$

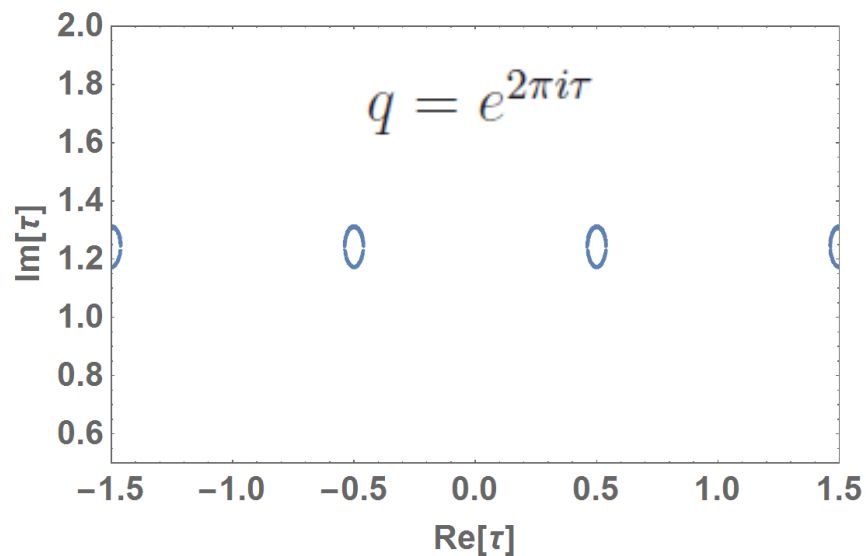
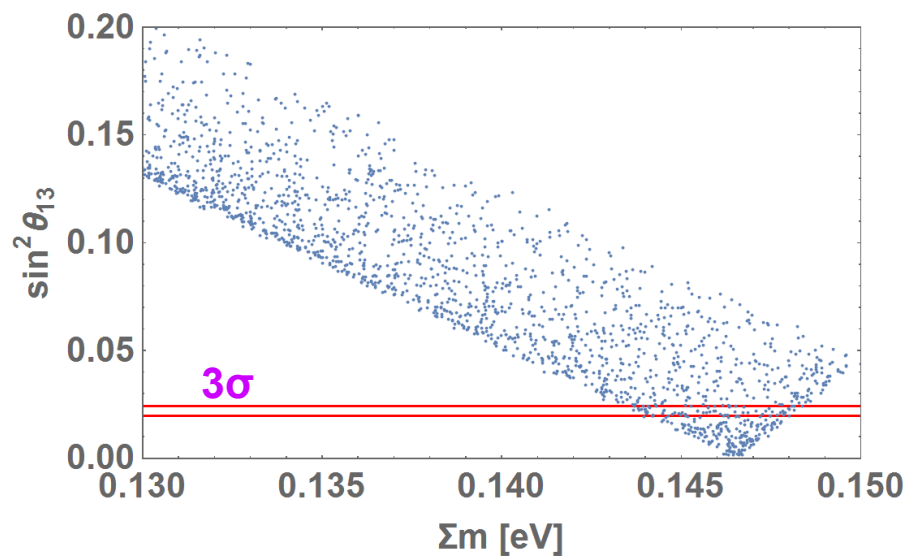
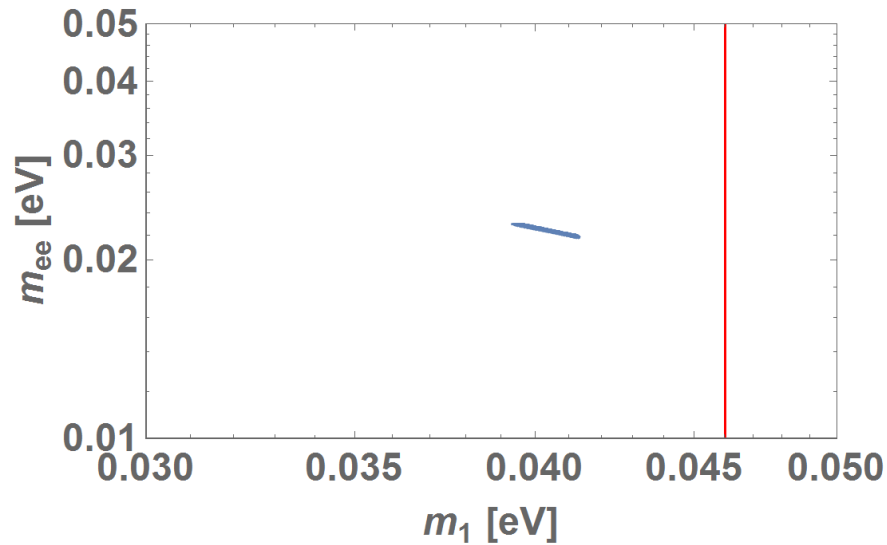
$m_e, m_\mu, m_\tau$  fix  $\alpha, \beta, \gamma$ .

$\Delta m_{\text{sol}}^2 / \Delta m_{\text{atm}}^2$  and  $\theta_{23}, \theta_{12}, \theta_{13}$  fix  $g_2/g_1=g$  and  $\tau$ .

**best-fit**

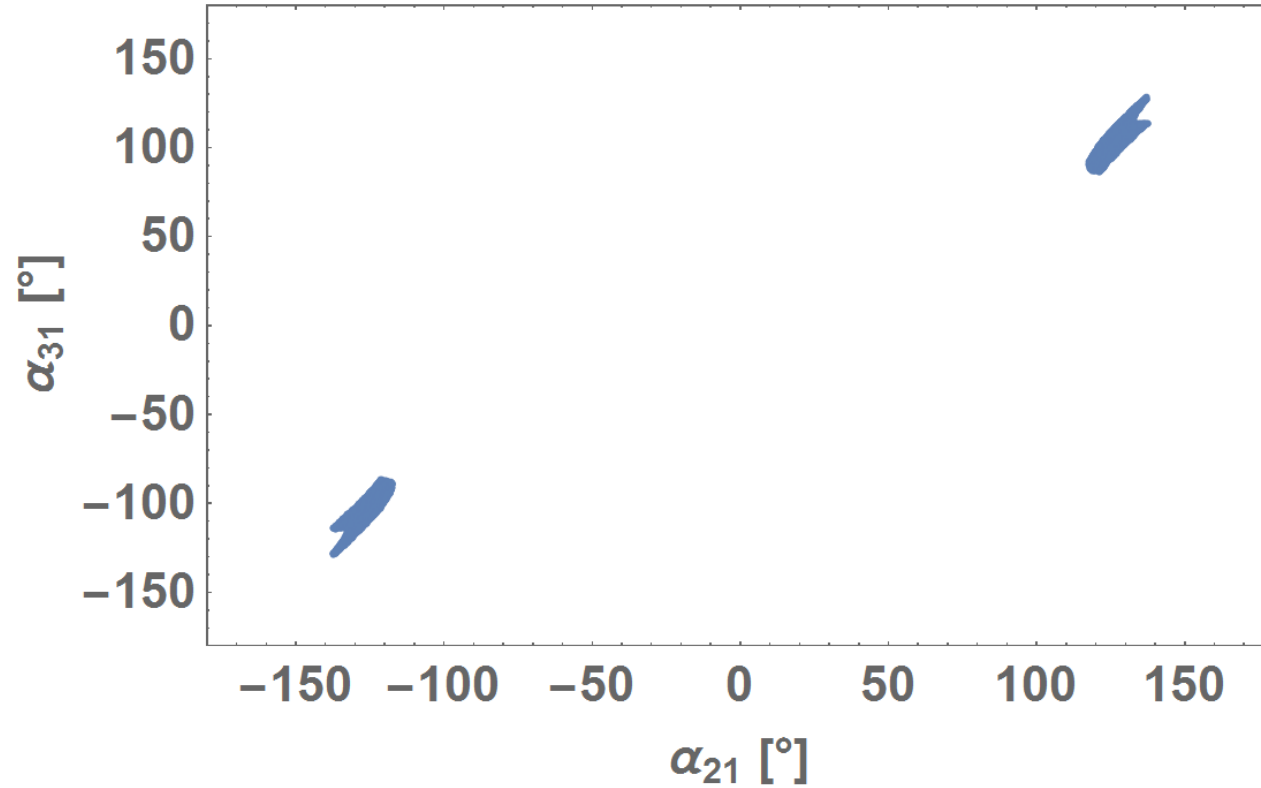


$m_1 \simeq m_2 \simeq 40\text{meV}$  and  $m_3 \simeq 60\text{meV}$

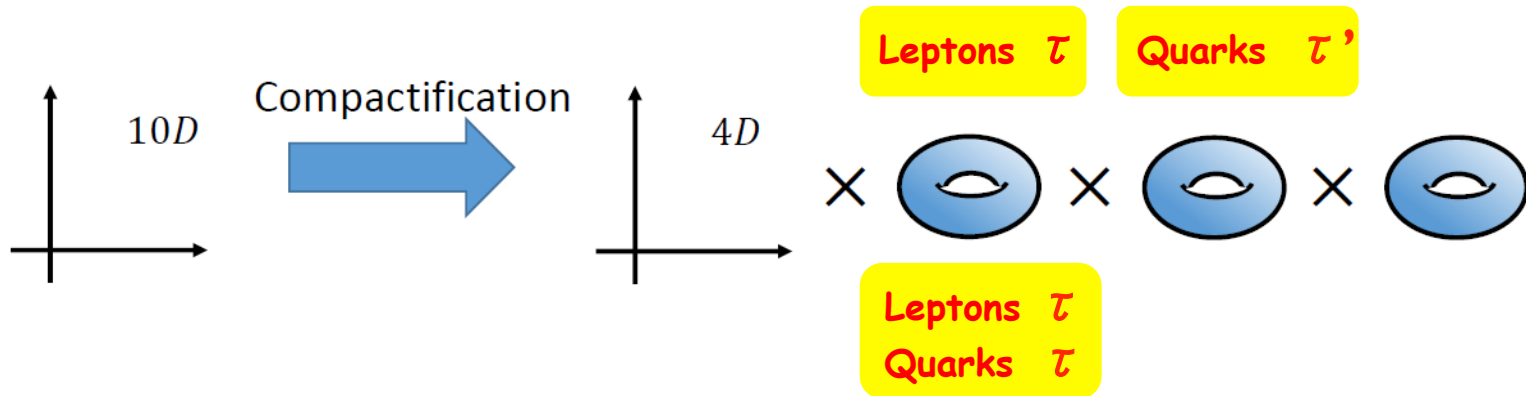


**Arg [g]  $\sim \pi/2$**

# Predicted Majorana Phases



# How is the quark mass matrix in modular $A_4$ symmetry ?



**Simple model: left-handed doublet  $3$ , right-handed singlet  $1, 1'', 1'$**

$$\text{diag}[\alpha, \beta, \gamma] \begin{pmatrix} Y_1 & Y_3 & Y_2 \\ Y_2 & Y_1 & Y_3 \\ Y_3 & Y_2 & Y_1 \end{pmatrix}_{RL} \quad \text{for both up- and down-quarks}$$

**Coefficients  $\alpha, \beta, \gamma$  are different for up- and down-quarks.**

**After fixing  $\alpha, \beta, \gamma$  by inputting quark masses, one can examine CKM matrix elements by scanning modulus parameter  $\tau$ .**

# 6 Modular $S_3$ and $S_4$ Symmetries

$\Gamma(2) \simeq S_3$  group      Irreducible representations: 1, 1', 2

T. Kobayashi, K. Tanaka, T.H. Tatsuishi, arXiv:1803.10391

There are **2** linealy independent modular forms for weight 2  
because of Dimension 2.      **Doublet !**

Prepare 3 Dedekind eta-functions as Modular functions

S

$$\begin{aligned} \eta(2\tau) &\rightarrow \sqrt{\frac{-i\tau}{2}} \eta(\tau/2), \\ \eta(\tau/2) &\rightarrow \sqrt{-i3\tau} \eta(2\tau), \\ \eta((\tau+1)/2) &\rightarrow e^{-i\pi/12} \sqrt{-i\tau} \eta((\tau+1)/2). \end{aligned}$$

S

$$\eta(2\tau) \quad \eta\left(\frac{\tau}{2}\right) \quad \eta\left(\frac{\tau+1}{2}\right)$$

T

T

$$\begin{aligned} \eta(2\tau) &\rightarrow e^{i\pi/6} \eta(2\tau), \\ \eta(\tau/2) &\rightarrow \eta((\tau+1)/2), \\ \eta((\tau+1)/2) &\rightarrow e^{i\pi/12} \eta(\tau/2). \end{aligned}$$

$$Y(\alpha, \beta, \gamma|\tau) = \frac{d}{d\tau} (\alpha \log \eta(\tau/2) + \beta \log \eta((\tau + 1)/2) + \gamma \log \eta(2\tau)).$$

$$S : Y(\alpha, \beta, \gamma|\tau) \rightarrow \tau^2 Y(\gamma, \beta, \alpha|\tau), \quad \alpha + \beta + \gamma = 0$$

$$T : Y(\alpha, \beta, \gamma|\tau) \rightarrow Y(\gamma, \alpha, \beta|\tau).$$

$$\rho(S) = \frac{1}{2} \begin{pmatrix} -1 & -\sqrt{3} \\ -\sqrt{3} & 1 \end{pmatrix}, \quad \rho(T) = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

$$\begin{pmatrix} Y_1(-1/\tau) \\ Y_2(-1/\tau) \end{pmatrix} = \tau^2 \rho(S) \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \end{pmatrix}, \quad \begin{pmatrix} Y_1(\tau + 1) \\ Y_2(\tau + 1) \end{pmatrix} = \rho(T) \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \end{pmatrix}.$$

$$Y_1(\tau) = \frac{i}{4\pi} \left( \frac{\eta'(\tau/2)}{\eta(\tau/2)} + \frac{\eta'((\tau + 1)/2)}{\eta((\tau + 1)/2)} - \frac{8\eta'(2\tau)}{\eta(2\tau)} \right),$$

$$Y_2(\tau) = \frac{\sqrt{3}i}{4\pi} \left( \frac{\eta'(\tau/2)}{\eta(\tau/2)} - \frac{\eta'((\tau + 1)/2)}{\eta((\tau + 1)/2)} \right),$$

$$Y_1(\tau) = \frac{1}{8} + 3q + 3q^2 + 12q^3 + 3q^4 \dots,$$

$$Y_2(\tau) = \sqrt{3}q^{1/2}(1 + 4q + 6q^2 + 8q^3 \dots).$$

**The model is consistent with the experimental data for only inverted mass hierarchy.**

$\Gamma(4) \simeq \mathbf{S}_4$  group

Irreducible representations: 1, 1', 2, 3, 3'

J. Penedo, S. Petcov arXiv:1806.11040

There are **5** linealy independent modular forms for weight 2 because of Dimension 5. **Doublet + Triplet !**

Prepare **6** Dedekind eta-functions as Modular functions

$$S : \left\{ \begin{array}{l} \eta\left(\tau + \frac{1}{2}\right) \rightarrow \frac{1}{\sqrt{2}} \sqrt{-i\tau} \eta\left(\frac{\tau+2}{4}\right) \\ \eta(4\tau) \rightarrow \frac{1}{2} \sqrt{-i\tau} \eta\left(\frac{\tau}{4}\right) \\ \eta\left(\frac{\tau}{4}\right) \rightarrow 2 \sqrt{-i\tau} \eta(4\tau) \\ \eta\left(\frac{\tau+1}{4}\right) \rightarrow e^{-i\pi/6} \sqrt{-i\tau} \eta\left(\frac{\tau+3}{4}\right) \\ \eta\left(\frac{\tau+2}{4}\right) \rightarrow \sqrt{2} \sqrt{-i\tau} \eta\left(\tau + \frac{1}{2}\right) \\ \eta\left(\frac{\tau+3}{4}\right) \rightarrow e^{i\pi/6} \sqrt{-i\tau} \eta\left(\frac{\tau+1}{4}\right) \end{array} \right.$$

$$T : \left\{ \begin{array}{l} \eta\left(\tau + \frac{1}{2}\right) \rightarrow e^{i\pi/12} \eta\left(\tau + \frac{1}{2}\right) \\ \eta(4\tau) \rightarrow e^{i\pi/3} \eta(4\tau) \\ \eta\left(\frac{\tau}{4}\right) \rightarrow \eta\left(\frac{\tau+1}{4}\right) \\ \eta\left(\frac{\tau+1}{4}\right) \rightarrow \eta\left(\frac{\tau+2}{4}\right) \\ \eta\left(\frac{\tau+2}{4}\right) \rightarrow \eta\left(\frac{\tau+3}{4}\right) \\ \eta\left(\frac{\tau+3}{4}\right) \rightarrow e^{i\pi/12} \eta\left(\frac{\tau}{4}\right) \end{array} \right.$$

$$\begin{aligned}
Y(a_1, \dots, a_6 | \tau) &\equiv \frac{d}{d\tau} \left( \sum_{i=1}^6 a_i \log \eta_i(\tau) \right) && \sum a_i = 0 \\
&= a_1 \frac{\eta'(\tau + 1/2)}{\eta(\tau + 1/2)} + 4 a_2 \frac{\eta'(4\tau)}{\eta(4\tau)} + \frac{1}{4} \left[ a_3 \frac{\eta'(\tau/4)}{\eta(\tau/4)} \right. \\
&\quad \left. + a_4 \frac{\eta'((\tau + 1)/4)}{\eta((\tau + 1)/4)} + a_5 \frac{\eta'((\tau + 2)/4)}{\eta((\tau + 2)/4)} + a_6 \frac{\eta'((\tau + 3)/4)}{\eta((\tau + 3)/4)} \right]
\end{aligned}$$

$$\begin{aligned}
S : Y(a_1, \dots, a_6 | \tau) &\rightarrow Y(a_1, a_2, a_3, a_4, a_5, a_6 | -1/\tau) = \tau^2 Y(a_5, a_3, a_2, a_6, a_1, a_4 | \tau), \\
T : Y(a_1, \dots, a_6 | \tau) &\rightarrow Y(a_1, a_2, a_3, a_4, a_5, a_6 | \tau + 1) = Y(a_1, a_2, a_6, a_3, a_4, a_5 | \tau).
\end{aligned}$$

$$\begin{pmatrix} Y_1(-1/\tau) \\ Y_2(-1/\tau) \end{pmatrix} = \tau^2 \rho(S) \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \end{pmatrix}, \quad \begin{pmatrix} Y_1(\tau + 1) \\ Y_2(\tau + 1) \end{pmatrix} = \rho(T) \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \end{pmatrix}.$$

$$\begin{pmatrix} Y_3(-1/\tau) \\ Y_4(-1/\tau) \\ Y_5(-1/\tau) \end{pmatrix} = \tau^2 \rho(S) \begin{pmatrix} Y_3(\tau) \\ Y_4(\tau) \\ Y_5(\tau) \end{pmatrix}, \quad \begin{pmatrix} Y_3(\tau + 1) \\ Y_4(\tau + 1) \\ Y_5(\tau + 1) \end{pmatrix} = \rho(T) \begin{pmatrix} Y_3(\tau) \\ Y_4(\tau) \\ Y_5(\tau) \end{pmatrix}.$$

$$S^2 = (ST)^3 = T^4 = \mathbb{1}$$



$$\mathbf{2}: \quad \rho(S) = \begin{pmatrix} 0 & \omega \\ \omega^2 & 0 \end{pmatrix}, \quad \rho(T) = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix},$$

$$\mathbf{3}: \quad \rho(S) = \frac{1}{3} \begin{pmatrix} -1 & 2\omega^2 & 2\omega \\ 2\omega & 2 & -\omega^2 \\ 2\omega^2 & -\omega & 2 \end{pmatrix}, \quad \rho(T) = \frac{1}{3} \begin{pmatrix} -1 & 2\omega & 2\omega^2 \\ 2\omega & 2\omega^2 & -1 \\ 2\omega^2 & -1 & 2\omega \end{pmatrix},$$

$$\mathbf{3}': \quad \rho(S) = -\frac{1}{3} \begin{pmatrix} -1 & 2\omega^2 & 2\omega \\ 2\omega & 2 & -\omega^2 \\ 2\omega^2 & -\omega & 2 \end{pmatrix}, \quad \rho(T) = -\frac{1}{3} \begin{pmatrix} -1 & 2\omega & 2\omega^2 \\ 2\omega & 2\omega^2 & -1 \\ 2\omega^2 & -1 & 2\omega \end{pmatrix},$$

$$Y_1(\tau) \equiv Y(1, 1, \omega, \omega^2, \omega, \omega^2 | \tau),$$

$$Y_2(\tau) \equiv Y(1, 1, \omega^2, \omega, \omega^2, \omega | \tau),$$

$$Y_3(\tau) \equiv Y(1, -1, -1, -1, 1, 1 | \tau),$$

$$Y_4(\tau) \equiv Y(1, -1, -\omega^2, -\omega, \omega^2, \omega | \tau),$$

$$Y_5(\tau) \equiv Y(1, -1, -\omega, -\omega^2, \omega, \omega^2 | \tau),$$

$$Y_2(\tau) \equiv \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \end{pmatrix}$$

$$Y_{3'}(\tau) \equiv \begin{pmatrix} Y_3(\tau) \\ Y_4(\tau) \\ Y_5(\tau) \end{pmatrix}$$

**3'**

**No solution of weight 2 for 3**

**Seesaw model is consistent with the experimental data of mixing.**

**However, phenomenological implications are not discussed enough.**

# 7 Summary

- Footprint of the non-Abelian discrete symmetry is expected to be seen in the neutrino mixing matrix.  
It is an imprint of generators of finite groups.  $A_4$  .....
- $A_4$  is a congruence subgroup of the modular group, which comes from superstring theory on certain compactifications.
- Mass matrices of  $A_4$  model are determined essentially by the modular parameter  $\tau$ .
- Predictions are sharp and testable in the future.
- Is Modulus  $\tau$  common in both quarks and leptons ?
- $S_3$  and  $S_4$  are also subgroups of the modular group.

**We need more phenomenological discussions.**